

# Simplicity of Nekrashevych algebras of contracting self-similar groups

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## The free monoid $X^*$

$X$ : set (alphabet)

$X^*$ : the set of finite sequences (words) of elements of  $X$

$\varepsilon$ : the word of length 0

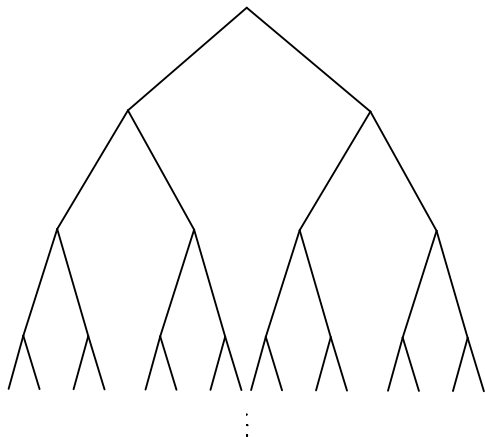
$X^*$  is a monoid with respect to juxtaposition:

$$w \cdot v = wv$$

$X^\omega$ : the set of infinite sequences (words) of elements of  $X$

## Regular rooted trees

$X$ : finite set with  $|X| \geq 2$ ,  $T_X$ :  $|X|$ -regular rooted tree



vertices  $\longleftrightarrow X^*$

$\text{Aut}(T_X)$ :  
automorphisms of  $T_X$

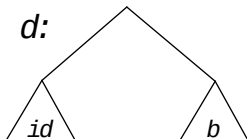
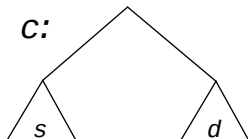
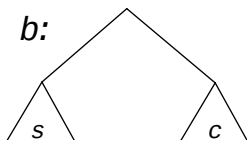
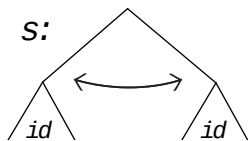
$\text{Aut}(T_X) \hookrightarrow S_{X^*}$

Let  $g \in \text{Aut}(T_X)$ .

- ▶  $|g(w)| = |w|$  for all  $w \in X^*$
- ▶  $g|_w \in \text{Aut}(T_X)$ : the restriction of  $g$  to the subtree at  $w$
- ▶  $g(wu) = g(w)g|_w(u)$

## The Grigorchuk group

The Grigorchuk group is generated by the following elements:



## Contracting groups

A self-similar group  $G \leq \text{Aut}(T_X)$  is called **contracting** if there exists a finite set  $N \subseteq G$  such that

for all  $g \in G$  there exists  $k$  with  $g|_w \in N$  whenever  $|w| \geq k$ .

The minimal such  $N$  is called the **nucleus**.

Contracting self-similar groups include a lot of the famous classes (such as the Grigorchuk group and the Gupta-Sidki  $p$ -groups).

If  $G$  is contracting, and generated by a finite set closed under sections, then there is an algorithm that computes  $N$ .

## The Nekrashevych algebra

$G$ : self-similar group,  $X$ : set with  $|X| > 2$ .

The Nekrashevych algebra  $N_K(G, X)$  is the  $K$ -algebra generated by the set  $G$  and  $\{x, x^* : x \in X\}$ , subject to the relations

- ▶  $g \cdot h = gh$ ,
- ▶  $gx = g(x)g|_x$ ,
- ▶  $x^*g = g|_{g^{-1}(x)}(g^{-1}(x))^*$ ,
- ▶  $x^*y = \delta_{x,y}$ ,
- ▶  $\sum_{x \in X} xx^* = 1$ .

Nekrashevych first defined a  $C^*$ -algebra defined by these relations, and later studied this discrete counterpart.

If  $G$  is contracting,  $G$  can be replaced by  $N$ , and  $N_K(G, X)$  is finitely presented.

## Nekrashevych algebras and inverse semigroups

$S$ : monoid with zero, generated by  $G$  and  $\{x, x^* : x \in X^*\}$ , subject to the relations

- ▶  $g \cdot h = gh$ ,
- ▶  $gx = g(x)g|_x$ ,
- ▶  $x^*g = g|_{g^{-1}(x)}(g^{-1}(x))^*$ ,
- ▶  $x^*y = \delta_{x,y}$ .

$S$  is called the inverse semigroup associated to  $G$ ,

$$S = \{ugv^* : g \in G, u, v \in X^*\} \cup \{0\}.$$

$N_K(G, X)$  in the Steinberg algebra of the tight groupoid of  $S$ , or algebraically,

$$N_K(G, X) = K_0S / (1 - \sum_{x \in X} xx^*),$$

where  $(1 - \sum_{x \in X} xx^*)$  is the tight ideal  $T_K(S)$  of  $S$ .

