

# Restriction Semigroups

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# Where to begin?

Restriction semigroups may be obtained as/from:

- Varieties of algebras
- Representation by (partial) mappings
- Generalised Green's relations
- Inductive categories and constellations

## Notation

$S$  will always denote a semigroup

$E(S)$  is the set of idempotents of  $S$  and

$E \subseteq E(S)$

# The relations $\mathcal{R}$ and $\mathcal{L}$

- For any  $a, b \in S$  we have

$$\begin{aligned} a \mathcal{R} b &\Leftrightarrow aS^1 = bS^1 \\ &\Leftrightarrow \exists s, t \in S^1 \text{ with } a = bs \text{ and } b = at. \end{aligned}$$

- For any  $a, b \in S$  we have

$$\begin{aligned} a \mathcal{L} b &\Leftrightarrow S^1 a = S^1 b \\ &\Leftrightarrow \exists s, t \in S^1 \text{ with } a = sb \text{ and } b = ta. \end{aligned}$$

- $\mathcal{R}$  ( $\mathcal{L}$ ) is a left (right) congruence
- $\mathcal{R}$  and  $\mathcal{L}$  are the universal relation on any group

# The relations $\mathcal{R}$ and $\mathcal{L}$ : regular and inverse semigroups

**Definition**  $S$  is *regular* if for all  $a \in S$  there exists  $x \in S$  with  $a = axa$ .

Notice that if  $a = axa$ , then  $ax, xa \in E(S)$  and

$$ax \mathcal{R} a \mathcal{L} xa.$$

**Fact**  $S$  is regular if and only if every  $\mathcal{R}$ -class (or  $\mathcal{L}$ -class) contains an idempotent.

**Definition**  $S$  is *inverse* if  $S$  is regular and  $E(S)$  is a semilattice.

**Fact**  $S$  is inverse if and only every element has a unique inverse, i.e. for all  $a \in S$  there exists a unique  $a'$  in  $S$  such that

$$a = aa'a \text{ and } a' = a'aa'.$$

**Fact**  $S$  is inverse if and only if every  $\mathcal{R}$ -class and every  $\mathcal{L}$ -class contains a unique idempotent.

# The relations $\tilde{\mathcal{R}}_E$ and $\tilde{\mathcal{L}}_E$

- The relation  $\tilde{\mathcal{R}}_E$  is defined by  $a\tilde{\mathcal{R}}_E b$  if and only if

$$ea = a \Leftrightarrow eb = b$$

for all  $e \in E$ .

- Note if  $a\tilde{\mathcal{R}}_E e \in E$ , then as  $ee = e$  we have  $ea = a$ .
- The relation  $\tilde{\mathcal{L}}_E$  is defined by  $a\tilde{\mathcal{L}}_E b$  if and only if

$$ae = a \Leftrightarrow be = b$$

for all  $e \in E$ .

- $\tilde{\mathcal{R}}_E$  and  $\tilde{\mathcal{L}}_E$  are equivalence relations.
- If  $M$  is a monoid and  $E = \{1\}$ , then  $\tilde{\mathcal{R}}_E$  and  $\tilde{\mathcal{L}}_E$  are universal.
- these relations were introduced by El-Qallali in his 1980 thesis [5] (under Fountain) in case  $E = E(S)$ , later generalised by Lawson [13]

# The relations $\tilde{\mathcal{R}}_E$ and $\tilde{\mathcal{L}}_E$ - connection to $\mathcal{R}$ and $\mathcal{L}$

**Fact** For any semigroup  $S$  and any  $E$

$$\mathcal{R} \subseteq \tilde{\mathcal{R}}_E.$$

**Proof** Let  $a \mathcal{R} b$ . Then  $a = bs$  and  $b = at$  for some  $s, t \in S^1$ .

Hence

$$ea = a \Rightarrow eat = at \Rightarrow eb = b \Rightarrow ebs = bs \Rightarrow ea = a.$$

**Fact** If  $S$  is regular and  $E = E(S)$ , then  $\tilde{\mathcal{R}}_E = \mathcal{R}$ .

**Proof** If  $a \tilde{\mathcal{R}}_{E(S)} b$  and  $a = axa, b = byb$ , then  $b = axb$  and  $a = bya$ .

# Restriction semigroups: first definition

**Definition** A semigroup  $S$  is **left restriction** with **distinguished semilattice**  $E$  if:

- $E$  is a semilattice;
- every  $\tilde{\mathcal{R}}_E$ -class contains an idempotent of  $E$ ;  
it is then easy to see that for every  $a \in S$  the  $\tilde{\mathcal{R}}_E$ -class of  $a$  contains a unique element of  $E$ , which we call  $a^+$ ;
- the relation  $\tilde{\mathcal{R}}_E$  is a left congruence and
- the **left ample** condition (AL) holds:

$$\text{for all } a \in S \text{ and } e \in E, ae = (ae)^+ a.$$

**Right restriction** semigroups are defined dually. A semigroup is **restriction** if it is left and right restriction *with respect to the same distinguished semilattice*.

