

1 **Lidar Atmospheric Measurements on Mars and Earth**

2  
3 C. Dickinson<sup>1</sup>, L. Komguem<sup>1</sup>, J. A. Whiteway<sup>1†</sup>, M. Illnicki<sup>1</sup>, V. Popovici<sup>1</sup>, W.  
4 Junkermann<sup>2</sup>, P. Connolly<sup>3</sup>, J. Hacker<sup>4</sup>

5  
6 <sup>1</sup>Department of Earth and Space Science & Engineering, York University, Toronto, ON  
7 Canada M3J 1P3

8 <sup>2</sup>Institut für Meteorologie und Klimaforschung, Forschungszentrum Karlsruhe GmbH

9 <sup>3</sup>School of Earth, Atmospheric, and Environmental Sciences, University of Manchester,  
10 UK

11 <sup>4</sup>Flinders University and Airborne Research Australia, Adelaide 5001, South Australia

12 <sup>†</sup>Corresponding Author, (Ph) 001-416-736-2100 x22310, (F) 001-416-736-5189,  
13 whiteway@yorku.ca

14  
15 **Keywords** – Mars, Phoenix, Lidar, Dust, Aeolian, Cirrus

16  
17

17 **Abstract**

18       The LIDAR instrument operating from the surface of Mars on the Phoenix Mission  
19 measured vertical profiles of atmospheric dust and water ice clouds at temperatures  
20 around  $-65^{\circ}\text{C}$ . An equivalent lidar system was utilized for measurements in the  
21 atmosphere of earth where the conditions are similar to Mars. Coordinated aircraft in situ  
22 sampling provided a verification of lidar measurement and analysis methods and also  
23 insight for interpretation of lidar derived optical parameters in terms of the dust and cloud  
24 microphysical properties. It was found that the vertical distribution of airborne dust above  
25 the Australian Desert is quite similar to what is observed in the planetary boundary layer  
26 above Mars. Comparison with the in situ sampling is used to demonstrate how the lidar  
27 derived optical extinction coefficient is related to the dust particle size distribution. The  
28 lidar measurement placed a constraint on the model size distribution that has been used  
29 for Mars. Airborne lidar measurements were also conducted to study cirrus clouds that  
30 form in the Earths atmosphere at a similar temperature and humidity as the clouds  
31 observed with the lidar on Mars. Comparison with the in situ sampling provides a method  
32 to derive the cloud ice water content (IWC) from the Mars lidar measurements.

33

34

## 34 **1. Introduction**

35 While the atmospheres of Earth and Mars are significantly different in terms of their  
36 molecular composition, several analogies can be made for dust and cloud particles. Dust  
37 is lifted into the atmosphere of Mars as in Desert regions on Earth, but since the  
38 molecular density on Mars is smaller, the radiative impact of dust is much greater (Wolff  
39 et al. 2009). Also, there is a hydrological cycle on Mars that involves clouds and  
40 precipitation that form where the temperature and humidity is similar to the conditions in  
41 which cirrus clouds form in the upper troposphere on Earth (Whiteway et al. 2009).

42  
43 The Phoenix Mars mission (Smith et al. 2008, 2009) landed on 25 May 2008, 30 Sols  
44 (Martian days) before summer solstice at 68N, 234E. The Lander was operated over the  
45 following five months, imaging the surroundings (Smith et al., 2009), digging into the  
46 regolith (Shaw et al. 2009), analyzing composition of soil samples (Hecht et al. 2009;  
47 Boynton et al. 2009; Kounaves et al. 2009), and measuring atmospheric properties  
48 (Taylor et al. 2009, Davy et al. 2009, Tamppari et al. 2009). The lidar instrument on  
49 Phoenix (Whiteway et al. 2008) was operated nearly every day during the mission to  
50 observe the backscatter of laser radiation from dust, water ice clouds and precipitation in  
51 the atmosphere (Whiteway et al. 2009).

52  
53 The testing and characterization of the Phoenix lidar involved Earth based  
54 measurements and direct comparison with an equivalent lidar system (Whiteway et al.  
55 2008). Another aspect of the characterization was field measurements with the equivalent  
56 lidar system in conditions that were expected to be similar to Mars. This paper presents

57 case studies where the interpretation of observations on Mars is informed by  
58 measurements in the atmosphere of Earth. The insight gained from simultaneous aircraft  
59 in situ sampling on Earth is applied for interpretation of the lidar measurements on Mars

60

## 61 **2. Mars Phoenix Lidar**

62 The lidar measurement involved emitting a pulse of light into the atmosphere and  
63 detecting the light scattered directly back. For earth, the light will be scattered by both  
64 molecular constituents and aerosols (dust and ice/water cloud); for Mars, scattering from  
65 dust and water ice cloud particles dominate the lidar backscatter signal. The Phoenix lidar  
66 is based on a Nd:YAG laser and the frequency doubled output at a wavelength of 532 nm  
67 is directed in the zenith. The backscatter is collected by a 10 cm diameter telescope,  
68 detected with a Photomultiplier, and the signal is acquired using both analog recording  
69 and photon counting. The height resolution after averaging was 20 m for analog  
70 recording and 50 m for photon counting. The laser was pulsed at a rate of 100Hz, while  
71 the acquired profiles were averaged over 2048 pulses for a temporal resolution of 20.48  
72 seconds. The Phoenix lidar was operated typically three times per day with duration  
73 between 15 minutes and one hour.

74

75 Owing to the greater dynamic range of the analog signals, and the greater sensitivity  
76 of the photon counting signals, the choice of whether to use one or both data sets  
77 depended on the measured signal strength, or more generally, the proximity of the  
78 scatterers to the lidar system. For Phoenix, analog signals below 2.5 km and photon  
79 counting data from 2.5 – 20 km were generally used for analysis. Lidar dust

80 measurements from Australia were based entirely on data from the analog channel, while  
81 lidar cloud measurements from the EMERALD campaign employed only photon  
82 counting

83

### 84 3. Lidar Analysis Method

85 Figure 1a shows the backscatter signal from Phoenix mission Sol 65 (Solar  
86 Longitude,  $L_S = 106$ ). The signal is from only dust below 8 km, the enhancement above  
87 is due to the presence of an ice water cloud. The equation that describes a Lidar  
88 backscatter signal as a function of height can be written as  $S(z) = C \times \beta(z) \times 1/z^2 \times T(z)^2$ .  
89 The constant  $C$  takes into account factors that include the laser pulse energy, area of the  
90 receiver aperture, transmittance of the receiver optics, and the detection efficiency.  $\beta(z)$  is  
91 the lidar backscatter coefficient and this represents the fraction of optical energy scattered  
92 back to the lidar receiver per unit length and per unit solid angle.  $T(z)$  is the transmittance  
93 through the atmosphere, and is related to the optical depth ( $OD$ ) as  $T(z) = e^{-OD}$  where  
94  $OD = \int_0^\infty \sigma dz$ . The extinction coefficient,  $\sigma$ , is the fractional reduction in laser pulse  
95 energy per unit length through the atmosphere. It can also be considered the effective  
96 cross sectional area of material per unit volume, and is thus proportional to the volume  
97 and mass of scattering material.

98

99 The backscatter and extinction coefficients,  $\beta$  and  $\sigma$ , relate to the properties of  
100 material in the atmosphere. These were derived for dust and clouds from the lidar  
101 backscatter signal using the method of Fernald (1984), where the inversion requires a  
102 reference value at some height. For Mars this reference was obtained by using a value at

103 2 km that results in a total optical depth from ground to 20 km being matched to the  
104 independent measurement made by the Surface Stereo Imager (SSI) instrument (Smith et  
105 al. 2008) on Phoenix. For profiles where the presence of ice-water clouds was detected, a  
106 background dust profile was first estimated (typically from an adjacent Sol), and it was  
107 then assumed that any departure from this baseline was the result of clouds. For  
108 Australian dust data, the same method was employed as for Phoenix dust, with the optical  
109 depths measured by a CIMEL sun photometer (Qin and Mitchell, 2009) to constrain the  
110 results. For the EMERALD data, the reference value was taken below the cloud in the  
111 essentially pure molecular atmosphere (with values of  $\beta$  and  $\sigma$  calculated from nearby  
112 radiosonde data). The inversion also requires an assumption on the ratio of extinction to  
113 backscatter coefficients (the so called lidar ratio) and values that are typical from earth  
114 based measurements were used: 40 for dust (Papayannis *et al.* 2008), and 15 for cloud  
115 (Chen, *et al.* 2002).