## Simplicity of Nekrashevych algebras

The question we were interested in is

when is  $N_K(G, X)$  simple?

$N_{\mathbb{C}}(G, X)$  not simple  $\implies$  the  $C^*$ -algebra is not simple

- ▶ Brown, Clark, Farthing, Sims (2015): if the ample groupoid associated to  $G$  is Hausdorff,  $N_K(G, X)$  is simple for any  $K$
- ▶ Nekrashevych (2015): the Nekrashevych algebra of the Grigorchuk group is not simple over characteristic 2
- ▶ Clark, Exel, Pardo, Sims, Starling (2018): characterize simplicity in terms of the groupoid, and prove that the Nekrashevych algebra of the Grigorchuk group is simple over all other characteristics
- ▶ Nekrashevych (2019): the Nekrashevych algebra of the Grigorchuk-Erschler group is simple over no field



## Main results

### Theorem (Steinberg, Sz.)

Let  $G$  be a contracting group with nucleus  $N$ .

- ▶ *Either  $N_K(G, S)$  is simple over no field or simple over all but finitely many positive characteristics.*
- ▶ *There is an algorithm which on input  $N$  outputs the set of characteristics over which  $N_K(G, S)$  is non-simple.*

The result gives a hands-on description of simplicity for several well-known infinite families of contracting self-similar groups.

For any finite set of primes  $\mathcal{P}$ , we give a contracting self-similar group  $G$  such that  $N_K(G, X)$  fails to be simple exactly over characteristics in  $\mathcal{P}$ .

## A nice characterization

$N_K(G, X)$  can be represented on the vector space  $KX^\omega$ :

For  $x \in X$  and  $w \in X^\omega$  we can define

$$x \cdot w = xw,$$

$$x^* \cdot yw = \begin{cases} w & \text{if } x = y \\ 0 & \text{otherwise} \end{cases}$$

and any  $g \in G$  acts on infinite words by a natural extension of the action on  $X^*$ .

### Theorem (Steinberg, Sz.)

*$N_K(G, X)$  is simple if and only if its representation on  $KX^\omega$  is faithful. Moreover, the image of the representation is the unique simple quotient of  $N_K(G, X)$ .*

## Simplicity of inverse semigroup algebras

### Theorem (Steinberg, Sz.)

If  $S$  is a congruence-free inverse semigroup, then there is a unique maximal ideal of  $K_0S$  containing  $T_K(S)$ , called the **singular ideal**  $I_K(S)$ .

- ▶  $K_0S/T_K(S)$  has a unique maximal ideal:  $I_K(S)/T_K(S)$ .
- ▶  $K_0S/T_K(S)$  is simple  $\iff I_K(S) = T_K(S)$

If  $G$  is self-similar over  $X$ , then the associated semigroup  $S$  is congruence-free. The singular ideal of  $K_0S$  is

$$I_K(S) = \{a \in K_0S : \text{for all } u \in X^* \text{ there is } w \in X^* \text{ with } auw = 0\}.$$

So the unique maximal ideal of  $N_K(G, X)$  is

$$I_K(S)/(1 - \sum_{x \in X} xx^*).$$

## The singular ideal minus the tight ideal

Let  $G$  be a self-similar over  $X$  and  $S$  the inverse semigroup. We have established:

$$N_K(G, X) \text{ is simple} \iff I_K(S) \setminus (1 - \sum_{x \in X} xx^*) = \emptyset.$$

$$I_K(S) = \{a \in K_0S : \text{for all } u \in X^* \text{ there is } w \in X^* \text{ with } auw = 0\}.$$

### Step 1:

For any  $a \in K_0S$ , we have  $a \in (1 - \sum_{x \in X} xx^*)$  if there are finitely many words  $w \in X^*$  with  $aw \neq 0$ .

### Step 2:

If  $I_K(S) \setminus (1 - \sum_{x \in X} xx^*) \neq \emptyset$ , then  $I_K(S) \setminus (1 - \sum_{x \in X} xx^*)$  intersects  $KG$ . If  $G$  is contracting with nucleus  $N$ , it intersects  $KN$ .

Understanding  $aw = 0$ 

Let  $a = \sum_{g \in N} a_g g \in KN$ . Then

$$aw = \sum_{g \in N} a_g gw.$$

$\equiv_w$ : equivalence on  $N$  defined by  $g \equiv_w h$  iff  $gw = hw$ . Then

$$aw = 0 \iff \forall h \in N, \sum_{g \equiv_w h} a_g = 0$$

For any equivalence  $\equiv$  on  $N$ , consider the following linear system in variables  $x_g, g \in N$ :

$$\sum_{g \equiv h} x_g = 0, \quad h \in N.$$

We say  $a \in KN$  satisfies  $\equiv$  if  $a_g = x_g$  is a solution over  $K$ .

