

# Multi-domain active sound control and noise shielding

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This paper describes an active sound control methodology based on difference potentials. The main feature of this methodology is its ability to automatically preserve “wanted” sound within a domain while canceling “unwanted” noise from outside the domain. This method of preservation of the wanted sounds by active shielding control is demonstrated with various broadband and realistic sound sources such as human voice and music in multiple domains in a one-dimensional enclosure. Unlike many other conventional active control methods, the proposed approach does not require the explicit characterization of the wanted sound to be preserved. The controls are designed based on the measurements of the total field on the boundaries of the shielded domain only, which is allowed to be multiply connected. The method is tested in a variety of experimental cases. The typical attenuation of the unwanted noise is found to be about 20 dB over a large area of the shielded domain and the original wanted sound field is preserved with errors of around 1 dB and below through a broad frequency range up to 1 kHz. © 2011 Acoustical Society of America. [DOI: 10.1121/1.3531933]

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## I. INTRODUCTION

Active control of sound is a technique for altering acoustic fields to a desired one by introducing controllable active secondary sound sources called controls. An example problem formulation in this area involves a given region of space (bounded or unbounded) to be shielded from unwanted external noise by the active controls. The controls establish an active boundary, shielding the region from the noise. This specific strategy for noise cancellation by means of active boundary controls is called active shielding (AS). The overall problem of active noise cancellation becomes more complicated if, along with the unwanted noise, a wanted sound component is present inside the protected region.

Generally, existing conventional active control methods, for example those developed by Nelson and Elliott,<sup>1</sup> and Kincaid *et al.*,<sup>2,3</sup> require an accurate description of the original noise source in order to devise a global cancellation solution. When the measurement is carried out in close proximity of the shielded domain and the noise source is not measured, significant noise reduction can generally be achieved only locally in conventional approaches.<sup>1</sup> Often in practice it is not feasible to measure the physical values of the original noise source since the noise source is not always accessible.<sup>4</sup> In addition, the transfer function of the sound through the problem domain has to be taken into account to achieve a global noise cancellation solution. This is particu-

larly difficult if the medium of propagation is inhomogeneous. To overcome these limitations and associated practical difficulties, the difference potential method (DPM) proposed here can provide a convenient solution. The theoretical concept is based on the method described in Refs. 5 and 6. It allows one to obtain a general solution to the AS problem for arbitrary geometries, properties of the medium, or boundary conditions.

Theoretically, the DPM allows us to reduce a boundary value problem set in a complex domain to a boundary equation. Its key characteristics include the capability to cancel out the unwanted noise in a large region of the shielded domain, while requiring no detailed knowledge of either the sound transfer function for the problem domain or the noise sources. The only input data needed by the methodology are acoustic quantities at the perimeter of the protected region (in practice they can be measured). By requiring only this limited input data, the unique characteristics of the method can provide a practical and cost-effective control system. Moreover, these quantities may pertain to an overall acoustic field composed both of unwanted and wanted components. The methodology automatically differentiates between the two. The method suggested by Jessel and Mangiante,<sup>7,8</sup> and Canevet,<sup>9</sup> hereby called the JMC method,<sup>10</sup> yields solutions for global noise cancellation in a similar way when only the unwanted noise is present in the protected domain. The main difference between the approaches based on the DPM and JMC is that only the former provides the advantages of preservation of the wanted sound and volumetric noise cancellation through an entire shielded domain when the total field

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composed of both the wanted sound and noise is measured on the boundary. In addition, the DPM-based approach allows a shielded domain to be multiply connected. This capability is potentially very useful for applications related to noise control and room acoustics, as it enables protection of the predefined space against the noise coming from the outside, while at the same time not interfering with the ability of the listener to listen to wanted sound or communicate inside the room. Although this technique has been introduced and studied theoretically in previous publications,<sup>11,12</sup> the unique feature that allows us to preserve the wanted sounds in multi-domain has never been experimentally studied and published in literature. The main focus of the paper is an implementation of the novel AS technique based on the difference potentials to multi-domain tests with broadband signals. In practice a sound field is generally composed of broadband frequencies rather than a pure tone. A broadband sound source may cause extreme fluctuations of sound pressure at some frequencies due to resonances and anti-resonances in a duct closed by rigid terminations. Quite often a control system based on many other conventional AS methods fails to achieve efficient cancellation of noise at resonances. The reason is that the sensitivity of their solutions to errors is too high at resonances. With that in mind, the characteristics and practical limitations of the approach over a broad frequency band will be evaluated and discussed in this paper. As we are in an early stage of the experimental investigation for the method, a real-time control system has not been implemented yet. The overall system is assumed to be linear time-invariant and repeatable in the experiment.

## II. THEORETICAL FORMULATION

Details of the theoretical formulation have already been described in previous publications.<sup>13,14</sup> Only a brief outline of the concept is given here to help the understanding relevant to the experimental design. Assume that the propagation of sound is governed by a linear partial differential equation or system in a domain  $D_o$ . The sound field is composed of both adverse noise and wanted sound. We formulate the AS problem as follows. It is required to find such additional sources that the solution to the modified problem coincides with the wanted sound in a subdomain  $D$ , i.e.  $D$  is part of  $D_o$  that is to be shielded. It is important to note here that the domain  $D$  is not necessarily simply connected. It is also noted that the reverberation field of wanted sound is also considered as part of the wanted sound. From a practical point of view, the additional sources, which are the “controls,” cannot be immediately realized because the solution assumes the distribution of controls to be continuous. Here, a discrete distribution of the controls can be obtained via the theory of difference potentials (see Refs. 15 and 16 for details). It can also be interpreted as a discrete approximation of the continuous solution. For the AS solution it is sufficient to have access only to the trace of the total acoustic field on the boundary of the domain  $D$ . In particular, no knowledge of the actual sources (wanted and unwanted) is required. Thus, such active controls are more practical than the trivial solution of having a control equals to the ideal negative of the

unwanted source, which is difficult to implement even if the adverse sources are explicitly available.

It is important to emphasize that the control sources are obtained for the general case and do not require knowledge of the Green’s function of the problem. It has been shown that the space AS solution is based on the knowledge of the total sound pressure and the normal component of the particle velocity on boundary surface of the shielded domain.<sup>15,16</sup> The general solution is applicable in the general case of 3D flow field.