**Example** Let  $M$  be a monoid. Then  $M$  is restriction with distinguished semilattice  $E = \{1\}$ .

# Inverse semigroups are restriction

Let  $S$  be an inverse semigroup. Then with  $E = E(S)$ :

- $E$  is a semilattice;
- $\tilde{\mathcal{R}}_E = \mathcal{R}$  is a left congruence;
- every  $\mathcal{R}$ -class contains an idempotent: we have

$$a^+ = aa';$$

- for any  $a \in S$  and  $e \in E$

$$(ae)^+ a = (ae)(ae)' a = ae(ea') a = ae(a' a) = a(a' a) e = ae.$$

Hence  $S$  is left restriction (w.r.t.  $E(S)$ ); dually  $S$  is right restriction, so that  $S$  is restriction.



- Every semigroup  $S$  embeds in a **full transformation semigroup**  $\mathcal{T}_X$
- Every group embeds in a **symmetric group**  $\mathcal{S}_X$
- Every inverse semigroup  $S$  embeds (as an inverse semigroup) in the **symmetric inverse semigroup**  $\mathcal{I}_X$

$\mathcal{T}_X$ ,  $\mathcal{S}_X$  and  $\mathcal{I}_X$  are all subsemigroups of the semigroup  $\mathcal{PT}_X$  of all partial mappings of  $X$ .

- $\mathcal{PT}_X$  is left restriction with distinguished semilattice

$$E = \{I_Y : Y \subseteq X\}$$

and with

$$\alpha^+ = I_{\text{dom } \alpha}.$$

- $S$  is left restriction if and only if it embeds in some  $\mathcal{PT}_X$  in a way that preserves  $^+$  (folklore: Trokhimenko [21]).

# Restriction semigroups: varieties

Let  $S = (S, \cdot, +)$  be a semigroup equipped with a unary operation  $+$  (that is,  $S$  is a **unary semigroup**).

**Fact**  $S$  is **left restriction** with distinguished semilattice

$$E = \{a^+ : a \in S\}$$

if and only if the following identities hold:

$$x^+x = x, x^+y^+ = y^+x^+, (x^+y)^+ = x^+y^+, xy^+ = (xy)^+x.$$

If the above identities hold then for any  $a^+ \in E$ ,

$$a^+ = (a^+a)^+ = a^+a^+$$

so that we see  $E$  is a semilattice.

# Restriction semigroups: varieties

- Consequently, left restriction semigroups form a **variety** of unary semigroups.
- Dually, right restriction semigroups form a variety of unary semigroups, with unary operation denoted by  $*$ , satisfying the left/right duals of the axioms above.
- A bi-unary semigroup is restriction if and only if satisfies the identities for left and right restriction semigroups together with

$$(a^*)^+ = a^* \text{ and } (a^+)^* = a^+.$$

- Since (left) restriction semigroups form varieties, free objects exist.
- The free (left) restriction semigroup on any set  $X$  embeds into the free inverse semigroup on  $X$  ([9, 8]).

Different schools arrived at (left) restriction semigroups via different directions from 1960s onwards:

- Schweizer, Sklar, Schein, Trokhimenko: **function systems**

[16, 17, 18, 19, 20]

Let  $T$  be a subsemigroup of  $\mathcal{PT}_X$  or  $\mathcal{B}_X$  (semigroup of binary relations on  $X$ ).

$T$  may be equipped with additional operations such as  $+$ ,  $\cap$ ,  $(f, g) \mapsto f^+g$  etc.

Can such  $T$  be axiomatised by first order formulae? By identities?

- Lawson: **Ehresmann semigroups** [13]

Lawson found a correspondence between Ehresmann semigroups and certain categories equipped with two orderings. As a special case, restriction semigroups correspond to inductive categories.

- Jackson and Stokes: **closure operators** [10]  
Introduced 'twisted  $C$ -semigroups', with an axiomatisation equivalent to the one given here.
- Manes, Cockett, Lack: **category theory, computer science** [2, 14].  
Gave the axioms above. Also interested in restriction *categories*.
- Fountain: **generalisations of regular and inverse semigroups** [6].
- Jones: **P-restriction semigroups** obtained from *regular  $*$ -semigroups* [11].