116

117 Figure 1b shows the vertical profile of extinction coefficient that was derived from  
118 the signal in Fig 1a. A layer of enhanced dust loading layer was distributed from the  
119 surface up to heights below about 4 km. This is due to the dust that is lifted from the  
120 surface and mixed throughout the planetary boundary layer (PBL) by convection and  
121 turbulence during daytime. The top of the dust layer corresponds to the top of the PBL.  
122 Above 8 km an ice-water cloud is can also be seen.

123

124

125

#### 126 4. Boundary Layer Dust

127 The Phoenix equivalent lidar was used for studies of the atmosphere of Earth,  
128 emitting the same wavelength of laser light and using identical detectors and data  
129 acquisition methods. For Desert dust studies, it was operated from the ground at  
130 Muloorina Station in South Australia (29°20'S, 137°90'W, November 11<sup>th</sup> – 21<sup>st</sup>, 2007).  
131 This is a Desert environment with significant atmospheric dust loading, occasional dust  
132 storms, and frequent localized vortices or *dust devils*. The lidar was observing  
133 continuously during the day, while the Dimona aircraft was operated in the area to obtain  
134 simultaneous in situ sampling with vertical profiles as well as stacked level flight tracks.

135

136 Figure 2 shows profiles of extinction coefficient derived from lidar measurements on  
137 Mars from mission sols 14, 48, and 97 ( $L_S$  84°, 99°, and 122°, respectively). The dust  
138 loading was greatest around summer solstice in the first 40 sols of the mission and then  
139 gradually decreased throughout the remainder of the mission. A profile of lidar extinction  
140 measured above the Australian desert on Nov 20<sup>th</sup>, 2007 at 05:00 GMT is also shown in  
141 Fig. 2 for comparison. During heavy dust loading (Sol 14 on Mars and Australia 20  
142 November 2007) the amount of suspended dust and the height of the planetary boundary  
143 layer on Earth and Mars are found to be remarkably similar.

144

145 Figure 3 shows a contour plot of the dust lidar backscatter coefficient over several  
146 hours on 20 Nov. 2007 and the flight altitude of the Dimona Aircraft is indicated with a  
147 solid white/black line. The layer of enhanced dust loading grew in height in the morning  
148 with the convective boundary layer and reached a maximum of 4 km in the mid

149 afternoon. By the following morning only background dust remained and this cycle  
150 repeated.

151

152 The regular pattern of vertical structures that extend from ground to near the top of  
153 the dust layer indicates the presence of convection overshooting the top of the boundary  
154 layer. Figure 4 shows this short term variability for a 20-minute subset of the Australian  
155 data (02:10 GMT), and for a 15-minute period on Sol 5 ( $L_S$  79) at the Phoenix site. In  
156 both instances, the structure within each cell is highly variable, both vertically, and  
157 horizontally (represented by the time it was lofted over the site). On Mars, the high  
158 degree of variability was coincident with periods of high dust loading, and after Solstice a  
159 quiescent period with reduced dust loading, and thus little or no observable dust  
160 dynamics, was prevalent. Intense vortices (dust devils) were also observed near both  
161 measurement sites throughout the day (see Ellehoj et al. (2009) for Mars), but for  
162 Australia these were less frequent than the features at the top of the boundary layer.

163

164 The Dimona aircraft flights provided in situ dust particle measurements that were  
165 used to verify the lidar extinction retrieval. An optical particle spectrometer, (Grimm  
166 model 1.108), was employed to measure particle number density *in situ*, sizing particles  
167 with diameters between 350 nm and 20  $\mu\text{m}$  into 15 size bins with a time resolution of six  
168 seconds. The instrument measures particle sizes by recording the amount of scatter  
169 perpendicular to the beam of a diode laser operated in the red. Air was drawn through a  
170 brass inlet line (length: 50 cm, diameter: 2 mm), and fed into a second inlet with  
171 dimensions designed to match the true air speed of the aircraft when travelling at its



172 nominal operating speed at an altitude of 2 km. Inlet airflow was 1.2 L / min, and losses  
173 of particles larger than 8  $\mu\text{m}$  from the inlet were corrected according to concurrent  
174 readings of an FSSP-100 (Forward Scattering Spectrometer Probe) measuring at one  
175 second intervals. The aircraft in situ sampling also included measurements of  
176 temperature, pressure, wind velocity and humidity throughout the flights.

177

178 The extinction coefficient,  $\sigma$ , was calculated from the in situ measured size spectrum  
179 as:  $\sigma = \sum Q_i \cdot \pi R_i^2 \cdot N_i$ , where  $N_i$  is the number density of particles in the size bin  $i$ ,  
180 centered on radius  $R_i$ , and with extinction efficiency  $Q_i$  calculated using simple Mie  
181 scattering theory for spherical particles (Bohren and Huffman, 1983) at wavelength 532  
182 nm. For Australia, Mie parameters were estimated from the work of Qin and Mitchell  
183 (2008) while for Mars, parameters from Wolff *et al.* (2009) were employed.

184

185 Figure 5 shows a comparison between lidar and in situ measurements during the  
186 aircraft descent at 05:00 GMT on 20 November 2007 (Fig. 3). The dust number density,  
187 potential temperature, and humidity, derived from aircraft data, each indicate that the  
188 boundary layer was vertically well-mixed at that time. The extinction coefficient  
189 determined from lidar measurement is in agreement with that derived from in situ  
190 measurements, with the best agreement between methods observed for periods of low  
191 variation. In this example, the total dust optical depth estimated from the in situ  
192 measurements was found to be 0.526, while the dust optical depth measured by the  
193 CIMEL sun photometer (matched by the lidar) was found to be 0.552.

194

195 The variability in the dust loading is apparent in the horizontal flight legs. The aircraft  
196 executed a series of stacked horizontal flight legs back and forth with descending heights  
197 above the lidar at around 03:00 GMT on 20 November 2009 (Fig. 3). Fig 6a illustrates  
198 the high degree of variability in dust number density along each horizontal leg. The  
199 extinction coefficient was determined (as described above) at the sampling intervals of 2-  
200 seconds along the horizontal flight legs, and the mean and its associated standard  
201 deviation are shown in Fig. 6b. Two lidar extinction coefficient profiles were derived  
202 from ten minute averages corresponding to the first (top) flight leg and one hour later  
203 when the aircraft was at the minimum height. The mean in situ extinction values are in  
204 good agreement with the lidar measurements when comparing the separate profiles at the  
205 start and end of the stacked descent. There was similar agreement between the lidar and  
206 in situ measurements during each flight through the campaign and this served as a  
207 verification of the lidar measurement and retrieval methods.

208

209 Fig 7a illustrates how the measured extinction coefficient represents the balance  
210 between particle number and particle cross sectional area. The in situ measurement of  
211 the particle size spectrum was averaged between heights 2-3 km from the descent at  
212 05:00 GMT (Figure 3). The contribution to the extinction coefficient from each size bin  
213 is also shown, with the integrated extinction size spectrum equal to the average extinction  
214 coefficient of  $0.13 \text{ km}^{-1}$ . As indicated by Figure 5a, this is also nearly equal to that  
215 derived from the lidar measurements for this height range ( $\sigma = 0.14$ ). The area averaged  
216 *Effective Radius* was determined to be  $3.4 \mu\text{m}$ , and despite the order of magnitude

217 differences in particle number over the size spectrum,  $R_{eff}$  is mainly representative of the  
218 largest particles.

219

220 A commonly used model for the dust size distribution on Mars is based on a Gamma  
221 Distribution with an effective radius of  $1.6 \mu\text{m}$ , and variance of 0.2 (see Wolff *et al.* 2009  
222 and references therein). Figure 7b shows this particle size distribution along with the  
223 calculated contribution to extinction. The amplitude of the spectrum has been adjusted so  
224 that the extinction approximates the lidar measurement at a height of 2 km on mission Sol  
225 14 (Fig. 2), with  $\sigma = 0.14 \text{ km}^{-1}$ , and  $OD = 0.57$ . In this case the total dust number density  
226 can be estimated as  $15.7 \text{ cm}^{-3}$  by integrating this size distribution from 100 nm to  $10 \mu\text{m}$ .  
227 This is remarkably similar to the number density measured above the Australian desert  
228 (Figs. 5 and 6).