The solution can be illustrated for a one-dimensional case, in which the primary noise sources are situated in the area  $-\ell < x < \ell$ , whereas the secondary (control) sources  $G$  are to be placed at  $x = -\ell$  and  $x = \ell$  to protect the domains  $x < -\ell$  and  $x > \ell$ . These domains are interpreted as subdomains of a single multiply connected domain  $D$ . We suppose the field is monochromatic and, in the frequency domain, the generated wanted field is represented by sound pressure  $A_1 e^{-jkx}$  if  $x < -\ell$  and  $A_2 e^{jkx}$  if  $x > \ell$ . In turn, it is assumed that the noise is generated in such a way that field  $B_1 e^{jkx}$  propagates toward domain  $x < -\ell$ , while field  $B_2 e^{-jkx}$ , toward domain  $x > \ell$ . For simplicity, anechoic terminations are assumed in the example so that there are no reflections from the ends. It should be noted that the general solution method itself can be applied to cases with any arbitrary terminations.

Let us put both a monopole and dipole at each of the two points  $x = -\ell$  and  $x = \ell$ . Assume that the amplitudes of the monopoles and dipoles are given by  $q_i$  and  $b_i$ , respectively, where  $i = 1$  corresponds to  $x = -\ell$ , while  $i = 2$  in the case of  $x = \ell$ . In addition, suppose both the dipoles are oriented toward  $x = 0$ .

The field generated by all the primary and secondary sources is given by

$$\bar{p}(x) = A_1 e^{-jkx} + A_2 e^{jkx} + B_1 e^{jkx} + (q_1 - b_1) e^{jk(x+\ell)} + (q_2 + b_2) e^{jk(x-\ell)},$$

if  $x < -\ell$  and

$$\bar{p}(x) = A_1 e^{-jkx} + A_2 e^{jkx} + B_2 e^{-jkx} + (q_1 + b_1) e^{-jk(x+\ell)} + (q_2 - b_2) e^{-jk(x-\ell)},$$

if  $x > \ell$ .

We require only the field  $\bar{p}(x) = A_1 e^{-jkx} + A_2 e^{jkx}$  to be composed of the two wanted sounds in the protected domains  $x < -\ell$  and  $x > \ell$ . It should be noted that this requirement is fundamentally different from that of an active absorber, which would have required only  $A_1 e^{-jkx}$  in the domain  $x < -\ell$  and  $A_2 e^{jkx}$  in the domain  $x > \ell$ .

Then, we arrive at the following requirements,

$$(q_1 - b_1) e^{jk\ell} + (q_2 + b_2) e^{-jk\ell} + B_1 = 0, \tag{1}$$

if  $x < -\ell$  and

$$(q_1 + b_1) e^{-jk\ell} + (q_2 - b_2) e^{jk\ell} + B_2 = 0, \tag{2}$$

if  $x > \ell$ .

241 The particle velocity and sound pressure before the con-  
 242 trol are given by

$$\begin{aligned}
 \bar{p}(-\ell) &= A_1 e^{jk\ell} + A_2 e^{-jk\ell} + B_1 e^{-jk\ell}, \\
 \bar{u}(-\ell) &= \frac{1}{\rho c} (A_1 e^{jk\ell} - A_2 e^{-jk\ell} - B_1 e^{-jk\ell}), \\
 \bar{p}(\ell) &= A_1 e^{-jk\ell} + A_2 e^{jk\ell} + B_2 e^{-jk\ell}, \\
 \bar{u}(\ell) &= \frac{1}{\rho c} (A_1 e^{-jk\ell} - A_2 e^{jk\ell} + B_2 e^{-jk\ell}).
 \end{aligned} \tag{3}$$

252 Next, having formally applied the secondary sources derived  
 253 in Ref. 15 for a simply connected domain, at each of the two  
 254 boundary points ( $x = \pm\ell$ ), we arrive at the following  
 255 controls,

$$\begin{aligned}
 q_1 &= \frac{\rho c \bar{u}(-\ell)}{2}, & q_2 &= -\frac{\rho c \bar{u}(\ell)}{2}, \\
 b_1 &= \frac{\bar{p}(-\ell)}{2}, & b_2 &= \frac{\bar{p}(\ell)}{2}.
 \end{aligned} \tag{4}$$

262 Alternatively, the controls (4) can simply be postulated.  
 263 Then, substituting Eqs. (3) into (4), one can verify that con-  
 264 ditions (1) and (2) are valid.

265 To analyze the operation of the controls, let us consider,  
 266 for example, the domain  $x < -\ell$ . From Eq. (1) one can  
 267 obtain that the control sources at point  $x = -\ell$  generate the  
 268 following field,

$$\bar{p}(x) = -\{(q_2 + b_2)e^{jk(x-\ell)} + B_1 e^{jkx} + A_2 e^{jkx}\} + A_2 e^{jkx}.$$

272 The above equation is written to show explicitly that the con-  
 273 trols at  $x = -\ell$  attenuate any field coming into domain  
 274  $x < -\ell$ , on the one hand, and restore the wanted field (the last  
 275 term with  $A_2$ ) from the right-hand side, on the other hand.

276 Overall, the governing acoustics equations after the con-  
 277 trol are written as

$$\begin{aligned}
 \frac{\partial p}{\partial t} + \rho c^2 \frac{\partial u}{\partial x} &= \rho c^2 q_{\text{vol}} + f_p, \\
 \frac{\partial u}{\partial t} + \frac{1}{\rho} \frac{\partial p}{\partial x} &= \frac{b_{\text{vol}}}{\rho} + f_u,
 \end{aligned}$$

284 where the controls are given by

$$\begin{aligned}
 q_{\text{vol}}(x) &= u(-\ell)\delta(x + \ell) - u(\ell)\delta(x - \ell), \\
 b_{\text{vol}}(x) &= p(-\ell)\delta(x + \ell) - p(\ell)\delta(x - \ell).
 \end{aligned} \tag{5}$$

290 Here,  $f_p$  and  $f_u$  are the appropriate source functions,  $\delta(x)$  is  
 291 the 1D delta-function, determined in the space of distribu-  
 292 tions (see, e.g., Ref. 17). The controls  $q_{\text{vol}}$  and  $b_{\text{vol}}$  of Eq. (5)  
 293 depend on the particle velocity and sound pressure, respec-  
 294 tively. It is to be noted that, as soon as we introduce the  
 295 delta-function, we should consider the solution to the prob-  
 296 lem in the generalized sense.<sup>18</sup> Alternatively, the delta-func-  
 297 tion should be approximated by its counterpart in the space  
 298 of standard functions.

