

Ideals in βS

H. G. Dales, Lancaster

NBSAN, York, Wednesday 11 May 2016

Joint work with D. Strauss and A. T.-M. Lau

References

[D] H. G. Dales, *Banach algebras and automatic continuity*, London Math. Society Monographs, Volume 24, The Clarendon Press, Oxford, xv+907 pp., 2000.

[DLS] H. G. Dales, A. T.-M. Lau, and D. Strauss, Banach algebras on semigroups and on their compactifications, *Memoirs American Math. Soc.*, 205 (2010), 165 pp.

[DDLS] H. G. Dales, F. K. Dashiell, A. T.-M. Lau, and D. Strauss, *Banach spaces of continuous functions as dual spaces*, pp. 270, Canadian Mathematical Society Books in Mathematics, to be published by Springer-Verlag in 2016.

[DSZZ] H. G. Dales, D. Strauss, Y. Zelenyuk, and Yu. Zelenyuk, Radicals of some semigroup algebras, *Semigroup Forum*, 87 (2013), 80–96.

[HS] N. Hindman and D. Strauss, *Algebra in the Stone-Ćech compactification, Theory and applications*, Walter de Gruyter, Berlin and New York, 1998; second revised and extended edition, 2012.

Semigroups and ideals

Let S be a semigroup.

Basic examples $(\mathbb{N}, +)$ and $(\mathbb{Z}, +)$; $\mathbb{F}_2 =$ free group on 2 generators.

An element $p \in S$ is **idempotent** if $p^2 = p$; the set of these is $E(S)$. Set $p \leq q$ in $E(S)$ if $p = pq = qp$; $(E(S), \leq)$ is a partially ordered set, and we may have **minimal** idempotents.

For $s \in S$, set $L_s(t) = st$ and $R_s(t) = ts$ for $t \in S$. An element $s \in S$ is **cancellable** if both L_s and R_s are injective, and S is **cancellative** if each $s \in S$ is cancellable.

A subset $I \subset S$ is a **left ideal** if $sx \in I$ for each $s \in S$ and $x \in I$, i.e., $SI \subset I$. Similarly, we have a **right ideal**. An **ideal** is a subset that is both a left and right ideal. The minimum ideal (if it exists) is denoted by $K(S)$.

Stone–Čech compactifications

The **Stone–Čech compactification** of a set S is denoted by βS ; we regard S as a subset of βS , and set $S^* = \beta S \setminus S$; this is the **growth** of S . Especially we consider $\beta\mathbb{N}$ and \mathbb{N}^* .

The space βS is each of the following:

- - abstractly characterized by a universal property: βS is a compactification of S such that each bounded function from S to a compact space K has an extension to a continuous map from βS to K ;
- - the space of ultrafilters on S ;
- - the Stone space of the Boolean algebra $\mathcal{P}(S)$, the power set of S ;
- - the character space of the commutative C^* -algebra $\ell^\infty(S)$, so that $\ell^\infty(S) = C(\beta S)$. See below.

Some properties

The space βS is big: if $|S| = \kappa$, then $|\beta S| = 2^{2^\kappa}$. In particular, $|\beta\mathbb{N}| = 2^c$, which may be \aleph_2 .

Topologically βS is a **Stonean space**: it is **extremely disconnected**, so that the closure of every open set is also open. (But S^* is not Stonean.)

Many questions about $(\mathbb{N}, +)$, including combinatorial questions, can be resolved by moving up to $\beta\mathbb{N}$ - see the talk of Dona Strauss.

Semigroup compactifications

Let S be a semigroup. Then βS becomes a semigroup, as follows.

For each $s \in S$, the map $L_s : S \rightarrow \beta S$ has an extension to a continuous map $L_s : \beta S \rightarrow \beta S$. For $u \in \beta S$, define $s \square u = L_s(u)$.

Next, the map $R_u : s \mapsto s \square u, S \rightarrow \beta S$, has an extension to a continuous map $R_u : \beta S \rightarrow \beta S$ for each $u \in \beta S$. Define

$$u \square v = R_v(u) \quad (u, v \in \beta S).$$

Then $(\beta S, \square)$ is a compact, right topological semigroup (to be explained later).