229

## 230 5. Ice-Water Clouds

231 On Mars, the Phoenix lidar detected clouds at the top of the atmospheric boundary  
232 layer (4-6 km) and at the surface in the early morning hours, near the minimum of the  
233 diurnal temperature cycle. Only the clouds at the top of the boundary layer (3 – 6 km)  
234 will be considered here. These clouds were observed to form each sol after the  
235 atmospheric temperature on Mars started to decrease in mid-summer; starting on sol 80  
236 ( $L_s = 113$ ) and continuing until the end of the mission (sol 148;  $L_s = 147$ ). Throughout  
237 this period, the cloud base height generally decreased and the clouds persisted later into  
238 the day. Figure 8 shows contour plots of the lidar backscatter coefficient from clouds  
239 detected over the Phoenix site on mission sols 95 and 99. The base of the cloud on sol 95

240 exhibits downward extending finger-like structures. This pattern is similar to what is  
241 often observed on Earth and has been called *Cirrus Mammatus* (Wang and Sassen 2006),  
242 or more commonly *Virga*. The base of the cloud observed on sol 99 after 05:00 has tilted  
243 streaks that are also commonly observed in Earth cirrus clouds when wind shear is  
244 present. The streaks trace out the motion of ice crystals that form near the cloud top, grow  
245 large enough to precipitate hundreds of meters before sublimating in the sub-saturated air  
246 below the cloud (Whiteway et al., 2004).

247

248 In the time period around sol 95/99 the clouds were observed to form only after 1 AM  
249 and this is taken as the time of cloud formation. The ice crystals at the end of the fall  
250 streaks on sol 99 would have fallen a distance of 1.5 km over 4 hours. This is consistent  
251 with the fall speed in the atmosphere of Mars for an ellipsoidal ice particle having length  
252 three times the width and a volume equivalent to a sphere of radius of 35  $\mu\text{m}$  (Fuchs,  
253 1964). This would approximate a columnar ice crystal with width 42  $\mu\text{m}$  and length 127  
254  $\mu\text{m}$ . Such ice crystals are similar to the hexagonal columns that have been sampled in  
255 earth cirrus clouds (Whiteway et al. 2004, Gallagher et al. 2005).

256

257 The vertical profile of extinction coefficient derived from the average lidar signals on  
258 sol 95 is shown in Fig. 9 along with estimated temperature and humidity profiles. The  
259 environment in which the clouds form was investigated with a model of the boundary  
260 layer on Mars that takes account of the radiative transfer and turbulent mixing (Davy et  
261 al. 2009). This made use of the Phoenix lidar observations of dust distribution and the  
262 measurements of temperature at the surface. The simulated profiles of temperature at 1

263 AM and 5 AM on are shown in Fig 9b. Assuming that the cloud ice crystal nucleation  
264 commenced at 1 AM, the cloud observed on sol 95 would have commenced formation at  
265 a height of about 5.1 km where the temperature of the air was  $-67.6^{\circ}$  C. If the cloud  
266 formed when the relative humidity over ice ( $RH_i$ ) reached a threshold of 100% the  
267 vapour pressure would be 0.38 Pa, or a water vapour density of  $4.0 \text{ mg m}^{-3}$ . Laboratory  
268 experiments have found that the threshold for ice nucleation on desert dust is up to  $RH_i =$   
269 130% (Field et al. 2006). The estimate of water vapour density at height 5 km on sol 95 is  
270 in then in the range  $4 - 5 \text{ mg m}^{-3}$ .

271

272 A similar analysis was also applied to the sol 99 case by Whiteway et al. (1999). The  
273 cloud formed initially at height 4 km where the temperature was  $-64.5^{\circ}$  C and the water  
274 vapour density was in the range of  $6.2 - 8.0 \text{ mg m}^{-3}$ . This corresponds to a volume  
275 mixing ratio in the range  $0.0012 - 0.0016$ . The Thermal and Electrical Conductivity  
276 Probe on Phoenix measured the partial pressure of water vapour at the surface to have  
277 values up to 2 Pa during daytime and less than 0.1 Pa at night with a diurnal average of  
278 approximately 0.9 Pa (Zent et al. 2009). The diurnal mean volume mixing ratio at the  
279 surface was 0.0012. It can be expected that the mixing ratio within the well mixed  
280 boundary layer (up to 4 – 5 km) will be constant away from the surface (as in Fig 5) and  
281 equal to or slightly greater than the diurnal mean at the surface. The estimate of water  
282 vapour density at the cloud formation height is thus consistent with the measurements at  
283 the surface. This temperature and humidity range is similar to the environment in which  
284 cirrus clouds form on Earth and it is thus not surprising that the cloud structure observed

285 on Mars is consistent with ice crystals that are similar in size to what has been sampled in  
286 Earth cirrus clouds.

287

288 The lidar cloud measurements on Mars were compared with combined lidar and  
289 aircraft in situ measurements of cirrus above Adelaide Australia during the EMERALD-1  
290 (Egrett Microphysics Experiment with Radiation, Lidar, and Dynamics) field campaign  
291 near Adelaide Australia in September 2001 (Whiteway et al. 2004). A lidar system  
292 (equivalent to the Phoenix lidar) was viewing upward from one aircraft (King Air), while  
293 in situ measurements were carried out within the cirrus clouds from the Egrett aircraft. A  
294 Forward Scattering Spectrometer Probe (FSSP) provided the size spectrum of ice crystals  
295 in the range of 3 – 40  $\mu\text{m}$ , while the Cloud Particle Imager (CPI) provided imaging of the  
296 ice crystals in the size range of 10 – 500  $\mu\text{m}$  (Gallagher et al 2005). Humidity was  
297 measured with a cryogenic frost point hygrometer (Busen and Buck, 1995) and  
298 Temperature was measured with a Rosemount PT500 sensor.

299

300 The Egrett in situ measurements were used to derive the optical extinction coefficient  
301 and the Ice Water Content (IWC). The individual images from the CPI were processed  
302 and their projected area and length was found. The particle images were corrected for  
303 over sizing biases and the sample volume was corrected using a recent calibration method  
304 (Connolly et al 2007). The corrected images were classified into habits using the  
305 automated habit classification scheme described in table 2 of Baran et al. (2009). The  
306 mass-dimension relations of Heymsfield et al. (2002) were used for the particular habit of  
307 the classified ice particle images - these are also reproduced in Table 3 of Baran et al

308 (2009). Finally the CPI data were binned into a time series of IWC and extinction. For the  
309 FSSP, particles were assumed to be quasi-spherical and have the density of hexagonal ice  
310 ( $910 \text{ kg m}^{-3}$ ). In order to calculate extinction we make the assumption that we are in the  
311 regime where the size parameter (ratio of the size of the particle to the wavelength of  
312 light) is greater than 30. In this regime, one can assume that the extinction is twice the  
313 integrated projected area of an ensemble of crystals per unit volume. Hence the extinction  
314 coefficient was computed as the sum of the area per unit volume of each crystal from the  
315 CPI and each particle from the FSSP (taking into account the overlapping size bins of  
316 both probes) and multiplying this by two.

317

318 Figure 10 shows the combination of lidar remote sensing and in situ sampling from  
319 flights on the 11<sup>th</sup> and 18<sup>th</sup> of September, 2001. In each case ice crystals formed at the  
320 cloud top where temperatures were below  $-55 \text{ }^\circ\text{C}$  and the air was saturated with water  
321 vapour at densities below  $10 \text{ mg/m}^3$ ; conditions similar to those estimated for water ice  
322 clouds observed by the lidar on Mars. The extinction coefficients derived from the lidar  
323 and in situ probes were found to be in reasonable agreement on each flight (Figure 10d).  
324 The horizontal separation of the aircraft was kept within a few kilometers, but it is still  
325 difficult to make direct comparisons between the measurements at a specific instant due  
326 to the natural variability within the cloud. The average values of the extinction  
327 coefficients were  $0.40 \text{ km}^{-1}$  from the lidar and  $0.40 \text{ km}^{-1}$  from in situ measurements for  
328 the 11 September flight. The agreement was similar on the 18 September flight with  $0.15$   
329  $\text{km}^{-1}$  from the lidar and  $0.14 \text{ km}^{-1}$  from the in situ measurements.