299 One can see, the controls (5) are a partial case of the  
 300 general solution<sup>19</sup> in 3D case,

$$\begin{aligned}
 q_{\text{vol}} &= u_n(\Gamma)\delta(\Gamma), \\
 \vec{b}_{\text{vol}} &= \vec{n}p(\Gamma)\delta(\Gamma).
 \end{aligned} \tag{6}$$

305 Here,  $\vec{n}$  is the external normal to the boundary  $\Gamma$  of the protected  
 306 domain and  $\delta(\Gamma)$  is the delta-function assigned to the surface  $\Gamma$ .  
 307 In the example, the coordinate of the normal to the boundary  $\Gamma$   
 308 at  $x = -\ell$  equals 1, while at  $x = \ell$  it is equal to  $-1$ .

309 In practical applications, the point sources (5), should be  
 310 approximated by spatially extended terms.<sup>15</sup> For example,  
 311 the controls at point  $x = \ell$  is represented by

$$\begin{aligned}
 q_{\text{vol},\ell}^{(h)} &= -\frac{\Theta_h(x - \ell)}{h} u_\ell, \\
 b_{\text{vol},\ell}^{(h)} &= -\frac{\Theta_h(x - \ell)}{h} p_\ell.
 \end{aligned} \tag{7}$$

318 Here,  $\Theta_h(x) \equiv \theta(h/2 - x)\theta(h/2 + x)$ , where  $h$  denotes the fi-  
 319 nite difference step and  $\theta(x)$  is the indicator function; the  
 320 particle velocity  $u_\ell$  and sound pressure  $p_\ell$ , respectively,  
 321 should be measured near the point  $x = \ell$ . Accordingly, these  
 322 controls are represented by the volume velocity per unit vol-  
 323 ume and the force per unit volume, see Ref. 1. In an experi-  
 324 mental setting,  $h$  in Eq. (7) corresponds to the thickness of  
 325 the source,<sup>15,20</sup> the control  $q_{\text{vol}}^{(h)}$  is implemented as an acoustic  
 326 monopole and the control  $b_{\text{vol}}^{(h)}$  is implemented as a dipole.  
 327 The thickness of the source should be adequately smaller  
 328 than the wavelength. This follows from the theoretical  
 329 approximation  $kh \ll 1$ .<sup>15</sup>

330 In Ref. 15, for a simply connected domain it is shown  
 331 that the controls (6) preserve the reverberation field of the  
 332 wanted sound. The mechanism behind this is as follows. The  
 333 controls attenuate any field coming into the domain to be  
 334 shielded and, at the same time, generate only the field inside  
 335 the domain that is exactly required to restore the reverbera-  
 336 tion of the wanted sound there. Thus, the AS controls are  
 337 “transparent” to the reflected component of the wanted sound  
 338 coming into the protected domain. The same conclusion is  
 339 applicable to a multiply connected domain. For instance, in  
 340 the example in question, assume that there is a rigid termina-  
 341 tion on the right-hand side at  $x = \ell_1 > \ell$ . Then, we can inter-  
 342 pret any reverberation of wanted sound generated in the  
 343 domain  $\ell < x < \ell_1$  as just the wanted sound from this do-  
 344 main. In addition, there is no reverberation of noise because  
 345 of its attenuation in the domain  $\ell \leq x \leq \ell_1$ . Then, the prob-  
 346 lem can be reduced to the example considered above except  
 347 the reverberation of the wanted sound generated in the do-  
 348 main  $x < -\ell$ . In contrast to the previous case, the input  
 349 (measured) data at  $x = -\ell$  change due to the contribution of  
 350 the reflected field of the wanted sound from the left-hand  
 351 side. However, as noted above, the appropriate additional  
 352 secondary sources do not damage the reverberation field in  
 353 domain  $x < -\ell$ . In turn, the controls at  $x = \ell$  are transparent  
 354 to the wanted field coming from the left-hand side and its  
 355 reverberation holds inside the protected domain  $\ell \leq x \leq \ell_1$ .

356 In the experimental implementation of the AS solution,  
 357 there are also some restrictions depending on the frequency  
 358 of acoustic signals generated. In practice, to maximize the ef-  
 359 ficiency in attenuation in 3D space, the optimum distribution  
 360

of the control sources on boundary surfaces has to be defined. Optimization of the control sources with respect to different criteria has been studied by Loncaric and Tsynkov in Ref. 21. It is to be noted that the described approach has recently been extended to a nonstationary formulation and arbitrary degree of sound control in Refs. 19 and 22, respectively.

### III. EXPERIMENTAL RESULTS

#### A. AS of a simply connected domain

Regarding the validation of the AS solution based on difference potentials, it is helpful to start by analyzing a one-dimensional linear problem in the first instance. The solution for the AS problems either with or without the wanted sounds have previously been experimentally validated with pure tone sound sources in a duct, and the results were reported in Ref. 20. Following this work, the experiment is now extended to cover broadband sound fields, including multiple resonance regions. This is done in a cylindrical duct manufactured of polypropylene tubing, which is sufficiently rigid to allow losses through the duct walls to be neglected.<sup>23</sup> As most AS techniques are more effective in general at lower frequency rather than at higher frequency,<sup>1,24</sup> the frequency range is limited to below 1 kHz in the experiment. The duct is 4.42 m in length. Its inner diameter is 0.17 m, which allows it to be approximated acoustically as one-dimensional up to a frequency of about 1 kHz. Figure 1(a) illustrates the excitation of an unwanted noise source at the right-end of the duct, and wanted sound source inside of the shielded domain in a one-dimensional, rigid walled cylindrical enclosure. The domain to be shielded occupies approximately one third of the entire volume of the enclosure on the left-hand side. Any sound field having its acoustic source situated in the shielded domain is defined as “wanted.” Sources outside are otherwise “unwanted” or “noise.” The sound field includes reflections of the sound in the tube. In other words, the wanted field is the combination of the sound directly emitted from the wanted sound source and its reverberation within the tube. The fields of the wanted sound and noise, and the outputs of the controls satisfy the plane wave conditions. Measuring sensors and a control source unit are located at the boundary of the shielded domain, at  $x = 0$ . The sound pressure is measured along the axis of the duct in all the experiments.

