

## 6 An example: dense linear orders

Take  $\mathcal{L}$  to be  $\mathcal{L}_0$  augmented by a binary relation symbol, which we will write as “ $\leq$ ”. Let  $T_{\text{dlo}}$  be the theory of densely linearly ordered sets without endpoints:

(poset)  $\forall x (x \leq x); \quad \forall x, y, z (x \leq y \wedge y \leq z \rightarrow x \leq z);$

$\forall x, y (x \leq y \wedge y \leq x \rightarrow x = y)$

(linear)  $\forall x, y (x \leq y \vee y \leq x)$

(densely ordered)  $\forall x, y (x < y \rightarrow \exists z (x < z \wedge z < y))$

(w/o endpoints)  $\forall x \exists y \exists z (x < y \wedge z < x)$

where we use the symbol “ $<$ ” as an abbreviation (so “ $x < y$ ” stands for “ $x \leq y \wedge \neg(x = y)$ ”); similarly for  $>$  and  $\geq$ .

For instance,  $(\mathbb{Q}; \leq)$  is a model of  $T_{\text{dlo}}$ , as is  $(\mathbb{R}; \leq)$ .

**Theorem 6.1.** *Let  $\mathcal{M}, \mathcal{N} \models T_{\text{dlo}}$  be countable and let  $a_1 < \dots < a_k$  be elements of  $\mathcal{M}$  and  $b_1 < \dots < b_k$  be elements of  $\mathcal{N}$ . Then there is an isomorphism  $\alpha : \mathcal{M} \rightarrow \mathcal{N}$  taking  $a_i$  to  $b_i$  ( $i = 1, \dots, k$ ).*

**Proof.** The proof is a back-and-forth argument - see Section [5.2]. It is a slight elaboration of that argument in that we start with part of the isomorphism, let’s call it  $\alpha$ , already determined (namely  $a_i$  has to be sent to  $b_i$ ).

We enumerate  $\mathcal{M}$  as  $a_1, \dots, a_k, m_1, \dots, m_t, \dots$  and we also enumerate  $\mathcal{N}$  as  $b_1, \dots, b_k, n_1, \dots, n_t, \dots$ . We build up the isomorphism, starting by sending each  $a_i$  to  $b_i$ . Then we have to decide where to send  $m_1$ : so we look for an element - which we will set to be  $\alpha(m_1)$  - which bears the same relation to  $b_1, \dots, b_k$  that  $m_1$  does to  $a_1, \dots, a_k$  (we can find such an element because the ordering is dense and because there are no end-points). We move on to  $m_2$  - we find an element which bears the same relation to  $b_1, \dots, b_k, \alpha(m_1)$  that  $m_2$  does to  $a_1, \dots, a_k, m_1$  and set this to be  $\alpha(m_2)$ , etc. If we continue in this way we build up an order-preserving injection from  $\mathcal{M}$  to  $\mathcal{N}$ : but we want an isomorphism and there’s no reason why  $n_1$ , say, should be in the image of  $\alpha$  - that is just a “forth” construction. For a back-and-forth we modify the construction as follows: at odd-numbered stages we follow the above; at even-numbered stages we reverse the roles of  $\mathcal{M}$  and  $\mathcal{N}$  (so at stage 2 we will find an element which bears the same relation to  $a_1, \dots, a_k, m_1$  that  $n_1$  does to  $b_1, \dots, b_k, \alpha(m_1)$  and set this to be  $\alpha^{-1}(n_1)$ , etc.).

We end up with an isomorphism as described.  $\square$

**Corollary 6.2.** *If  $\mathcal{M}, \mathcal{N}$  are countable models of  $T_{\text{dlo}}$  then  $\mathcal{M} \simeq \mathcal{N}$ .*

**Proof.** Take  $\bar{a} = \emptyset = \bar{b}$  in 6.1.  $\square$

**Corollary 6.3.** *If  $\mathcal{M}$  is a countable densely linearly ordered set without endpoints then  $\mathcal{M} \simeq (\mathbb{Q}, \leq)$ .*

**Definition 6.4.** *A theory  $T$  is  $\kappa$ -categorical ( $\kappa$  a cardinal) if it has, up to isomorphism, just one model of cardinality  $\kappa$ .*

**Corollary 6.5.** *The theory of densely linearly ordered sets without endpoints is  $\aleph_0$ -categorical and hence complete.*