330

331 In situ measurements of Earth cirrus were used to obtain an empirical relationship  
332 between the extinction coefficient and the ice water content (IWC), and this relationship  
333 was subsequently applied to the measurements on Mars. As the extinction coefficient for  
334 water-ice is the effective cross-sectional area per unit volume, it is also related to the  
335 mass of ice crystals per unit volume. If the crystals were spherical, the relationship would  
336 simply be  $IWC = \frac{4}{3} \cdot R_{eff} \cdot \sigma \cdot \rho_{ICE} \cdot Q^{-1}$ , where  $R_{eff}$  is the area averaged radius,  $\sigma$  is the  
337 extinction coefficient,  $\rho_{ice}$  is the density of bulk ice (910 kg m<sup>-3</sup>) and  $Q$  is the extinction  
338 efficiency for Mie scattering. The ice crystals within a cirrus cloud are actually an  
339 ensemble of hexagonal columns and irregular shapes (Whiteway et al 2005; Gallagher et  
340 al 2005), so a more accurate representation would be expected from an empirical  
341 relationship. As the lidar and in situ extinction coefficients are in general agreement, the  
342 IWC–extinction relationship can be obtained from the in situ measurements alone, as this  
343 removes any effects due to spatial variability between lidar and in situ measurements.  
344 Figure 11 shows the scatter plot of IWC vs. extinction from three flights on 11, 18, and  
345 19 September, 2001. The best linear fit in the range of extinction coefficients observed on  
346 Mars (0.01 – 0.2 km<sup>-1</sup>) is  $IWC [g/m^3] = 10.0 \cdot \sigma [m^{-1}]$ . This is slightly different from the  
347 result obtained by Heymsfield et al. (2005), but both are within the scatter of the data.  
348 The discrepancy could be attributed to differences in the shape of the crystals between the  
349 different studies, and in this respect it is an indication of the uncertainty.

350

351 The IWC of the cloud observed on sol 95 was estimated by applying the empirical  
352 relationship derived from the EMERALD-1 data (Fig. 9d). The ice-water extinction  
353 coefficient at height 5.1 km had a value of 0.09 km<sup>-1</sup> after subtracting the extinction



354 coefficient due to dust. The corresponding estimate of IWC is  $0.9 \text{ mg m}^{-3}$  by applying the  
355 empirical relationship from the EMERALD-1 data. Figure 9d show the vertical profile of  
356 IWC estimated from the lidar measurements.

357

358 A rough prediction of the IWC can be obtained from the decrease in temperature, due  
359 to radiative cooling, between the time that the cloud formed and the time of the  
360 measurement. While the air is still cooling, it can be expected that the water vapour  
361 pressure within the cloud will remain saturated over ice. It will be assumed again that the  
362 cloud commenced forming at 1 AM at a height of 5.1 km. At 5 AM the temperature is -  
363  $69.4^{\circ} \text{ C}$  at height 5.1 km and this corresponds to a saturated vapour pressure of 0.29 Pa,  
364 or a density of  $3.1 \text{ mg m}^{-3}$ . The decrease from the saturated water vapour density at cloud  
365 formation ( $4 \text{ mg m}^{-3}$ ) would have been deposited on to ice crystals. The 5 AM cloud IWC  
366 at height 4 km is then approximately equal to the  $0.9 \text{ mg m}^{-3}$  change in vapour density  
367 between 1 am and 5 am. Thus the estimate of IWC at 5.1 km from the lidar measurement  
368 ( $0.9 \text{ mg m}^{-3}$ ) is consistent with the estimate based on changing temperature profile. This  
369 calculation was carried out at each height through the cloud to obtain the model IWC  
370 profile in Fig. 9c.

371

## 372 **6. Conclusions**

373 Lidar measurements of dust from the Australian desert and Mars Phoenix site show  
374 several similarities, most notably in the magnitude of the optical extinction coefficients,  
375 and the depth of the planetary boundary layers. During periods of high dynamic activity,

376 both sites exhibited analogous structures in the distribution of dust due to convective cells  
377 extending above the PBL.

378

379 Comparison of aircraft in situ sampling with lidar measurements provides a strong  
380 verification for the lidar measurement and analysis methods. Martian dust microphysical  
381 properties were derived from lidar extinction profiles with  $\sigma = 0.14 \text{ km}^{-1}$ , and an estimate  
382 for the average total number density at 2 km was found to be  $15.7 \text{ cm}^{-3}$ .

383

384 Lidar measurements of clouds on Mars were found to exhibit similar structure and  
385 optical characteristics to cirrus clouds observed above Adelaide, Australia. Aircraft in  
386 situ measurements were used to derive an empirical relationship between optical  
387 extinction coefficient and ice water content, and this was applied to the lidar  
388 measurements on Mars.

389

## 390 7. Acknowledgements

391 This work was enabled by funding from the Canadian Space Agency under contract  
392 9F007-070437/001/SR. The Phoenix mission was led by the University of Arizona, on  
393 behalf of NASA, and managed by the Jet Propulsion Laboratory. The EMERALD project  
394 was funded by the UK Natural Environment Research Council (NERC). The Facility for  
395 Ground-based and Atmospheric Measurement (FGAM) provided the CPI instrument  
396 during the EMERALD campaign. The Egrett and Dimona aircraft are owned and  
397 operated by Airborne Research Australia at Finders University.

398

399

400

401

402

## 8. References

403 Baran, A. J., Connolly, P. J. , Lee, C. , 2009. Testing an ensemble model of cirrus ice  
404 crystals using midlatitude in situ estimates of ice water content, volume extinction  
405 coefficient and the total solar optical depth. *Journal Of Quantitative Spectroscopy &*  
406 *Radiative Transfer* 110 (14-16), 1579-1598.

407 Bohren, C. F. and Huffman, D. R. , 1983. *Absorption and scattering of light by small*  
408 *particles*, New York, Wiley.

409 Boynton, W. V. et al., 2009, Evidence for Calcium Carbonate at the Mars Phoenix  
410 Landing Site. *Science* 325, 61-64.

411

412 Busen, R., and Buck, A. L., 1995, A high-performance hygrometer for aircraft use:  
413 Description, installation and flight data. *Journal of Atmospheric and Oceanic Technology*  
414 12, 73–84.

415

416 Chen, W-N., Chiang, C-W. and Nee, J-B., 2002. Lidar Ratio and Depolarization Ratio for  
417 Cirrus Clouds. *Applied Optics* 41, 6470–6476.

418

419 Connolly, P. Et al., 2007. Calibration of the Cloud Particle Imager Probes Using  
420 Calibration Beads and Ice Crystal Analogs: The Depth of Field. *Journal of Atmospheric*  
421 *and Oceanic Technology* 24(11), 1860–1879

422

423

424 Davy, R., et al., 2009. Initial analysis of air temperature and related data from the  
425 Phoenix MET station and their use in estimating turbulent heat fluxes. *Journal of*  
426 *Geophysical Research*, in press.

427

428 Ellehoj, M.D. et al., 2009. Convective vortices and Dust Devils at the Phoenix Mars  
429 Mission landing site *Journal of Geophysical Research - Planets*, in press.

430

431 Fernald, F.G., 1984. Analysis Of Atmospheric Lidar Observations - Some Comments.  
432 *Applied Optics*, 23(5), 652-653.