In the measuring process, before obtaining the AS solutions for a given problem, directional and non-directional components of the sound field are measured using a Brüel & Kjær PULSE Sound & Vibration analyzer with the control sources off. The former is the normal component of the par-

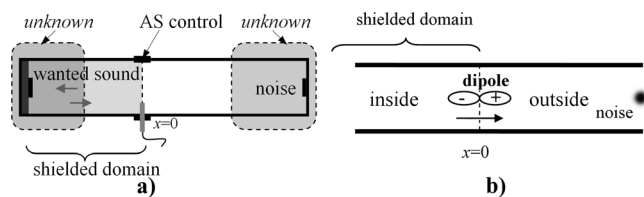


FIG. 1. Experimental setup for the test: (a) noise cancellation and preservation of wanted sound and (b) direction of an acoustic dipole.

icle velocity  $u_o$ , and the latter is the acoustic pressure  $p_o$  of the total field at the boundary. Then, the directional component measured defines a non-directional AS control source which is a monopole. On the other hand, the non-directional component measured is used to define a dipole control source which is directional.

The control sound field is derived from the measurements of the total field of the unwanted noise and the wanted sound on the boundary of the shielded domain. Unlike other approaches, for the preservation of a desirable sound and cancellation of noise, the procedure does not require any additional explicit information regarding the wanted sound or the system. In contrast, previous studies, e.g. Refs. 25 and 26, for similar control cases required either the wanted sound or the unwanted noise to be absent in the measurement. In a recent paper, directional measuring devices, i.e. directional microphones, have been used in order to identify the wanted component apart from the total field.<sup>27</sup> This is not required in our case because the wanted and unwanted components are discriminated automatically even in the case when the reverberation of the wanted sound propagates from the same direction of the unwanted one<sup>15</sup> (see also example given in the Theoretical Formulation section). The measurement of the particle velocity at the boundary and the difference potential formulation are able to capture the difference in the location of the wanted and unwanted sources automatically. When the control sources are mounted on the boundary, the direction of the dipole source defines the inside and outside of a shielded domain. For this reason the direction of the dipole source must be perpendicular to the boundary and pointed out from the shielded domain [Fig. 1(b)]. The sound generation system consists of loudspeakers, amplifiers, and a PC with a multi-channel sound card. The measured values, adjusted for the transfer function of the signal generator, are used to calculate offline the control source signals based on the difference potential theory. The source strengths of the controls  $b$  and  $q$  related to the reference signal  $V_{ref}$  are

$$\hat{b} = \frac{\hat{p}_o A_s}{H_d}, \quad \hat{q} = \frac{(\hat{u}_o \vec{n}) A_s}{H_m}. \tag{8}$$

Here  $A_s$  is a cross-sectional surface area,  $H_d$  is the transfer function of the dipole source signal generator,  $H_m$  is the transfer function of the monopole source signal generator, and  $\vec{n}$  is a unit normal vector on the boundary surface. In (8)  $\hat{b} = b/V_{ref}$ ,  $\hat{q} = q/V_{ref}$ ,  $\hat{p}_o = p_o/V_{ref}$ , and  $\hat{u}_o = u_o/V_{ref}$ .

Then, the control source signals (6) are then saved as phase-synchronous .wav files which can be played back using a multi-channel compatible wave editor. This calculation is not carried out in real-time in the current setup. The resulting attenuation of the unwanted sound and the preservation of the wanted sound are studied by measuring the total field composed with the wanted, unwanted, and control sound all together in the duct.

#### B. Sensitivity analysis

In the first instance, a quick demonstration of the ability of the AS method to attenuate broadband unwanted noise is

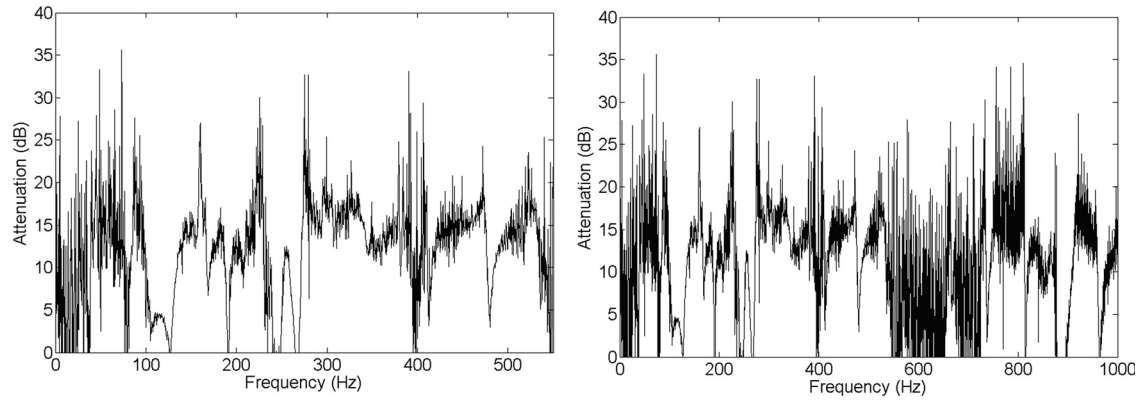


FIG. 2. Attenuation of the unwanted sound in the shielded domain. Left-hand graph is a zoom-in of the low frequency results.

shown in Fig. 2. In this initial experiment, wanted sound is not included, and a broadband linear unidirectional swept sine signal is used to generate a noise field. The signal is swept forward in frequency up to 1 kHz with a rate of 380 Hz/s. The signal is generated through the signal generator with a sampling rate of 2560 Hz and resolution of 16 bits/sample. When the AS control system is applied to a duct where only an unwanted sound field propagates, it is found that the solution is able to achieve an attenuation of about 12–18 dB in the shielded domain over a large frequency range between 50 and 1 kHz except at some points, mostly at anti-resonances. This is due to the fact that the sound level at anti-resonances is very low. Hence the error in the measurement is relatively high. The low attenuation between 580 and 700 Hz is another example of very small sound pressures at the boundary of the shielded domain at those frequencies when the boundary is close to the nodal plane of the predominant resonant mode. Overall, the result shows that the general solution which was used for the experiments with pure tone sources<sup>20</sup> is also effective with broadband noise, as long as the sound field is strong enough to be measured accurately at the boundary of the shielded domain.