**Proof.** Suppose that  $\mathcal{M}, \mathcal{N}$  are models of  $T_{\text{dlo}}$ . Since  $T_{\text{dlo}}$  has no finite model, these are infinite and so, by downwards Löwenheim-Skolem ([5.1]), there are countable  $\mathcal{M}', \mathcal{N}'$  with  $\mathcal{M}' \prec \mathcal{M}$  and  $\mathcal{N}' \prec \mathcal{N}$ . By 6.2,  $\mathcal{M}' \simeq \mathcal{N}'$  and hence ([3.14])  $\mathcal{M}' \equiv \mathcal{N}'$ . So  $\mathcal{M} \equiv \mathcal{N}$ .  $\square$

*Exercise 6.6.* Show that if  $T$  is a theory in a countable language  $\mathcal{L}$ , has no finite model, and is  $\aleph_0$ -categorical, then  $T$  is complete.

*Exercise 6.7.* Show that  $T_{\text{dlo}}$  is not  $\kappa$ -categorical where  $\kappa$  is the cardinal of the continuum.

**Proposition 6.8.** *Let  $\mathcal{M} \models T_{\text{dlo}}$  and let  $\bar{a} = (a_1, \dots, a_n)$  and  $\bar{b} = (b_1, \dots, b_n)$  be tuples from  $M$  with the same order-type in  $\mathcal{M}$  - that is,  $a_i < a_j$  iff  $b_i < b_j$  for all  $i, j$ .*

*Then for all  $\phi(\bar{x}) \in \mathcal{L}$  we have  $\mathcal{M} \models \phi(\bar{a})$  iff  $\mathcal{M} \models \phi(\bar{b})$ .*

**Proof.** By Downwards Löwenheim-Skolem we can replace  $\mathcal{M}$  by a countable elementary substructure still containing  $\bar{a}$  and  $\bar{b}$ , so wlog  $\mathcal{M}$  is countable. Renumbering if necessary we may assume that we are in the situation of the hypothesis of Proposition 6.1 and so we may conclude that there is an automorphism of  $\mathcal{M}$  taking  $\bar{a}$  to  $\bar{b}$ . An appeal to [3.13] finishes the proof.  $\square$

**Definition 6.9.** *Let  $\mathcal{M}$  be an  $\mathcal{L}$ -structure,  $\bar{a}$  a tuple from  $M$ . The **type** of  $\bar{a}$  in  $\mathcal{M}$  is the set of formulas (in some fixed tuple,  $\bar{x}$ , of free variables) satisfied by  $\bar{a}$  in  $\mathcal{M}$ :*

$$\text{tp}^{\mathcal{M}}(\bar{a}) = \{\phi(\bar{x}) \in \mathcal{L} : \mathcal{M} \models \phi(\bar{a})\}.$$

**Corollary 6.10.** *Let  $\mathcal{M} \models T_{\text{dlo}}$  and let  $\bar{a}$  be in  $M$ . Then the type of  $\bar{a}$  in  $\mathcal{M}$  is completely determined by the order-type of  $\bar{a}$ .*

**Definition 6.11.** *A complete  $\mathcal{L}$ -theory  $T$  has **elimination of quantifiers** if, for every formula  $\phi(\bar{x})$  in  $\mathcal{L}$  there is a quantifier-free formula  $\theta(\bar{x})$  in  $\mathcal{L}$  such that  $\phi$  is equivalent to  $\theta$  in every model of  $T$ ; that is,*

$$\mathcal{M} \models T \text{ implies } \phi(\mathcal{M}) = \theta(\mathcal{M}),$$

*equivalently,*

$$\mathcal{M} \models T \text{ implies } \mathcal{M} \models \forall \bar{x} (\phi(\bar{x}) \leftrightarrow \theta(\bar{x})).$$

**Corollary 6.12.**  *$T_{\text{dlo}}$  has elimination of quantifiers.*

**Proof.** Given  $\phi(\bar{x}) \in \mathcal{L}$  we must show that there is a quantifier-free formula  $\theta(\bar{x})$  equivalent to  $\phi$  modulo  $T_{\text{dlo}}$ . By 6.10, whether or not a tuple  $\bar{a}$  satisfies  $\phi$  is determined by the order-type of  $\bar{a}$ . The order-type  $\tau$  of a tuple can be described by a quantifier-free formula,  $\rho_\tau$  say, so we let  $\theta$  be the disjunction of the  $\rho_\tau$  such that some (hence by 6.8 every)  $n$ -tuple (where  $n = l(\bar{x})$ ) of order-type  $\tau$  satisfies  $\phi$ .  $\square$