433

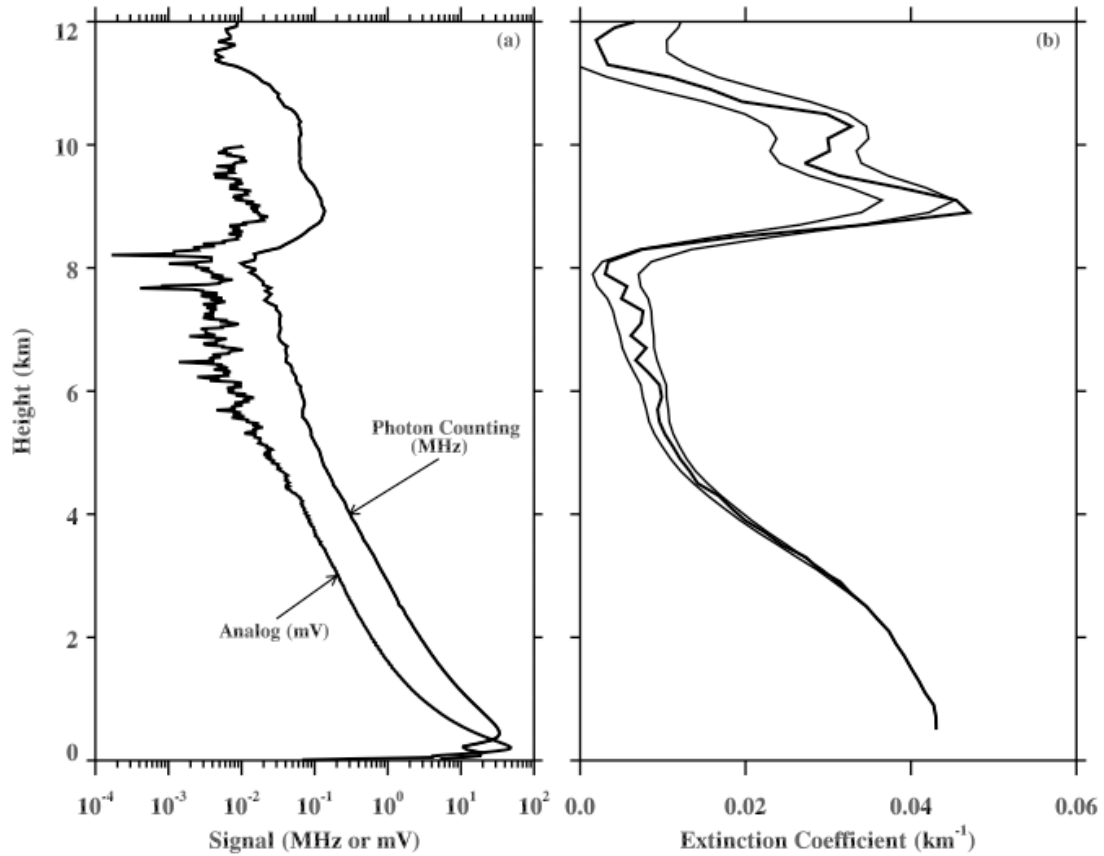
434 Fuchs, N. A., 1964. *The Mechanics of Aerosols*. Pergamon, New York.

435

436 Gallagher, M. W. et al., 2005. An overview of the microphysical structure of cirrus  
437 clouds observed during EMERALD-1. *Quarterly Journal of the Royal Meteorological*  
438 *Society* 131, 1143–1169.

439  
440 Hecht M. H. et al., 2009. Detection of Perchlorate and the Soluble Chemistry of Martian  
441 Soil at the Phoenix Lander Site. *Science* 325, 64-67.  
442  
443 Heymsfield, A. J., et al. 2002. A general approach for deriving the properties of cirrus  
444 and stratiform ice cloud particles . *Journal Of The Atmospheric Sciences* 59(1), 3-29.  
445  
446 Heymsfield, A. J., et al., 2005. Extinction-ice water content-effective radius algorithms  
447 for CALIPSO. *Geophysical Research. Letters.* 32, L10807.  
448  
449 Kounaves, S. M. et al., 2009. The Wet Chemistry Experiments on the 2007 Phoenix Mars  
450 Scout Lander Mission: Data Analysis and Results. *Journal of Geophysical Research -*  
451 *Planets*, in press.  
452  
453 Papayannis, A. et al., 2008. Systematic lidar observations of Saharan dust over Europe in  
454 the frame of EARLINET *Journal of Geophysical Research* 113, 2000–2002.  
455  
456 Qin, Y. and Mitchell, R. M., 2009. Characterisation of episodic aerosol types over the  
457 Australian continent, *Atmos. Chem. Phys.*, 9, 1943–1956  
458  
459 Wang L., and Sassen, K., 2006. Cirrus mammatus properties derived from an extended  
460 remote sensing dataset. *Journal of Atmospheric Science* 63, 712 – 725.  
461  
462 Shaw, A. et al., 2009. Phoenix soil physical properties investigation. *Journal of*  
463 *Geophysical Research - Planets*, in press.  
464  
465 Smith, P. H. et al., 2008. Introduction to special section on the Phoenix Mission: Landing  
466 Site Characterization Experiments, Mission Overviews, and Expected Science, *Journal of*  
467 *Geophysical Research - Planets* 113, E00A18.  
  
468 Smith, P. H. et al., 2009. H<sub>2</sub>O at the Phoenix Landing Site. *Science* 325, 58-61.  
  
469 Tamppari, L. K. et al., 2009. Phoenix and MRO Coordinated Atmospheric  
470 Measurements, *Journal of Geophysical Research - Planets*, in press.  
471  
472 Taylor et al., 2009. Temperature, pressure, and wind instrumentation in the Phoenix  
473 meteorological package, *Journal of Geophysical Research - Planets* 113, E00A10.  
474  
475 Whiteway, J. et al., 2004. Anatomy of cirrus clouds: Results from the Emerald airborne  
476 campaigns, *Geophysical Research Letters* 31, L24102.  
477  
478 Whiteway, J. et al., 2008. Lidar on the Phoenix mission to Mars. *Journal of Geophysical*  
479 *Research - Planets* 113, E00A08.  
480  
481 Whiteway, J. et al., 2009. Mars Water-Ice Clouds and Precipitation. *Science*, 325, 68-70.  
482

483 Wolff, M. J. et al., 2009. Wavelength dependence of dust aerosol single scattering albedo  
484 as observed by the Compact Reconnaissance Imaging Spectrometer. Journal of  
485 Geophysical Research - Planets, 114, E00D04.  
486  
487 Zent, A.P. et al., 2009. Initial Results from the Thermal and Electrical Conductivity  
488 Probe (TECP) on Phoenix. Journal of Geophysical Research - Planets, in press.  
489



489

490

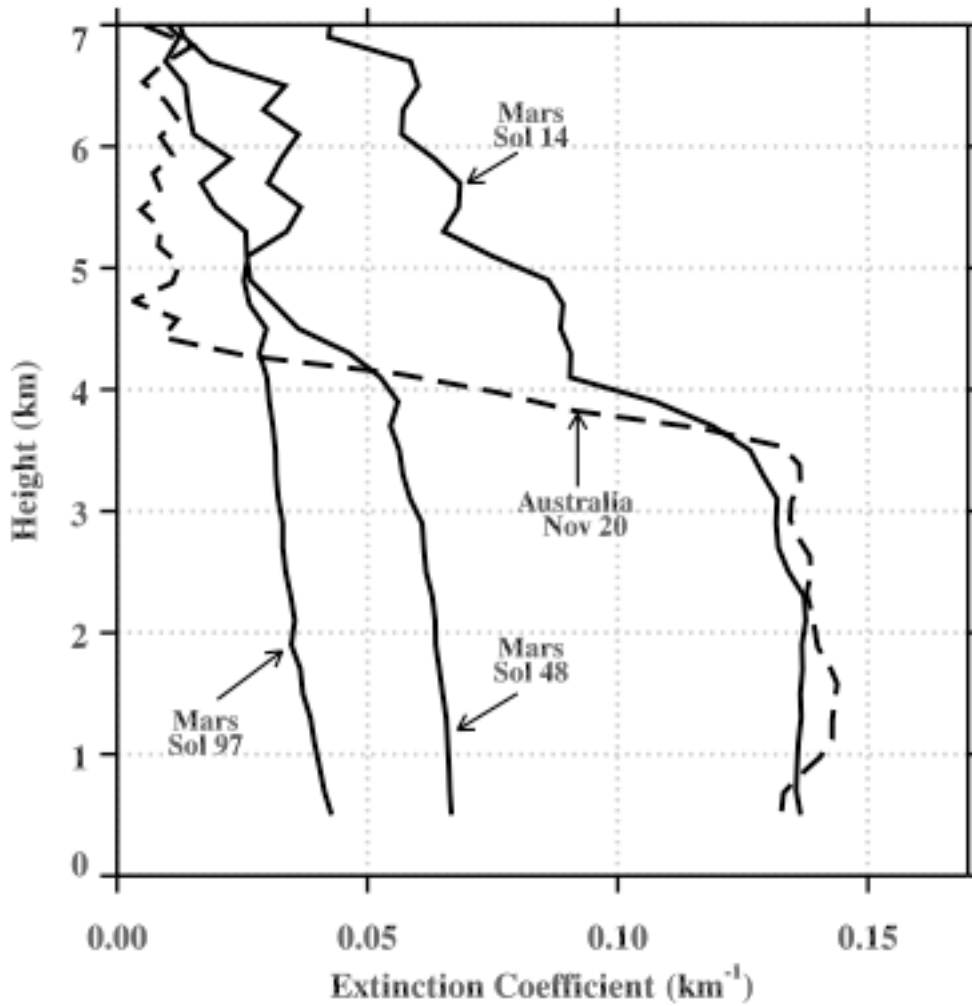
491 Figure 1. (a) Lidar backscatter signal for both the Analog (milliVolts) and Photon