The sensitivity analysis (SA) has two objectives, i.e. to estimate the sensitivity to changes in the input parameters, and to identify the dominant sources of error (uncertainty) affecting the resulting attenuation. The investigation evaluates the quality of the control system, such as functionality and reliability in operation against uncertainties. The analysis is essential for the development of guidelines for the practical use of the method. The error sources in the AS method can be classified largely in two groups, one related to position and the other to time. For instance, errors in the measuring position,  $\Delta x$ , and the separation between loudspeakers forming a dipole,  $\Delta d$ , may exist in the realization of the controls. It is not uncommon that real environment does not allow for physical devices to be mounted at exactly the desired positions. These kinds of errors are concerned with the spatial aspect of the system. On the other hand, errors in time delay,  $\Delta t$ , and phase error,  $\Delta\phi$ , can occur in digital signal processing or measuring equipment. In addition, changes of the input and output system responses with time can cause errors too, if the system is not controlled adaptively in real-time. The initial system parameters may also be changed by the introduction of the control sources. The purpose of the

SA is to prove the robustness of the control system due to systematic small errors. However, this analysis does not look into time varying errors.

Total deviation of the overall attenuation  $\delta\eta$  can be estimated as follows:  $\delta\eta = \sum |T_i| \cdot \delta n_i$ , where the problem is assumed to be linear. Figure 3 shows the sensitivity  $|T_i|$  with respect to the estimated changes in different variables  $n_i$ . The variables  $n_i$  represent factors, namely  $\Delta x$ ,  $\Delta t$ ,  $\Delta d$ , and  $\Delta\phi$ , that are perceived to have a strong influence on the accuracy of the experiment.  $\Delta x$  is related to the size of the measuring microphone used in the experiment, in this case a quarter-inch microphone.  $\Delta x$  is assumed to be half the diameter of the microphone head, i.e. 0.3175 cm.  $\Delta t$  is the minimum controllable time step which generally depends on the limited time resolution of the digital signal generator and the sampling frequency used in the measurement. For this calculation, the sampling frequency is assumed to be 2048 Hz, which gives  $\Delta t$  as 0.488 ms.  $\Delta d$  is the assumed error due to uncertainty in the actual distance between the centers of the loudspeakers composing the dipole source and is taken as 1cm in this analysis.  $\Delta d$  includes the uncertainty of the

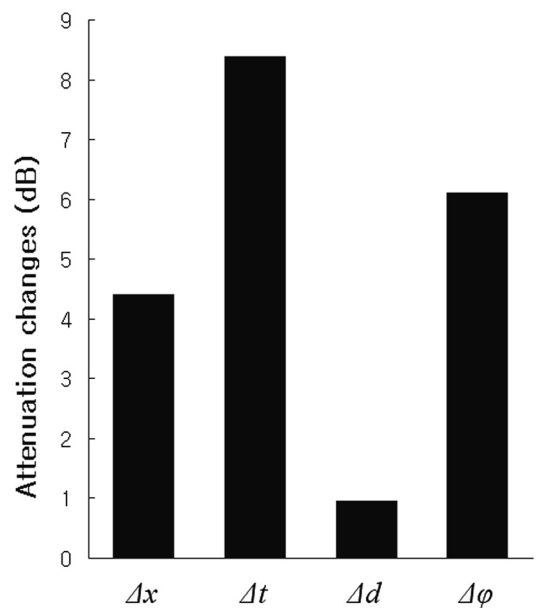


FIG. 3. Deviations of attenuation according to estimated changes in  $\Delta x$ ,  $\Delta t$ ,  $\Delta d$ , and  $\Delta\phi$ .

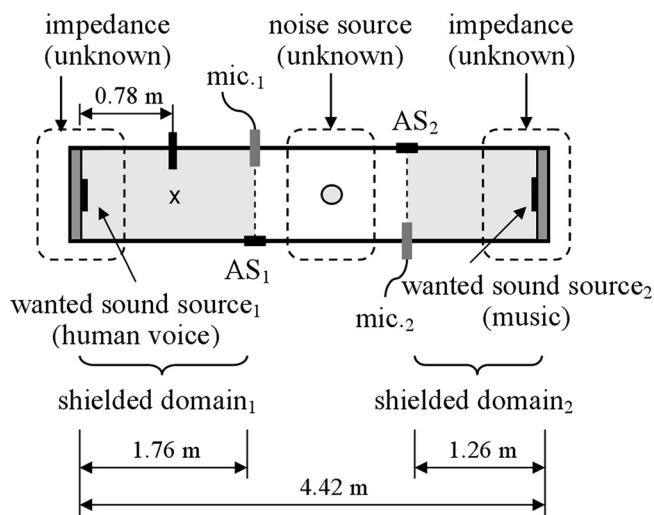
601 effective acoustic centers of the drivers composing the  
 602 dipole source. The uncertainty in the overall phase  $\Delta\varphi$ ,  
 603 which is assumed to be a frequency independent error, up to  
 604 1 kHz is assumed to be  $1^\circ$  for the purpose of the analysis.  
 605

606 The result of the SA shows that the dominant parameters  
 607 affecting the change of attenuation, when realistic values of  
 608 uncertainty are used, are  $\Delta t$  and  $\Delta\varphi$ , as can be seen in Fig. 3.  
 609  $\Delta\varphi$  is usually manifested also as a time delay in the time do-  
 610 main. Therefore, the time signatures describing the control  
 611 sources have to be dealt with in high accuracy. A typical  
 612 modern digital signal processing system is able to sample  
 613 input data at frequency higher than 2048 Hz, which corre-  
 614 sponds to a  $\Delta t$  of 0.488 ms. The size of the measuring device  
 615 used for measurement causes acceptable error in attenuation,  
 616 as long as the sound field is measured as close as possible to  
 617 the effective center of the control source unit. As stated  
 618 above, the time delay error is the most dominant variable as  
 619 compared to the other error parameters. These results are  
 620 consistent with the theoretical SA performed in Ref. 28.