Similarly $(\beta S, \diamond)$ is a compact, left topological semigroup.

Fact S^* is an ideal in $(\beta S, \square)$ whenever S is cancellative. □

Conference in Cambridge, 6–8 July, 2016.

$$\beta\mathbb{N}$$

Often the binary operation on $\beta\mathbb{N}$ from the semigroup $(\mathbb{N}, +)$ is denoted by $+$ to give the semigroup $(\beta\mathbb{N}, +)$. But note that $x + y \neq y + x$, in general.

Example \mathbb{N}^* is a closed left ideal in $(\beta\mathbb{Z}, \square)$, but not a right ideal. \square

There are many deep theorems about $(\beta\mathbb{N}, +)$ and $(\beta S, \square)$ (see the book [HS] of **Hindman–Strauss**); many basic open questions remain.

Some answers may be independent of ZFC.

Compact, right topological semigroup

Definition A semigroup V with a topology τ is a **compact, right topological semigroup** if (V, τ) is a compact space and the map R_v is continuous with respect to τ for each $v \in V$.

For example, $V = (\beta S, \square)$, or (S^*, \square) for cancellative S . It is the maximal such compactification.

In general, the maps L_v are not continuous on these semigroups. For example, let $V = (\beta S, \square)$. Then L_v is continuous when $v \in S$; for cancellative semigroups S , L_v is continuous **only** when $v \in S$.

The structure theorem

Study of these semigroups is based on the following **structure theorem**; see [HS].

Theorem Let V be a compact, right topological semigroup.

(i) A unique minimum ideal $K(V)$ exists in V . The families of minimal left ideals and of minimal right ideals of V both partition $K(V)$.

(ii) For each minimal right and left ideals R and L in V , there exists an element $p \in E(V) \cap R \cap L$ such that $R \cap L = RL = pVp$ is a group; these groups are maximal in $K(V)$, are pairwise isomorphic, and the family of these groups partitions $K(V)$.

(iii) For each $p, q \in K(V)$, the subset $pK(V)q$ is a subgroup of V , and there exists $r \in E(K(V))$ with $rp = p$ and $qr = q$.

(iv) $E(V) \cap K(V) = \{\text{minimal idempotents}\}$. \square

$K(\beta\mathbb{N})$ is big

It is easy to see that $K(\beta\mathbb{N})$ is equal to $K(\mathbb{N}^*)$.

Theorem (Hindman and Pym) The semigroup $K(\mathbb{N}^*)$ contains a copy of the free semigroup on 2^c generators. \square

A semigroup R of the form $A \times B$, where

$$(a, b)(c, d) = (a, d) \quad (a, c \in A, b, d \in B)$$

is a **rectangular semigroup**. It is a deep result of **Yevhen Zelenyuk** that $K(\mathbb{N}^*)$ contains a rectangular semigroup $A \times B$ with $|A| = |B| = 2^c$. Thus there is a ‘very large’ sub-semigroup R of $K(\mathbb{N}^*)$.

Algebras

An **algebra** is linear space (over \mathbb{C}) that also has an associative product such that the distributive laws hold and the product is compatible with scalar multiplication.

Examples (1) \mathbb{M}_n - this is $n \times n$ matrices over \mathbb{C} . It is called the **full matrix algebra**.

(2) Start with a semigroup S . Let δ_s denote the characteristic function of s . Define

$$\delta_s \star \delta_t = \delta_{st}.$$

Consider the finite sums of the δ_s with the obvious product. This is the (algebraic) **semi-group algebra**, $\mathbb{C}S = \text{lin}\{\delta_s : s \in S\}$. \square

Ideals in algebras

Let A be an algebra. A **left ideal** is a linear subspace I such that $AI \subset I$. A **maximal left ideal** is a proper left ideal that is maximal with respect to inclusion.

The **radical** of A , called $\text{rad}A$, is the intersection of the maximal left ideals.