492 Counting (MHz) on Sol 65, 07:11 Local True Solar Time ( $L_S = 106^\circ$ ). (b) Derived

493 extinction coefficient profile for Sol 65 using a lidar ratio of 40. The Analog signal was

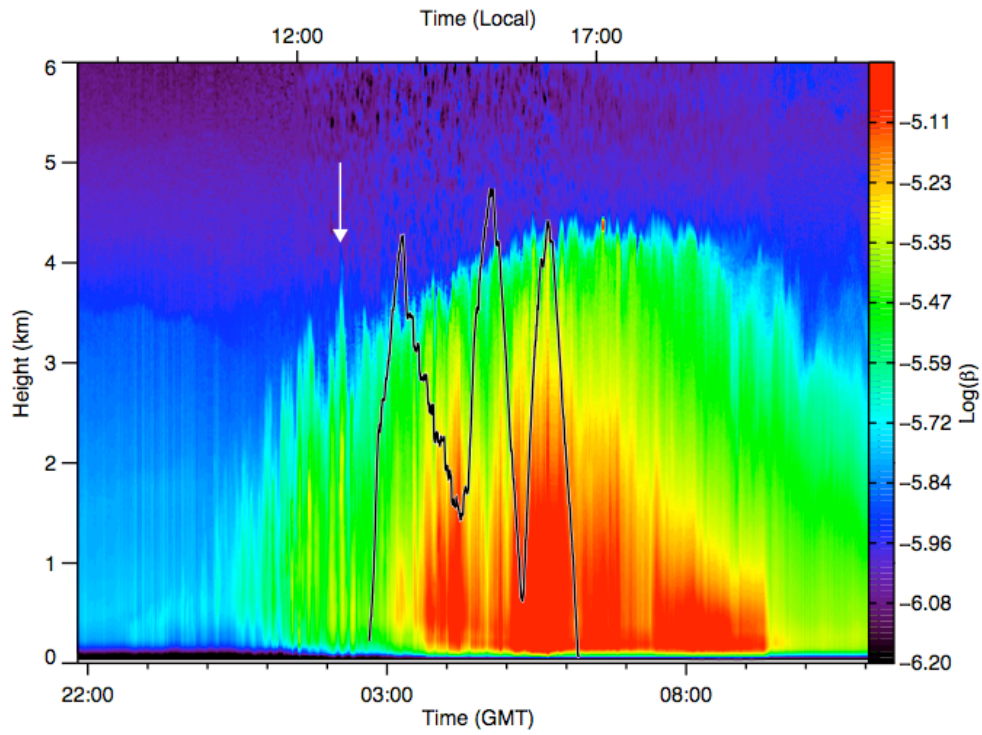
494 employed from ground to 2.5 km, and Photon Counting signal from 2.5 – 20km. Relative

495 uncertainty is provided for the extinction coefficient.



496  
 497  
 498  
 499  
 500  
 501

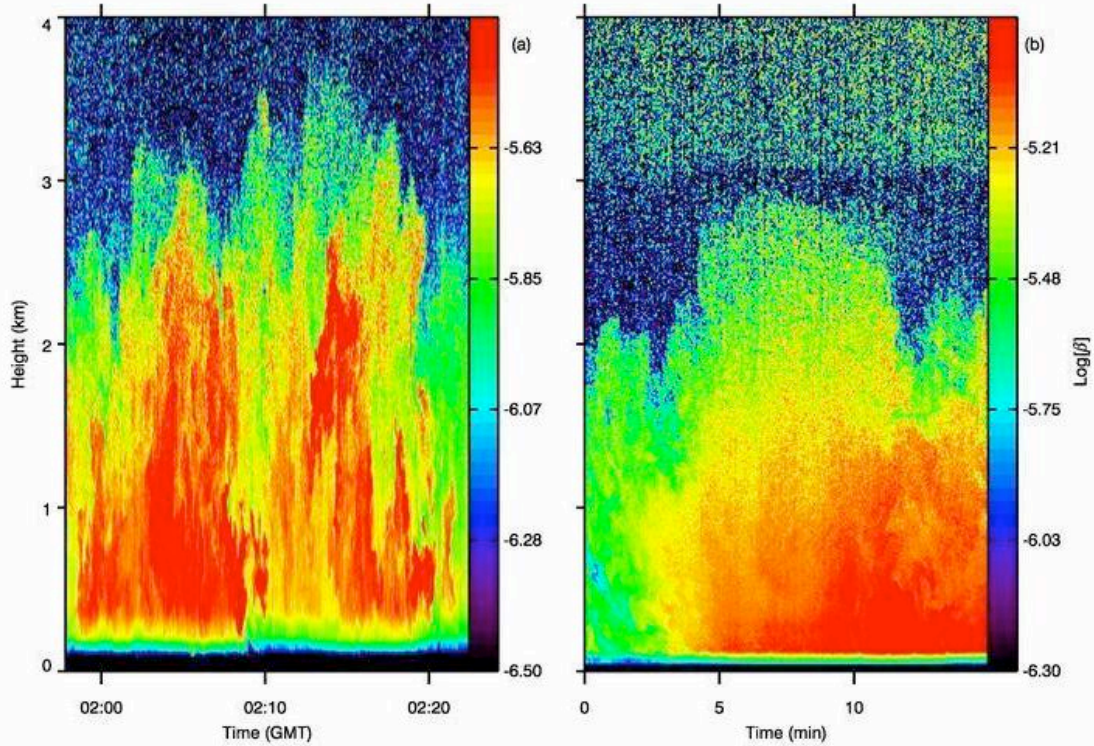
Figure 2. Lidar extinction coefficient profiles for Mars Sols 14, 48, 97 (solid) and at Muloorina Australia on Nov 20<sup>th</sup> 05:00 GMT (dashed). The solar longitudes of Mars on these dates were  $L_s = 84^\circ, 99^\circ,$  and  $122^\circ$ .



502  
503  
504  
505  
506

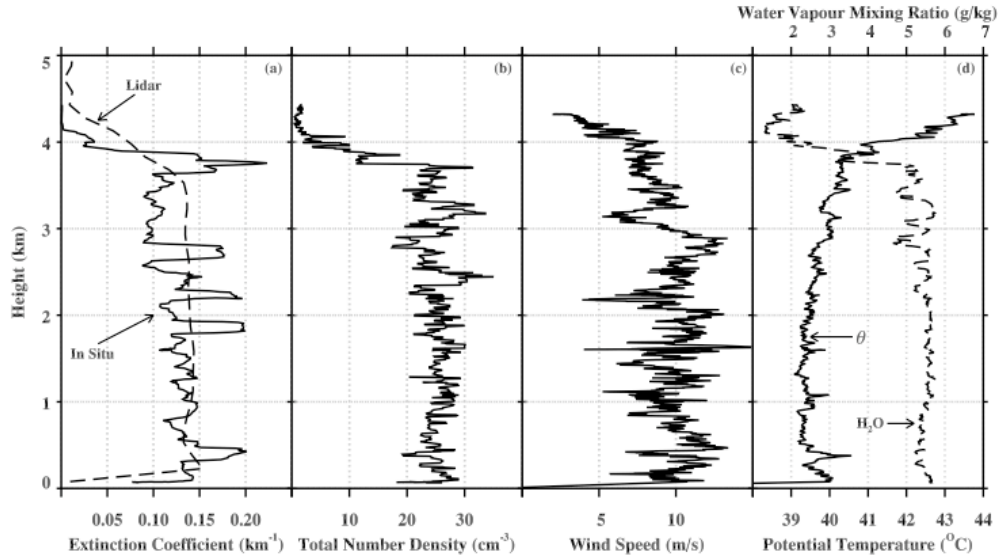
Figure 3. Lidar backscatter coefficient derived from the measurements on November 20<sup>th</sup> at Muloorina Australia. The solid black line indicates the height of the Dimona aircraft.