621 In further experiments described in later sections, broad-  
 622 band wanted sound fields are included to test the efficiency  
 623 of the AS method in different configurations requiring pres-  
 624 ervation of wanted sound.  
 625

626 **C. Active control of multiple sound fields in**  
 627 **multi-domain**

628 Figure 4 describes the experiment which demonstrates  
 629 the possibility of using AS to preserve multiple wanted  
 630 sounds in multi-domains. In the experiment, two shielded  
 631 domains are defined in both left and right ends of the duct.  
 632 Two different sources of the wanted sound are generated,  
 633 one inside each shielded domain. In addition, a noise source  
 634 is activated in the space between the two shielded domains.  
 635 To test the capability of the method in more practical cases,  
 636 human voice and a music track are used in the left- and  
 637 right-hand shielded domain, respectively, to generate the  
 638 broadband wanted sounds in the experiment. The wanted  
 639 sound signals are captured from recorded audio tracks. To fit  
 640 into the test frequency range of the duct, frequency contents  
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 FIG. 4. Experimental setup for the test with two wanted sounds and one noise source in a multi-domain setting.

661 of the wanted sound sources that are higher than 1 kHz are  
 662 attenuated by a low-pass filter. The wanted sounds are cho-  
 663 sen to have practically different acoustic characteristics than  
 664 the unwanted sound, which is generated by a white noise sig-  
 665 nal, in the experiment. The different time signatures of the  
 666 output sound fields are illustrated further in this section.  
 667

668 To make the experimental model more general, the ge-  
 669 ometry of the system is designed to be asymmetric with  
 670 respect to the size of the domains and location of the noise  
 671 source. AS controls are mounted on the boundaries between  
 672 the unwanted noise field and the shielded domains. To gener-  
 673 alize the experiment further, and to take advantage of the  
 674 potential-based method's ability to work without precise  
 675 knowledge of system conditions, terminations with unknown  
 676 finite impedance at both ends are used. In the experiment,  
 677 the unknown impedance condition is implemented by put-  
 678 ting approximately four inches thick generic fibrous sound  
 679 absorbing material on the rigid plate at each end of the tube.  
 680 The properties of the fibrous material are not known and are  
 681 not needed in the potential-based approach.

682 To apply Eqs. (1) and (2), the study considers the  
 683 shielded domains 1 and 2 together as one multiply connected  
 684 domain  $D$ . Thus, the boundary of the domain  $D$  contains two  
 685 parts. The experiment convincingly demonstrates that the  
 686 potential-based AS automatically extracts all the necessary  
 687 information about the system and the unwanted noise itself  
 688 from the measurements performed at each boundary. The  
 689 source strengths of the control monopoles and dipoles are  
 690 obtained by substituting the measured quantities, particle ve-  
 691 locity  $u_o$ , and pressure  $p_o$ , of the total sound field at each  
 692 boundary into the equations for the strengths of the mono-  
 693 poles and dipoles.

694 Figure 5 illustrates the change of the total sound pres-  
 695 sure level in one of the shielded domains, at location ( $\times$ ),  
 696 specified in Fig. 4, before and after the control sources are  
 697 activated on both boundaries, and shows the similarity  
 698 between the result with AS activated and the original wanted  
 699 sound in the frequency domain. The symbols  $\blacklozenge$  in Fig. 5  
 700 show the initial sound pressure distribution when the noise  
 701 and wanted sounds are both on, while the control sources are  
 702 still off. The symbols  $+$  represent the distribution of the net  
 703 sound pressure when the noise is canceled out by the con-  
 704 trols. The net sound pressure  $+$  can be compared with the  
 705 wanted sounds, shown by the symbols  $\circ$  in Fig. 5. When  
 706 the control sources are activated, the control system attains  
 707 an overall attenuation of around 21 dB in both the left- and  
 708 right-hand shielded domains. Moreover, the net sound pres-  
 709 sure after the potential-based controls switched on generally  
 710 resembles closely the original wanted sound pressure at each  
 711 of the measurement positions in the shielded domains. The  
 712 next figure shows a clearer picture with the same results pre-  
 713 sented in 1/3-octave bands.

714 Figure 6(a) shows the similarity between the original  
 715 wanted sound pressure  $\circ$ , and the result,  $\Delta$  when the con-  
 716 trols are switched on. In the experiment, a challenging con-  
 717 dition is set up by introducing a significantly bigger un-  
 718 wanted sound pressure than the wanted one (about 10 dB higher),  
 719 so that the results can give a reliable guidance of the attenu-  
 720 ation that can be achieved in practice when the wanted sound has

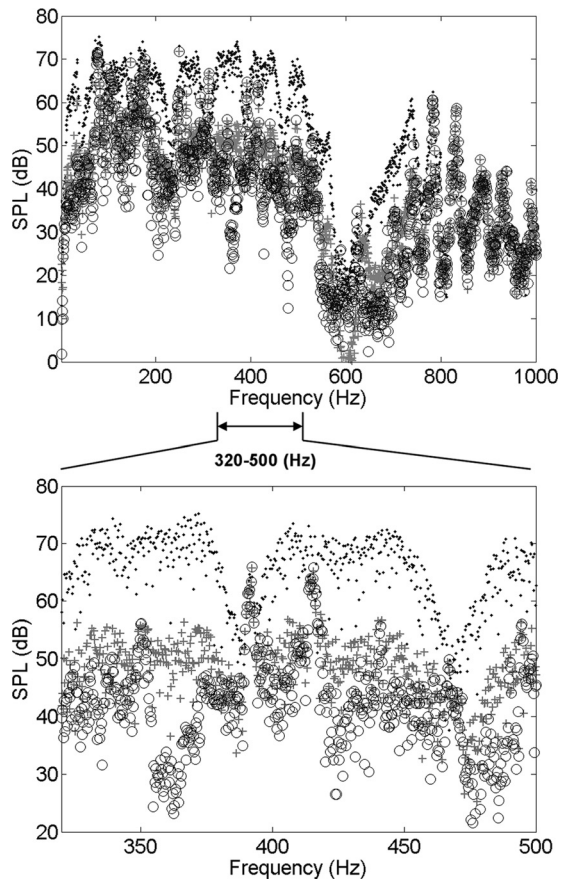


FIG. 5. Preservation of the wanted sound in one of the shielded domains;  $\circ$ : wanted sound pressure,  $\blacklozenge$ : the sound pressure of noise and wanted sound without control, and  $+$ : total sound pressure of noise and wanted sound, and controls. Bottom graph is a zoom-in of the result in the 320–500 Hz frequency range.