It is also equal to the intersection of the maximal right ideals, and so $\text{rad}A$ is an ideal in A .

The algebra is **semi-simple** if $\text{rad}A = \{0\}$. It is easy to see that $A/\text{rad}A$ is always a semi-simple algebra.

Banach spaces

Let E be a Banach space. Then a linear functional λ is **bounded** if

$$\|\lambda\| = \sup\{|\lambda(x)| : \|x\| \leq 1\} < \infty.$$

Write E' for the space of these bounded linear functionals; so $(E', \|\cdot\|)$ is a Banach space. It is the **dual space** of E .

Write $\langle x, \lambda \rangle$ for $\lambda(x)$. Thus \langle, \rangle gives the **duality**.

The **weak-* topology** on E' is such that $\lambda_\alpha \rightarrow 0$ iff $\langle x, \lambda_\alpha \rangle \rightarrow 0$ for each $x \in E$. The closed unit ball of E' is weak-* compact.

The **bidual** is $E'' = (E')'$. The map

$$\kappa : E \rightarrow E'',$$

where $\langle \kappa(x), \lambda \rangle = \langle x, \lambda \rangle$, is an isometric embedding, so E is a closed subspace of E'' .

Banach algebras

Let A be an algebra such that $(A, \|\cdot\|)$ is also a Banach space. Then A is a **Banach algebra** if also $\|ab\| \leq \|a\| \|b\|$ for all $a, b \in A$.

In this case, maximal left ideals are closed, so that $\text{rad}A$ is a closed ideal in A .

Example Let S be a non-empty set. Consider the linear space of functions $f : S \rightarrow \mathbb{C}$ such that $\sum_{s \in S} |f(s)| < \infty$. This is the space $\ell^1(S)$. It is a Banach space for the norm

$$\|f\|_1 = \sum_{s \in S} |f(s)| .$$

Now suppose that S is a semigroup. Then $\ell^1(S)$ is a Banach algebra, where the product is again specified by $\delta_s \star \delta_t = \delta_{st}$ for all $s, t \in S$.

Ideals and semi-simplicity

Triviality Let S be a semigroup, and take a left ideal I in S . Set $J = \overline{\text{lin}\{\delta_s : s \in I\}}$. Then J is a closed left ideal in $\ell^1(S)$. \square

Theorem Let S be a group or the semigroup $(\mathbb{N}, +)$. Then $\mathbb{C}S$ and $\ell^1(S)$ are semi-simple algebras. \square

There are trivial 2-dimensional examples of semigroups S such that $\mathbb{C}S$ is not semi-simple.

A general classification of semigroups S such that $\mathbb{C}S$ or $\ell^1(S)$ are semi-simple seems to be inaccessible.

Open: Is $\ell^1(\beta\mathbb{N}, \square)$ semi-simple? Does semi-simplicity of one of $\mathbb{C}S$ and $\ell^1(S)$ imply the same for the other?

Partial results in [DSZZ].

Maximal left ideals

Example Let S be a semi-group. Set

$$\ell_0^1(S) = \left\{ f \in \ell^1(S) : \sum_{s \in S} f(s) = 0 \right\}.$$

This is the **augmentation ideal**. It is a maximal ideal and a maximal left ideal. It may be the only maximal left ideal. \square

Exercise Describe the maximal left ideals in $\ell^1(\mathbb{F}_2)$. How many have finite codimension?

A left ideal I in a unital algebra A is **finitely-generated** if there exist $a_1, \dots, a_n \in A$ such that $I = Aa_1 + \dots + Aa_n$.

Conjecture Let S be a semi-group. Suppose that all maximal left ideals in $\ell^1(S)$ are finitely-generated. Then S is finite.

Proposition (Jared White) $\ell_0^1(S)$ is finitely-generated if and only if S is ‘pseudo-finite’. For groups, pseudo-finite = finite. \square

$$M(\beta S)$$

Example Start with a non-empty set S and $E = \ell^1(S)$. Then we can identify E' with $\ell^\infty(S)$, the Banach space of bounded sequences on S . Of course $\ell^\infty(S)$ is identified with $C(\beta S)$. The bidual E'' is $C(\beta S)' = M(\beta S)$, the Banach space of all complex-valued, regular Borel measures μ on βS , with

$$\|\mu\| = |\mu|(\beta S).$$

Clearly $\ell^1(\beta S) \subset M(\beta S)$.