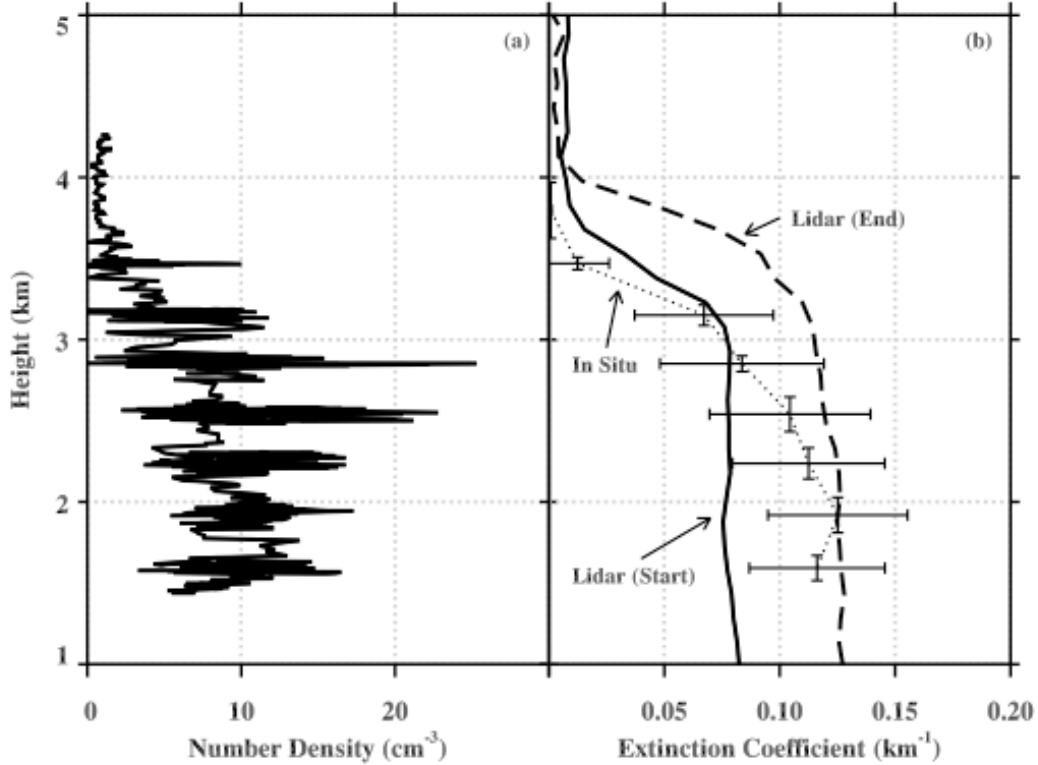
507  
 508  
 509  
 510  
 511  
 512  
 513  
 514  
 515  
 516  
 517  
 518  
 519

Figure 4. Contour plot of lidar backscatter coefficient over short time periods for (a) November 20<sup>th</sup>, 03:00 GMT at Australia, and (b) Sol 5 ( $L_s = 79^\circ$ ) at the Phoenix Mars site (11:00 Local True Solar Time). Both plots are given as  $\text{LOG}(\beta)$ . Although the structures appear similar, the total dust loading for these examples was observed to be quite different:  $OD = 0.22$  and  $0.86$  for Australia and Mars respectively.



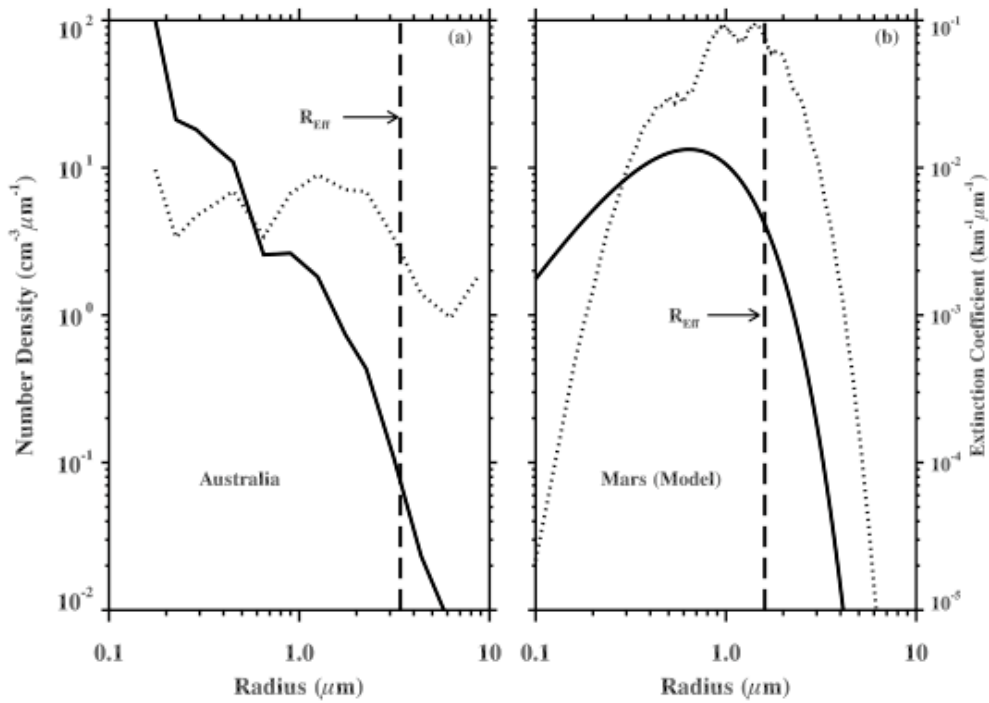
520  
 521  
 522  
 523  
 524  
 525  
 526  
 527  
 528  
 529

Figure 5. (a) Optical extinction coefficient profiles derived from in situ (solid) and lidar (dashed) measurements on Nov 20<sup>th</sup> 05:00 GMT. Aircraft in situ measurements of (b) dust number density, (c) wind speed, (d) potential temperature (solid), and (d) water vapour density (dashed). The near vertical profile below 4km in each of these plots illustrates that the PBL was well mixed at this time of day (15:30 Local).



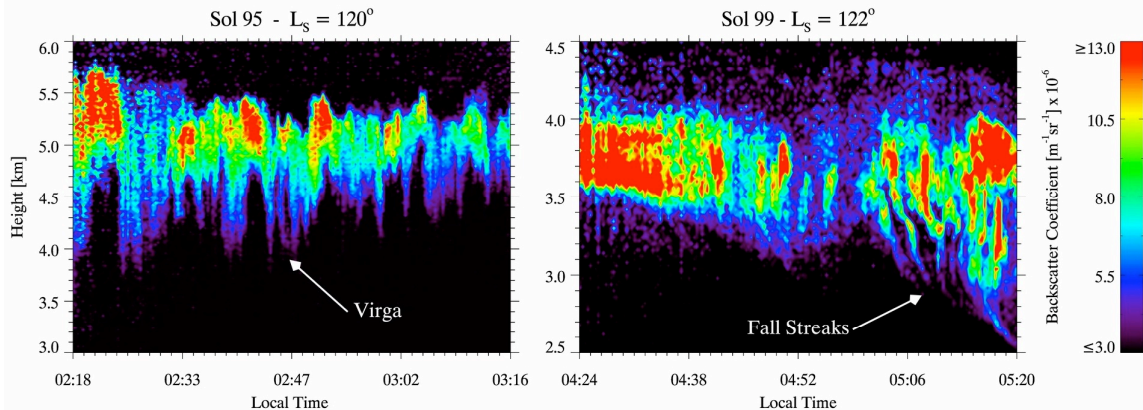
530  
 531  
 532  
 533  
 534  
 535  
 536  
 537  
 538  
 539  
 540

Figure 6. (a) In situ measurements of particle number density. (b) Lidar extinction coefficient profiles at start (solid) and end (dashed) of the aircraft descent. The average extinction coefficients, and associated  $1\sigma$  standard deviations, were calculated for each horizontal flight leg (crosses), with the dotted line representing the average extinction profile as measured by the aircraft over its one hour descent.