## Understanding $aw = 0$

$N$  is finite  $\implies$  there are finitely many equivalences and corresponding linear systems.

**The key:** to understand

- ▶ which of these must be satisfied for  $a$  to be singular,
- ▶ and which of these must not be satisfied for  $a \notin (1 - \sum_{x \in X} xx^*)$ .

## The simplicity graph

**Recall:**  $gx = g(x)g|_x$  for  $g \in G$ ,  $x \in X$ , furthermore, if  $g \in N$ , then  $g|_x \in N$ .

So  $g \equiv_{xw} h \iff gxw = hxw \iff g(x)g|_xw = h(x)h|_xw \iff g(x) = h(x)$  and  $g|_xw = h|_xw \iff g(x) = h(x)$  and  $g|_x \equiv_w h|_x$ .

$X^*$  has a left action on equivalences on  $N$  given by

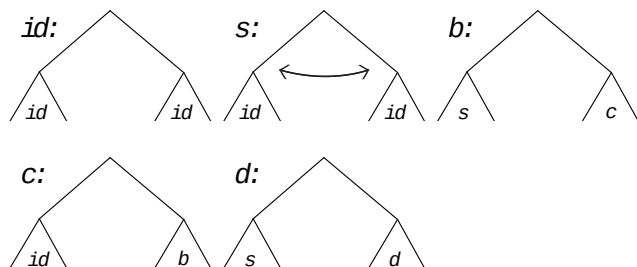
$$g \cdot x \cdot \equiv h \iff g(x) = h(x) \text{ and } g|_x \equiv h|_x.$$

$\{\equiv_w : w \in X^*\}$  is the orbit of the equality.

**The simplicity graph:** the Schreier graph of the action on  $\{\equiv_w : w \in X^*\}$

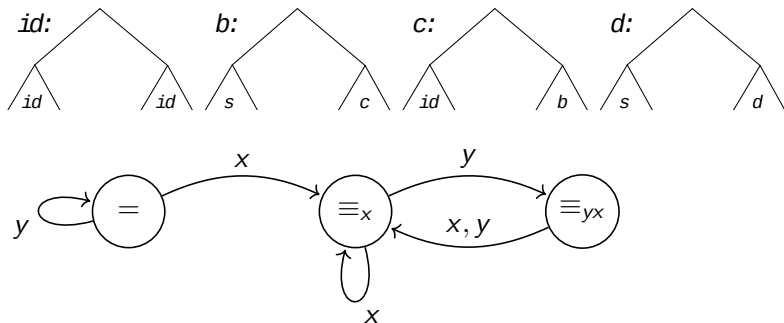
## An example: the Grigorchuk-Erschler group

The nucleus (also a generating set):



**Note:**  $s(w) \neq g(w)$  if  $s \neq g$ , so  $s$  is its own  $\equiv_w$ -class for any  $w$ .

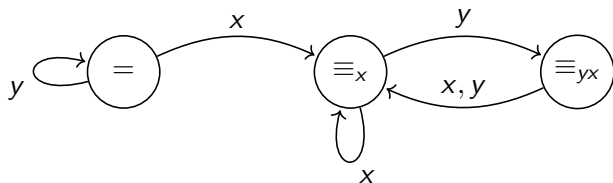




## Reading the ideals from the simplicity graph

- ▶  $a \notin (1 - \sum_{x \in X} xx^*) \iff$  there is an equivalence  $\equiv$  not satisfied by  $a$ , reachable from a cycle.
- ▶  $a \in I_K(S) \iff$  satisfies all the equations in the minimal strongly connected components.

## The Grigorchuk-Erschler group



The minimal component:

$$\equiv_x: \{s\}, \{b, d\}, \{id, c\}$$

$$\equiv_{yx}: \{s\}, \{c, d\}, \{id, b\}$$

The equations:

$$x_s = 0, x_d = -x_b, x_{id} = -x_c$$

$$x_s = 0, x_d = -x_c, x_{id} = -x_b$$

$a = id - b - c + d$  is a solution over any field  $\implies a \in I_K(S)$

$a$  does not satisfy '=' which is reachable from a cycle, so

$$a \notin (1 - \sum_{x \in X} xx^*)$$

$\implies$  the Nekrashevych algebra is simple over no field.

Thanks for your attention!