A measure μ is **continuous** if $\mu(\{u\}) = 0$ for all $u \in \beta S$. These measures form a closed linear subspace $M_c(\beta S)$ of $M(\beta S)$, and

$$M(\beta S) = \ell^1(\beta S) \oplus M_c(\beta S).$$

Also $M(\beta S) = \ell^1(S) \oplus M(S^*)$.

Claim Properties of $M(\beta S)$ give information about S .

Biduals of Banach algebras

Let A be a Banach algebra. Then there are two natural products, \square and \diamond , on the bidual A'' of A ; they are called the **Arens products**.

For $\lambda \in A'$ and $a \in A$, define $a \cdot \lambda, \lambda \cdot a \in A'$ by

$$\langle b, a \cdot \lambda \rangle = \langle ba, \lambda \rangle, \quad \langle b, \lambda \cdot a \rangle = \langle ab, \lambda \rangle \quad (b \in A).$$

[This makes A' into a **Banach A -bimodule**.]

For $\lambda \in A'$ and $\Phi \in A''$, define $\lambda \cdot \Phi \in A$ and $\Phi \cdot \lambda \in A'$ by

$$\langle a, \lambda \cdot \Phi \rangle = \langle \Phi, a \cdot \lambda \rangle, \quad \langle a, \Phi \cdot \lambda \rangle = \langle \Phi, \lambda \cdot a \rangle$$

for $a \in A$. For $\Phi, \Psi \in A''$, define

$$\langle \Phi \square \Psi, \lambda \rangle = \langle \Phi, \Psi \cdot \lambda \rangle,$$

for $\lambda \in A'$, and similarly for \diamond .

Basic facts

Fact 1 (A'', \square) and (A'', \diamond) are Banach algebras containing A as a closed subalgebra.

Fact 2 Let $\Phi, \Psi \in A''$. Then there are nets (a_α) and (b_β) in A with $a_\alpha \rightarrow \Phi$ and $b_\beta \rightarrow \Psi$ weak-* in A'' , and then

$$\Phi \square \Psi = \lim_{\alpha} \lim_{\beta} a_{\alpha} b_{\beta}$$

and also $\Phi \diamond \Psi = \lim_{\beta} \lim_{\alpha} a_{\alpha} b_{\beta}$.

The algebra A is **Arens regular** if \square and \diamond coincide on A'' . All C^* -algebras are Arens regular, but infinite-dimensional group algebras are not.

Biduals of semi-group algebras

Start with a semigroup S and the semigroup algebra $A = (\ell^1(S), \star)$.

Then $A' = \ell^\infty(S) = C(\beta S)$ and $A'' = M(\beta S)$.

We can transfer the Arens products \square and \diamond to $M(\beta S)$, and so we can define

$$\mu \square \nu \text{ and } \mu \diamond \nu \text{ for } \mu, \nu \in M(\beta S).$$

In particular, we define $\delta_u \square \delta_v$ for $u, v \in \beta S$, and, of course, $\delta_u \square \delta_v = \delta_u \square v$.

Obviously we can regard βS as a subset of $M(\beta S)$, and then $(\beta S, \square)$ is a sub-semigroup of the multiplicative semigroup of $(M(\beta S), \square)$.

It is very rare to have $\mu \square \nu = \nu \square \mu$.

For example, there are just two points a and b in \mathbb{N}^* such that the only elements ν in $M(\beta\mathbb{N})$ with both $\delta_a \square \nu = \nu \square \delta_a$ and $\delta_b \square \nu = \nu \square \delta_b$ are already in $\ell^1(\mathbb{N})$. See [DLS].

Left-invariant means

We know that $K = K(\beta\mathbb{N}, \square)$ is big. How to characterize it?