# The relations $\mathcal{R}^*$ and $\mathcal{L}^*$

- The relation  $\mathcal{R}^*$  on  $S$  is defined by the rule that  $a \mathcal{R}^* b$  if and only if

$$xa = ya \Leftrightarrow xb = yb$$

for all  $x, y \in S^1$ .

- $\mathcal{R} \subseteq \mathcal{R}^* \subseteq \tilde{\mathcal{R}}_E$
- A monoid  $M$  is **left PP** if every principal left ideal is projective.
- $M$  is left PP if and only if every  $\mathcal{R}^*$ -class contains an idempotent.

This observation by Fountain [6] led to the introduction of **abundant**, **adequate semigroups**, etc.

## (Left) ample semigroups

**Definition** A semigroup  $S$  is **left ample** (formerly, **left type A**) if  $E(S)$  is a semilattice, every  $\mathcal{R}^*$ -class contains an idempotent, and for all  $a \in S, e \in E(S)$ ,

$$ae = (ae)^+ a$$

where  $a^+$  is the unique idempotent in the  $\mathcal{R}^*$ -class of  $a$ .

Equivalently,  $S$  is left ample if and only if it is left restriction and  $\mathcal{R}^* = \widetilde{\mathcal{R}}_E$ .

**Right ample** semigroups are defined dually, and a semigroup is **ample** if it is both left and right ample.

**Fact** A unary semigroup is left ample if and only if it embeds in some  $\mathcal{I}_X$  [7].

**Fact** (Left) ample semigroups form a quasi-variety; the variety they generate is the variety of (left) restriction semigroups [9, 8].



There are several approaches to structure of inverse semigroups, using groups and semilattices. These may be adapted to (left) restriction semigroups.

[McAlister's](#) approach uses **proper covers**: if  $S$  is inverse then it has a **proper** preimage  $\widehat{S}$  such that  $E(\widehat{S}) \cong E(S)$  and such that the structure of  $\widehat{S}$  is known - it is isomorphic to a **P-semigroup**.

$P$ -semigroups are closely related to semidirect products.

Let  $S$  be left restriction.

- $S$  is **reduced** if  $|E| = 1$ . A reduced left restriction semigroup is simply a monoid!
- $\sigma_E$  is the least congruence **identifying all the idempotents of  $E$** .
- The left restriction semigroup  $S/\sigma_E$  is reduced.
- A left restriction semigroup  $S$  is **proper** if  $\tilde{\mathcal{R}}_E \cap \sigma_E = \iota$ .
- If  $S$  is proper left restriction, then  $\theta : S \rightarrow E \times S/\sigma_E$  given by

$$s\theta = (s^+, s\sigma_E)$$

is an injection.

# Semidirect products

Let  $M$  be a monoid and  $Y$  a set. Then  $M$  **acts on the left of**  $Y$  if there is a map

$$M \times Y \rightarrow Y; (m, y) \mapsto m \cdot y,$$

such that

$$1 \cdot y = y \text{ and } (mn) \cdot y = m \cdot (n \cdot y).$$

Suppose now that  $Y$  is a semigroup. Then  $M$  **acts by morphisms** if, in addition,

$$m \cdot (yz) = (m \cdot y)(m \cdot z).$$

In this case, define a product on  $Y \times M$  by

$$(y, m)(z, n) = (y(m \cdot z), mn).$$

This product is associative, yielding the **semidirect product**  $Y * M$ .

## An example: left restriction semigroups

- If  $M$  is a group and  $Y$  a semilattice,  $Y * M$  is proper inverse.
- If  $M$  is a monoid and  $Y$  a semilattice,  $Y * M$  is proper left restriction.
- Let  $S$  be a left restriction monoid with distinguished semilattice  $E$ .  
Define

$$s \cdot e = (se)^+.$$

Then this is an action of  $S$  on  $E$ .

- Let  $s \in S$  and  $e, f \in E$ . Then from the ample condition  $ae = (ae)^+a$  and the identity  $(x^+y)^+ = x^+y^+$ ,

$$s \cdot ef = (sef)^+ = ((se)^+sf)^+ = (se)^+(sf)^+ = (s \cdot e)(s \cdot f).$$

- From the above,  $E * S$  is proper left restriction.

Let  $S$  be left restriction.

- A **proper cover** of  $S$  is a proper left restriction semigroup  $\widehat{S}$  and an onto morphism  $\theta : \widehat{S} \rightarrow S$  such that  $\theta$  separates distinguished idempotents.
- If  $S$  is a monoid then

$$\widehat{S} = \{(e, s) : e \leq s^+\} \subseteq E * S$$

is a proper cover of  $S$

- Every left restriction semigroup has a proper cover [1].