been seriously contaminated by strong unwanted noise. In spite of significant level differences between the unwanted noise and the wanted sound pressure, which are denoted by  $*$  and  $\circ$ , respectively, in each frequency band in Fig. 6(a), the wanted sound pressure is mostly preserved after the controls

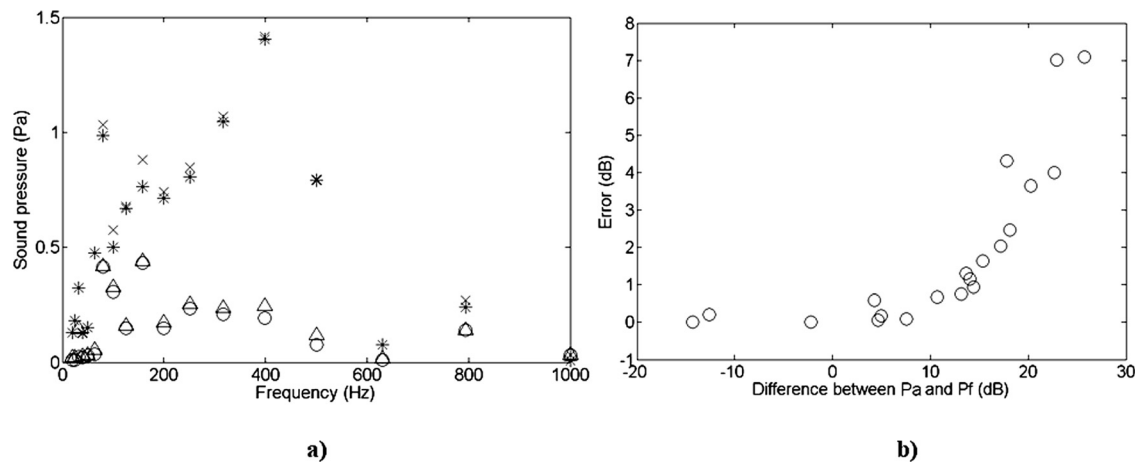


FIG. 6. In a scale of one-third octave bands: (a) sound pressure distribution (overall noise about 10 dB higher than the wanted sound);  $\circ$ : wanted sound pressure,  $\Delta$ : shielded total sound pressure (the sum of unwanted, wanted sound, and control outputs),  $*$ : unwanted sound pressure, and  $\times$ : the sum of unwanted and wanted sound pressure, and (b) errors between actively shielded results and the wanted sound against the difference between unwanted and wanted sound pressure levels.

are switched on. Figure 6(b) shows the error between the result and the original wanted sound in decibel scale against the level difference between the unwanted noise and wanted sound pressure. Obviously, when the unwanted noise becomes stronger relative to the wanted sound, the error increases slightly due to the decrease in signal to noise ratio. However, even when the noise is up to 15 dB stronger, the errors is still less than about 1 dB. When the difference is higher than 15 dB, i.e. when the signal to noise ratio is below  $-15$  dB, the error increases rapidly due to inherent errors in the measurement system.

To further support and enhance the experimental evidence of the preservation of the wanted sounds, the results are also studied in the time domain. Figure 7 illustrates the time signatures of data described above in Figs. 5 and 6.

The solid line in Fig. 7(a) shows the initial sound pressure when the noise and wanted sounds are both on, while the control sources are still off. Figure 7(b) represents the net sound pressure field when the noise is canceled out after the activation of the AS control sources. For comparison, the original wanted sound is separately measured at the same reference position when both the AS control and the unwanted noise sources have been turned off. This is shown in Fig. 7(c). The shielded result and the original wanted sound are overlaid in Fig. 7(d) for the time period between 2.2 and 2.3 s to give a clearer view. The figure shows clearly that, on the whole, the total sound field with the potential-based control sources resembles closely the original wanted sound fields at each measuring position in the shielded domain. The similarity of the net sound field shielded by the AS control sources and the original wanted sound fields is also evaluated by the cross-correlation of the two time signatures<sup>29</sup> shown in Fig. 7. The cross-correlation of the wanted sound and the total sound pressure that consists of the unwanted noise and the wanted sounds without controls is at a maximum of 0.67 at zero time-lag. When the AS control sources are switched on, the cross-correlation of the wanted sound and the shielded total sound pressure (the unwanted noise, the wanted sounds, and the controls together) jumps to