Let S be a semigroup, and take $\mu \in M(\beta S)$. Then μ is a **mean** if

$$\|\mu\| = \langle 1, \mu \rangle = 1,$$

and μ is **left-invariant** if $s \square \mu = \mu$ ($s \in S$). The semigroup S is **left-amenable** if there is a left-invariant mean on S , and **amenable** if there is a mean that is left and right invariant.

The sets of means and of left-invariant means on S are denoted by $\mathfrak{M}(S)$ and $\mathfrak{L}(S)$.

Both are weak- $*$ -compact, convex subsets of $(M(\beta S), \square)$. Further, $\mathfrak{M}(S)$ is a sub-semigroup, and hence is a compact, right topological semi-group in $(M(\beta S), \square)$, so it has a minimum ideal $K(\mathfrak{M}(S))$.

Left-amenable semigroups

A left-amenable group is amenable. All abelian semigroups are amenable; \mathbb{F}_2 is not amenable; it is a very famous open question whether Thompson's group is amenable.

A left or right ideal in a left-amenable semigroup is itself left amenable.

Let G be an amenable group of cardinality κ . Then $|\mathcal{L}(G)| = 2^{2^\kappa}$, but there are semigroups S with $|\mathcal{L}(S)| = 1$.

Fact Suppose that S is left-amenable. Then $\mathcal{L}(S) = K(\mathfrak{M}(S), \square)$. □

Question Characterize $K(\mathfrak{M}(S), \square)$ when S is **not** left-amenable. Relate $K(\mathfrak{M}(S), \square)$ and $K(\mathfrak{M}(S), \diamond)$, especially when $S = \mathbb{F}_2$. □

The support of measures

Let S be a semigroup, and take $\mu \in M(\beta S)$. Then μ has a **support**, $\text{supp } \mu$. Suppose that $\mu \in \mathfrak{M}(S)$. Then it can be shown that

$$\text{supp } \mu = \bigcap \{ \overline{F} : F \subset S, \langle \mu, \chi_F \rangle = 1 \}.$$

Suppose that S is left-amenable. Then $\text{supp } \mu$ is a closed left ideal in βS for each $\mu \in \mathfrak{L}(S)$. We define

$$L(\beta S) = \bigcup \{ \text{supp } \mu : \mu \in \mathfrak{L}(S) \},$$

a left ideal in βS . Is it closed?

Suppose that (μ_n) is a sequence in $\mathfrak{L}(S)$, and set $\mu = \sum_{n=1}^{\infty} \mu_n / 2^n$. Then

$$\text{supp } \mu = \overline{\bigcup \{ \text{supp } \mu_n : n \in \mathbb{N} \}},$$

so $\mathfrak{L}(S)$ contains the closure of any countable subset, but we do not know whether $\mathfrak{L}(S)$ is always closed.

For S infinite and cancellative, $\overline{L(\beta S)} \subset S^*$.

Some ideals in $(\beta S, \square)$

Fact Let S be a semigroup. Then $\overline{K(\beta S)}$ is an ideal in $(\beta S, \square)$. [HS]. \square

Theorem Let S be a left-amenable semigroup. Then:

(i) $L(\beta S)$ and $\overline{L(\beta S)}$ are ideals in $(\beta S, \square)$;

(ii) $K(\beta S) \subset L(\beta S)$, and so $\overline{K(\beta S)} \subset \overline{L(\beta S)}$. \square

More ideals

Let S be a cancellative semigroup (so S^* is a semigroup).

Definition Set

$$S_{[n]}^* = \{u_1 \square \cdots \square u_n : u_1, \dots, u_n \in S^*\}.$$

Thus $(S_{[n]}^*)$ is a decreasing nest of ideals in S^* , and

$$E(S^*) \cup K(\beta S) \subset S_{[\infty]}^* := \bigcap S_{[n]}^*.$$

Also $(\overline{S_{[n]}^*})$ is a decreasing nest of closed ideals.

