

# Cayley Automaton Semigroups

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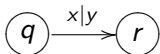
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## Definition

An *automaton* is a triple  $\mathcal{A} = (Q, B, \delta)$  where:

- $Q$  is a finite set of *states*
- $B$  is a finite *alphabet*
- $\delta : Q \times B \rightarrow Q \times B$  is the *transition function*.

Automata have outputs:



If we are in state  $q$  and read symbol  $x$ , we move to state  $r$  and output  $y$ . That is,  $\delta(q, x) = (r, y)$ .

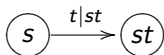
If we're in state  $q_0$  and read a sequence  $\alpha_1\alpha_2 \dots \alpha_n$  we output  $\beta_1\beta_2 \dots \beta_n$  where  $\delta(q_{i-1}, \alpha_i) = (q_i, \beta_i)$ .

Starting in state  $q$  and reading  $\alpha$  gives an endomorphism of the  $|B|$ -ary rooted tree. Extending this to several states gives a homomorphism  $\phi : Q^+ \rightarrow \text{End}(B^*)$ .

We say that  $\Sigma(\mathcal{A}) \cong \text{im}(\phi)$  is the *automaton semigroup*.

# Cayley Automaton Semigroups

$\mathcal{C}(S)$  is the automaton arising from the right Cayley graph of  $S$  (where we take all of  $S$  as the generating set). A typical edge looks like



More formally:

$$\mathcal{C}(S) = (\bar{S}, S, \delta), \delta(\bar{s}, t) = (\bar{st}, st)$$

where we denote states by  $\bar{s}$  to avoid confusion.

$\Sigma(\mathcal{C}(S))$  is the *Cayley Automaton Semigroup*.

# How does $\bar{q}$ act on $S^*$ ?

Let  $x \in S, \alpha \in S^*, \bar{q}_i \in \bar{S}$ . Then

$$\bar{q} \cdot (x\alpha) = (qx)(\bar{q}x \cdot \alpha), (\bar{q}_1 \cdot \bar{q}_2) \cdot \alpha = \bar{q}_1 \cdot (\bar{q}_2 \cdot \alpha).$$

For  $\alpha = \alpha_1\alpha_2 \dots \alpha_n$  we have

$$\begin{aligned}\bar{q} \cdot \alpha &= (q\alpha_1)(\bar{q}\alpha_1 \cdot \alpha_2 \dots \alpha_n) \\ &= (q\alpha_1)(q\alpha_1\alpha_2)(\bar{q}\alpha_1\alpha_2 \cdot \alpha_3 \dots \alpha_n) \\ &\vdots \\ &= (q\alpha_1)(q\alpha_1\alpha_2) \dots (q\alpha_1 \dots \alpha_n)\end{aligned}$$

So we can think of  $\bar{q}$  as a function

$$\bar{q} : \alpha_1\alpha_2 \dots \alpha_n \mapsto (q\alpha_1)(q\alpha_1\alpha_2) \dots (q\alpha_1 \dots \alpha_n).$$

# Some properties

- (Mintz 2009) Let  $S$  be finite. The following are equivalent:
  - $S$  is aperiodic
  - $\Sigma(\mathcal{C}(S))$  is finite
  - $\Sigma(\mathcal{C}(S))$  is aperiodic
- (Silva and Steinberg 2005) Let  $G$  be a non-trivial finite group. Then  $\Sigma(\mathcal{C}(G)) \cong F_{|G|}$
- (Mintz 2009) Let  $T \leq S$ . The  $\Sigma(\mathcal{C}(T))$  divides  $\Sigma(\mathcal{C}(S))$ . If  $T$  is a non-trivial group then  $\Sigma(\mathcal{C}(T)) \leq \Sigma(\mathcal{C}(S))$ .

Let  $z \in S$  be a left-zero. The  $\bar{z}$  is a left-zero in  $\Sigma(\mathcal{C}(S))$ .

$\bar{z} \cdot \alpha = (z\alpha_1)(z\alpha_1\alpha_2) \dots (z\alpha_1 \dots \alpha_n) = (z)^n$ . Let  $a \in S$ . Then  $\bar{a} \cdot \alpha = \beta_1\beta_2 \dots \beta_n$ . So  $\bar{z} \cdot \bar{a} \cdot \alpha = \bar{z} \cdot \beta_1\beta_2 \dots \beta_n = (z)^n$ .

Consequently,  $\Sigma(\mathcal{C}(L_n)) \cong L_n$  after noting

$\bar{y} \cdot \alpha = (y)^n \neq (z)^n = \bar{z} \cdot \alpha$ .

Let  $0 \in S$  be the zero element. Then  $\bar{0}$  is the zero element in  $\Sigma(\mathcal{C}(S))$ .

Let  $z \in S$  be a right zero. Then  $\bar{z}$  is a right-zero in  $\Sigma(\mathcal{C}(S))$ .