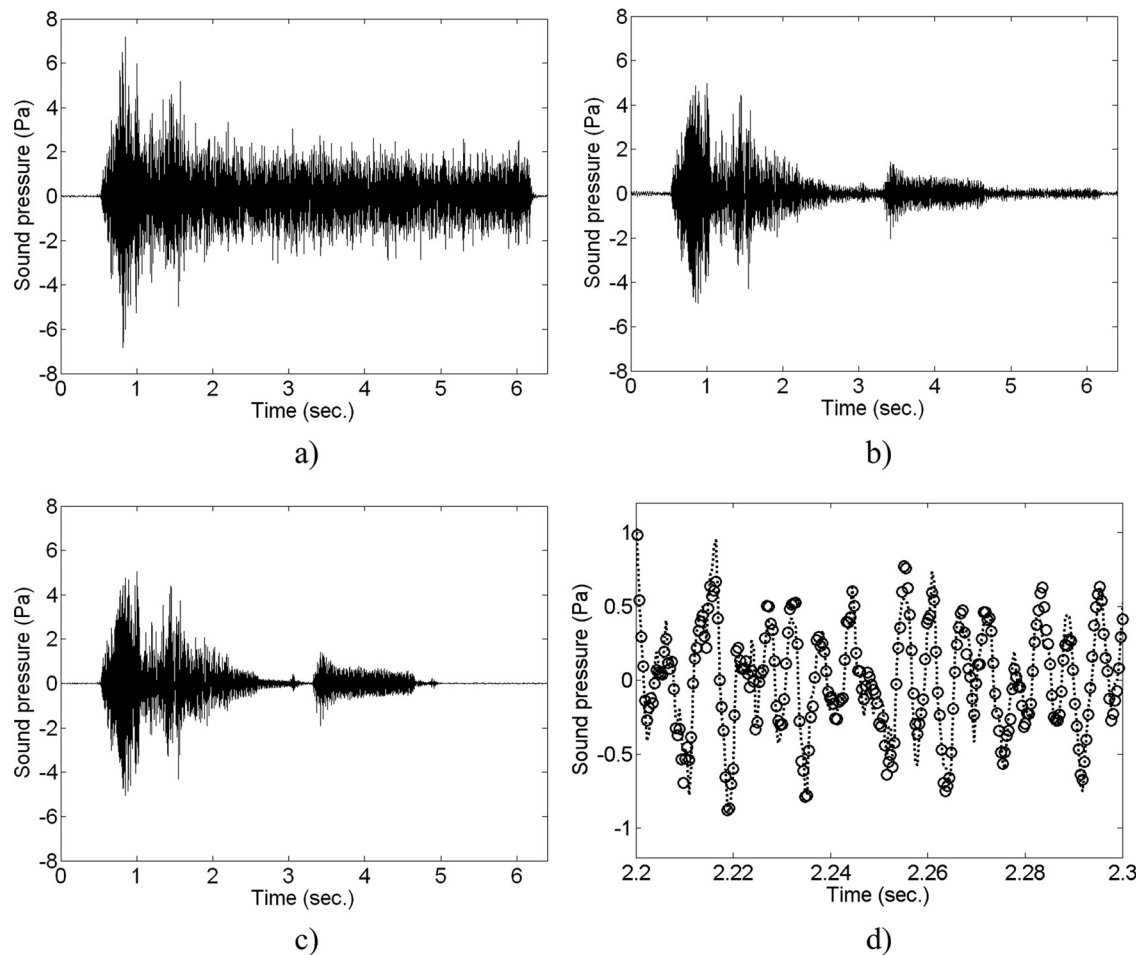


FIG. 7. Sound pressure in time domain: (a) the sound pressure of noise and wanted sound without control, (b) shielded total sound pressure (noise, wanted sounds, and controls), (c) wanted sound pressure, and (d) ■ ■ ■: wanted sound pressure, and ○: shielded total sound pressure.

0.99 at the same point of time-lag. The ideal cross-correlation of two identical signals is 1.0. This is almost achieved in the experiment, which shows that the shielded net sound field with the controls on matches the original wanted sound field very well. The experiment clearly proves that wanted sounds can be very effectively protected by the active controls based on the proposed method even in multi-domains where wanted sounds from different shielded domains can also interfere with each other, while unwanted noise is significantly suppressed by the AS control sources.

Despite the difficulty in dealing with multiple control sources and the complexity of multi-domains, the results in this section show better efficiency in the overall attenuation and preservation of the wanted sounds, when compared with the result discussed in the previous section. One of the main reasons can be found in the design of the experimental model. That is, the terminations are more absorptive in this multi-domain experiment, which damped the tube resonances. At resonances and anti-resonances the result is much more sensitive to measurement errors due to large changes in the sound pressure,<sup>28</sup> see also the SA in Sec. III B. By damping out the resonances, this sensitivity to error is significantly reduced. The other reason for the better result is that the accuracy of the control system has also been improved by explicitly taking some of the causes of inherent measure-

ment errors into account. For instance, the phase distortion caused by a time delay in the digital-to-analog converter used is corrected for in this latest measurement. According to the SA in Sec. III B, it has been shown that the control system is most sensitive to time delay errors. Correcting for this error thus improves the result significantly. All these together make a significant contribution to the stability of the whole system and the repeatability of the measurements.

#### IV. CONCLUSIONS

The practicality of AS based on the method of difference potentials has been experimentally validated with broadband acoustic sources in a variety of one-dimensional bounded domains. Unlike previous experiments with pure tone sources, the sound fields generated by broadband signals in the experiments presented in this paper include substantial resonances and anti-resonances in the system. Through these experiments, it has been shown that attenuation from 15 to 20 dB can be achieved even at resonances in the shielded domains, whether or not wanted sounds exist in the same space. Similar to other existing AS methods, the effectiveness of the control solution based on the difference potentials method are most sensitive to time delay errors especially at resonances. Apart from the practical difficulties



associated with this high sensitivity at resonances, the present experiments show that the method can provide an effective solution not only at non-resonance regimes but also through a full continuous broadband spectrum of frequency that includes resonances. However, in practice, it is difficult to find an accurate solution at strong anti-resonances when the physical values of the total sound field to be measured at the boundary are too small to be measured with sufficient accuracy. This is limited by the dynamic range of the measurement probe and the very low signal to noise ratio at strong anti-resonances.

The level of attenuation found in the result of the experiments is similar to the those achieved by other existing conventional AS methods when broadband sources are used in a one-dimensional enclosure. In addition to the significant suppression of noise, the proposed method has been shown to also effectively preserve the wanted sounds separately from the total fields of noise and wanted sounds, even when there are multiple shielded domains with interfering wanted sounds from different domains, and that the system characteristics are not known. The results clearly demonstrate the potential advantages of the method in practical applications under these conditions.

This paper has shown that the proposed approach can be realized provided that the contribution of the control sources to the input data can be separated. An obvious question to follow up is how to obtain such separation in practice. Theoretically, it has been proven in Ref. 18 that this can be done via a modification of the solution presented by Eq. (6). It requires additional on-line calculations of surface potentials, which can be efficiently carried out via the method of difference potentials. However, the implementation of the algorithm is far from trivial and will be an objective of our future research.

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