Fact Each $\overline{S_{[n]}^*}$ is a closed ideal, and $\overline{S_{[2]}^*} \neq S^*$. [DLS] \square

Definition Set $T_{[1]}^* = S^*$ and $T_{[n+1]}^* = \overline{S^* \square T_{[n]}^*}$ for $n \in \mathbb{N}$, so that $\overline{S_{[n]}^*} \subset T_{[n]}^*$.

The latter look the same; $\overline{S_{[2]}^*} = T_{[2]}^*$. But it is not clear whether $\overline{S_{[3]}^*} = T_{[3]}^*$ - see later.

Relations with $L(\beta S)$

Theorem [DLS] Let S be infinite, left-amenable, and cancellative (e.g., $S = \mathbb{N}$). Then $\overline{L(\beta S)} \subset \overline{S_{[\infty]}^*}$. \square

$$\beta\mathbb{N}$$

Proposition [HS] The set $\overline{E(K(\beta\mathbb{N}))} \setminus \mathbb{N}_{[2]}^*$ is infinite, and so $K(\beta\mathbb{N})$ and $\mathbb{N}_{[2]}^*$ are not closed. \square

Proposition [DLS] (i) It is not true that $L(\beta\mathbb{N}) \subset \mathbb{N}_{[2]}^*$.

(ii) There are idempotents in \mathbb{N}^* that are not in $\overline{L(\beta\mathbb{N})}$, and so $\overline{L(\beta\mathbb{N})} \subsetneq \overline{\mathbb{N}_{[\infty]}^*}$. \square

Question Is $L(\beta\mathbb{N})$ closed in $\beta\mathbb{N}$?

Proposition [DLS] $\overline{K(\beta\mathbb{N})} \subsetneq \overline{L(\beta\mathbb{N})}$ (and so $K(\beta\mathbb{N}) \subsetneq L(\beta\mathbb{N})$). \square

The above use the following.

For a subset U of \mathbb{N} , the **upper density** of U is

$$\bar{d}(U) = \limsup_{n \rightarrow \infty} |U \cap \mathbb{N}_n| / n.$$

Now regard $u \in \beta\mathbb{N}$ as an ultrafilter on \mathbb{N} , and set

$$\Delta = \{u \in \beta\mathbb{N} : \bar{d}(U) > 0 \text{ (} u \in U \text{)}\}.$$

Then Δ is a closed left ideal in $(\beta\mathbb{N}, \square)$.

A theorem of Hindman

Neil Hindman showed us the following surprising fact (and more); see [DLS].

Theorem $\overline{\mathbb{N}_{[k+1]}^*} \subsetneq \overline{\mathbb{N}^* \square \mathbb{N}_{[k]}^*}$ for all $k \geq 2$.

Starting point Each $n \in \mathbb{N}$ has a unique expression in the form

$$n = \sum_{i=1}^{\infty} \varepsilon_i(n) 2^i,$$

with $\varepsilon_i(n) \in \{0, 1\}$ and $\varepsilon_i(n) = 0$ eventually. Then some combinatorics. \square

Ideals in $M(\beta S, \square)$

Let S be a semigroup.

Fact Let L be a closed left ideal in $(\beta S, \square)$. Then $M(L)$ is a weak- $*$ -closed left ideal in $(M(\beta S), \square)$. \square

Fact However $M(R)$ is not necessarily a right ideal in $(M(\beta S), \square)$ whenever R is a closed right ideal in βS . Indeed, the closed subspace $M(\overline{K(\beta \mathbb{N})})$ of $M(\beta \mathbb{N})$ is a left ideal, but not a right ideal, in $(M(\beta \mathbb{N}), \square)$. \square

Fact Let S be cancellative. Then each of $M(\overline{S_{[n]}^*})$ is a weak- $*$ closed left ideal. Further, each of $M(T_{[n]}^*)$ is a weak- $*$ -closed (two-sided) ideal. [DLS] \square

Question Recall that maybe $\overline{S_{[3]}^*} \subsetneq T_{[3]}^*$. Is $M(\overline{S_{[3]}^*})$ always a right ideal in $(M(\beta S), \square)$? In particular, what if $S = \mathbb{N}$?