Consider  $R_n$ . Then

$\bar{x} \cdot \alpha = (x\alpha_1)(x\alpha_1\alpha_2) \dots (x\alpha_1 \dots \alpha_n) = \alpha_1\alpha_2 \dots \alpha_n$  and

$\bar{y} \cdot \alpha = \alpha_1\alpha_2 \dots \alpha_n$ . So  $\bar{x} = \bar{y}$  but  $x \neq y$ .

# When does $\bar{x} = \bar{y}$ ?

## Lemma

Let  $x \neq y \in S$ . Then  $\bar{x} = \bar{y} \in \Sigma(\mathcal{C}(S))$  if and only if  $xa = ya$  for all  $a \in S$ .

## Proof.

( $\Rightarrow$ ) Let  $a\alpha \in S^*$ . Then  $\bar{x} \cdot a\alpha = (xa)(\bar{xa} \cdot \alpha)$  and  $\bar{y} \cdot a\alpha = (ya)(\bar{ya} \cdot \alpha)$ . The first symbols of the outputs must be equal and so  $xa = ya$  for all  $a \in S$ .

( $\Leftarrow$ ) Let  $xa = ya$ . Then

$\bar{x} \cdot a\alpha = (xa)(\bar{xa} \cdot \alpha) = (ya)(\bar{ya} \cdot \alpha) = \bar{y} \cdot a\alpha$  and so  $\bar{x} = \bar{y}$ . □



# Nilpotent Semigroups

A semigroup  $S$  is *nilpotent of class  $n$*  if there exists  $n$  such that  $S^n = \{0\}$  and  $S^{n-1} \neq \{0\}$ . Note that such a semigroup must necessarily contain a zero element. By definition a semigroup is nilpotent of class 1 if and only if it is trivial.

## Lemma (Cain 2009)

*Let  $S$  be finite and nilpotent of class  $n$ . Then  $\Sigma(C(S))$  is finite and nilpotent of class  $n - 1$ .*

## Proof.

We have  $\overline{w_1} \cdot \overline{w_2} \cdot \dots \cdot \overline{w_{n-1}} \cdot \alpha = (w_1 w_2 \dots w_{n-1} \alpha_1) \dots = 0^\omega$  since  $S$  is nilpotent of class  $n$ . Hence  $\Sigma(C(S))$  is nilpotent of class at most  $n - 1$ .

Now let  $w_1, \dots, w_{n-1}$  be such that  $w_1 w_2 \dots w_{n-1} \neq 0$ . Then  $\overline{w_1} \cdot \dots \cdot \overline{w_{n-2}} \cdot w_{n-1} = (w_1 w_2 \dots w_{n-2} w_{n-1}) \neq 0^\omega$ . Hence  $\overline{w_1} \cdot \dots \cdot \overline{w_{n-2}} \neq \overline{0}$ . So  $\Sigma(C(S))$  is nilpotent of class  $n - 1$ . □

# Other known classes of Semigroups

## Lemma (M 2012)

*Let  $S$  be cancellative (and not necessarily finite). Then  $\Sigma(C(S))$  is free of rank equal to the order of  $S$ .*

## Lemma (M 2011)

*Let  $S$  be a finite monogenic semigroup with a non-trivial subgroup. Then  $\Sigma(C(S))$  is a small extension of a free semigroup of rank equal to the order of the subgroup.*

## Lemma (Maltcev 2008)

*Let  $S$  be finite. Then  $\Sigma(C(S))$  is free if and only if the minimal ideal  $K$  of  $S$  consists of a single  $\mathcal{R}$ -class in which every  $\mathcal{H}$ -class is non-trivial and there exists  $k$  such that  $st = skt$  for all  $s, t \in S$ .*

$S$  is *self-automaton* if  $S \cong \Sigma(C(S))$ . We are particularly interested in the map  $s \mapsto \bar{s}$ . Known examples:

- A monoid is self automaton if and only if it is a band
- Left-zero semigroups
- Semilattices
- Zero-unions of left-zero semigroups
- $L_n \cup B$  where  $L_n$  acts trivially on the band  $B$

## Theorem

*Let  $B$  be a band. Then the map  $b \mapsto \bar{b}$  is a homomorphism.*

We can classify which bands are self-automaton.

## Theorem (M 2012)

*Let  $B$  be a band. Then  $B \cong \Sigma(C(B))$  under the map  $b \mapsto \bar{b}$  if and only if the left-regular representation of  $B$  is faithful.*

So are all self-automaton semigroups bands? NO!

$S = \langle e, f, a, 0 \mid e^2 = ef = e, f^2 = fe = f, ae = af = a, ea = fa = a^2 = 0 \rangle$  is self-automaton.

It remains an open question to classify the self-automaton semigroups.

Thanks for listening!