## Proper left restriction semigroups: a recipe

Let  $T$  be a monoid acting on the left of a semilattice  $\mathcal{X}$  via morphisms.

Suppose that  $\mathcal{X}$  has subsemilattice  $\mathcal{Y}$  with upper bound  $\varepsilon$  such that

(a) for all  $t \in T$  there exists  $e \in \mathcal{Y}$  such that  $e \leq t \cdot \varepsilon$

(b) if  $e \leq t \cdot \varepsilon$  then for all  $f \in \mathcal{Y}$ ,  $e \wedge t \cdot f \in \mathcal{Y}$ .

Then  $(T, \mathcal{X}, \mathcal{Y})$  is a **strong left M-triple**.

For a strong left M-triple  $(T, \mathcal{X}, \mathcal{Y})$  we put

$$\mathcal{M}(T, \mathcal{X}, \mathcal{Y}) = \{(e, t) \in \mathcal{Y} \times T : e \leq t \cdot \varepsilon\}$$

and define

$$(e, s)(f, t) = (e \wedge s \cdot f, st), \quad (e, s)^+ = (e, 1).$$

Then  $\mathcal{M}(T, \mathcal{X}, \mathcal{Y})$  is proper left restriction.

**Theorem** A left restriction semigroup  $S$  is proper if and only if it is isomorphic to some  $\mathcal{M}(T, \mathcal{X}, \mathcal{Y})$  [1].

**Important point** In the above result, we can take

$$T = S/\sigma_E \text{ and } \mathcal{Y} = E.$$

By replacing  $T$  with a right cancellative monoid, we can specialise to the left ample case: see also [Fountain \[6\]](#) and [Lawson \[12\]](#).

# Proper restriction semigroups

- A restriction semigroup  $S$  is *proper* if

$$\tilde{\mathcal{R}}_E \cap \sigma_E = \iota = \tilde{\mathcal{L}}_E \cap \sigma_E.$$

- Every restriction semigroup has a proper cover [8]
- If  $S$  is proper restriction, then as  $S$  is proper left restriction,

$$S \cong \mathcal{M}(T, \mathcal{X}, \mathcal{Y})$$

where  $T = S/\sigma_E$  and  $\mathcal{Y} = E$ , and as  $S$  is proper right restriction,

$$S \cong \mathcal{M}'(\mathcal{Y}, \mathcal{X}', T),$$

where  $\mathcal{M}'(\mathcal{Y}, \mathcal{X}', T)$  is constructed from  $T$  acting on the right of a semilattice  $\mathcal{X}'$ .

- Clearly the left and right actions of  $T$  must be connected in some way.



# A structure theorem for proper restriction semigroups: the set-up

**Definition** Let  $T$  be a monoid, acting partially on the left and right of a semilattice  $\mathcal{Y}$ , via  $\cdot$  and  $\circ$  respectively. Suppose that both actions preserve the partial order and the domains of each  $t \in T$  are order ideals. Suppose in addition that for  $e \in \mathcal{Y}$  and  $t \in T$ , the following and their duals hold:

- (a) if  $\exists e \circ t$ , then  $\exists t \cdot (e \circ t)$  and  $t \cdot (e \circ t) = e$ ;
- (b) for all  $t \in T$ , there exists  $e \in \mathcal{Y}$  such that  $\exists e \circ t$ .

Then  $(T, \mathcal{Y})$  is a **strong M-pair**.

We put

$$\mathcal{M}(T, \mathcal{Y}) = \{(e, s) \in \mathcal{Y} \times T : \exists e \circ s\}$$

and define operations by

$$(e, s)(f, t) = (s \cdot (e \circ s \wedge f), st), (e, s)^+ = (e, 1) \text{ and } (e, s)^* = (e \circ s, 1).$$

# A structure theorem for proper restriction semigroups: the result

**Theorem: Cornock and G [4]** If  $(T, \mathcal{Y})$  is a strong M-pair, then








$$\mathcal{M}(T, \mathcal{Y}) \cong \mathcal{M}'(\mathcal{Y}, T),$$








where  $\mathcal{M}'(\mathcal{Y}, T)$  is constructed dually to  $\mathcal{M}(T, \mathcal{Y})$ .








**Theorem: Cornock and G [4]** A semigroup is proper restriction if and only if it is isomorphic to some  $\mathcal{M}(T, \mathcal{Y})$ .

**Corollary: Lawson [12]** A semigroup is proper ample if and only if it is isomorphic to  $\mathcal{M}(C, \mathcal{Y})$  for a cancellative monoid  $C$ .

**Corollary: Petrich and Reilly, [15]** A semigroup is proper inverse if and only if it is isomorphic to  $\mathcal{M}(G, \mathcal{Y})$  for a group  $G$ .

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