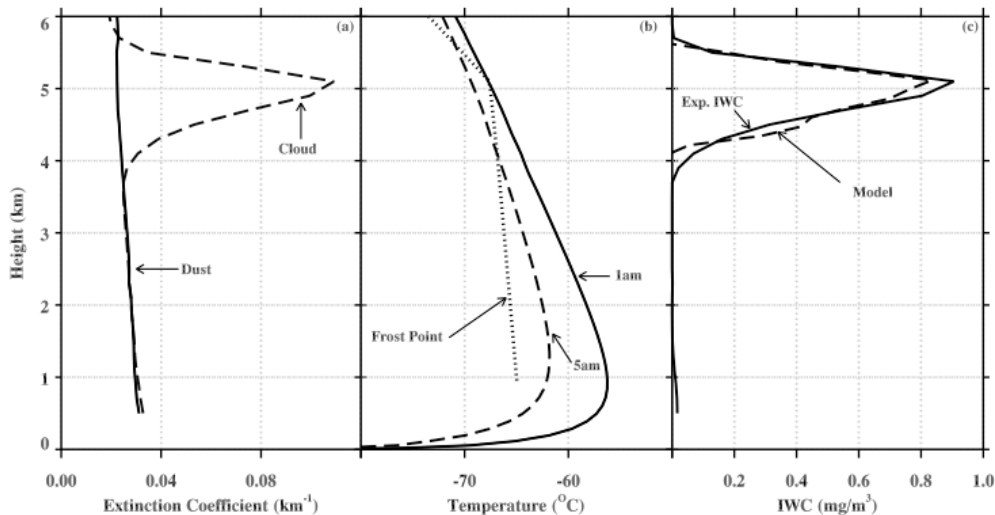
541  
 542 Figure 7. (a) The measured spectrum of particle number density and optical extinction  
 543 coefficient as a function of particle size from the flight on 20 November, between 2 and 3  
 544 km. (b) The model spectrum of particle number density and optical extinction normalized  
 545 to match the lidar measurement on Mars, Sol 14 ( $L_s = 84^\circ$ ) at height 2 km. For each plot  
 546 the *Effective Radius* ( $R_{\text{Eff}}$ ) is given as a dashed vertical line.

547  
 548  
 549  
 550  
 551  
 552  
 553  
 554  
 555



556  
557  
558  
559  
560  
561  
562  
563  
564  
565

**Figure 8.** Two case studies of lidar cloud measurements on Mars. Each shows the height distribution of backscatter coefficient over a one hour interval. The colored area is the outline of a cloud that drifted above the landing site. Left: The base of the cloud is deformed into vertical finger-like structures that are caused by precipitating ice crystals that sublimate into the air below the cloud (Virga). Right: The fall streaks are where precipitating ice crystals are stretched out somewhat in the horizontal by wind shear.

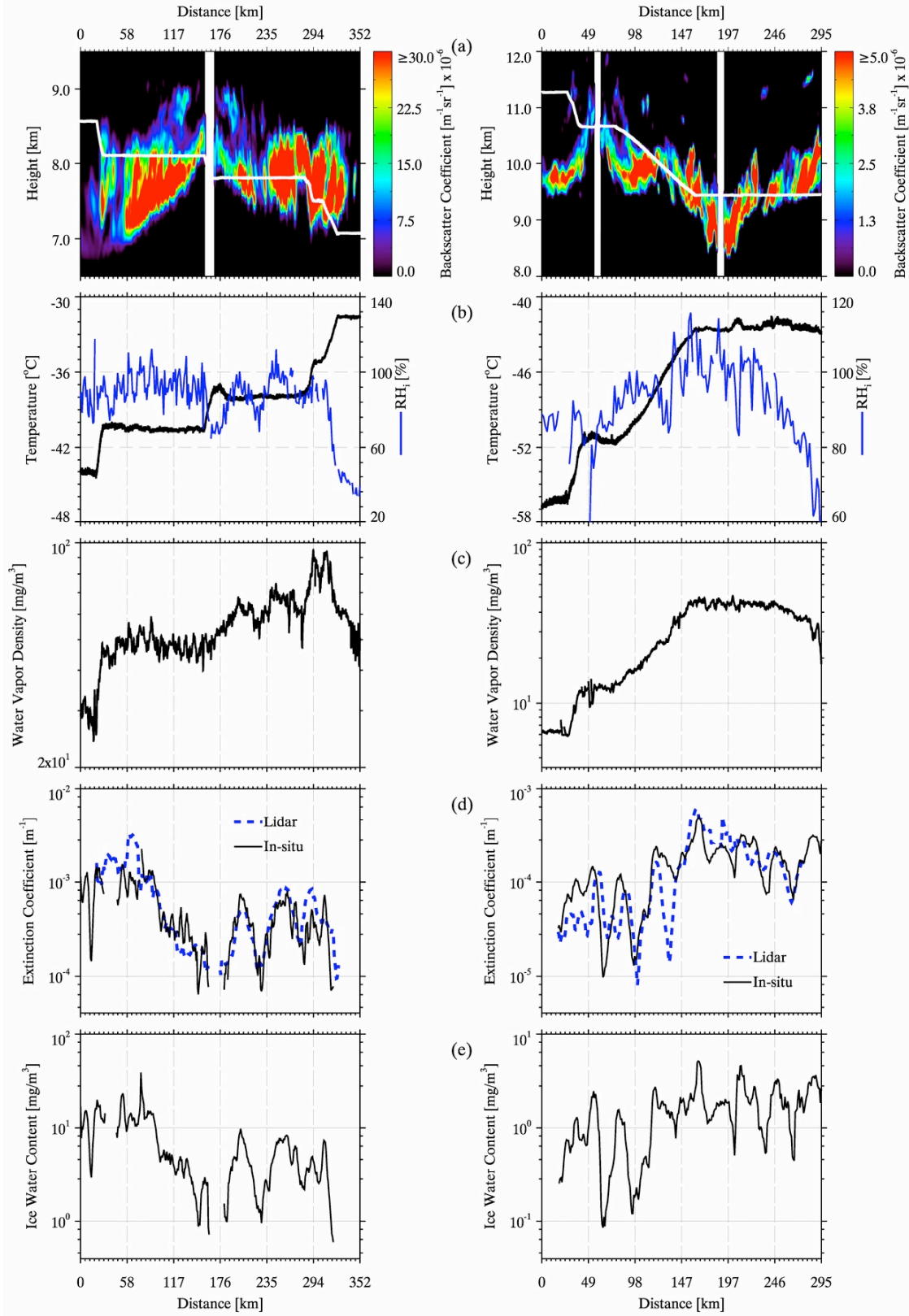


566  
567  
568  
569  
570  
571  
572  
573

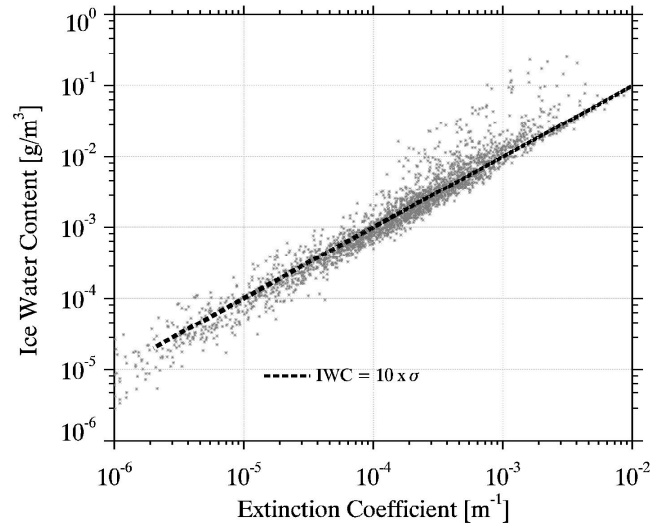
**Figure 9.** (a) Extinction Coefficient Profile for Sol 95 ( $L_S = 120$ ) for dust only (solid) and for dust + cloud (dashed). (b) Modeled temperature profiles for 1 am (solid) and 5 am (dashed) on Sol 95. The Frost point (dotted) was estimated from the cloud peak at 1am, constant water vapour mixing ratio below, and intersecting the cloud top temperature above. (c) Derived Ice Water Content from the lidar cloud extinction (solid) and from the modeled 5 am and Frost Point Temperature Profiles of (b) (dashed).

September 11, 2001

September 18, 2001



575 **Figure 10** (a) Airborn lidar measurments of cirrus clouds near Adelaide Australia, on the  
576 11<sup>th</sup> and 18<sup>th</sup> of September 2001. The height of the Egrett aircraft and in situ  
577 measurements, is given as a white line. Measurements along the Egrett flight path of (b)  
578 temperature and relative humidity, (c) water vapour density, (d) derived extinction  
579 coefficients, and (e) ice water content calculated from in situ measurements.  
580  
581  
582  
583



584 **Figure 11.** Scatter plot of Ice Water Content versus derived in situ extinction coefficient.  
585