

# Expansions and covers

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# Summary

This talk is partly based on an illuminating lecture given by [Jon McCammond](#) in Braga in 2003.

- (1) Covers and expansions
- (2) Mal'cev expansions
- (3) Stabilisers
- (4) Unitary semigroups



# Removing singularities

In mathematics, objects do not necessarily behave regularly and may sometimes have undesirable properties. A standard attempt to avoid such **singularities** is to **replace** defective objects by smoother ones.

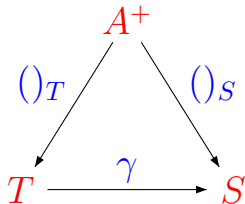
The notion of **cover** in semigroup theory shares the same idea: **removing singularities**.



# $A$ -generated semigroups

An  $A$ -generated semigroup is a semigroup  $S$  together with a surjective morphism  $u \rightarrow (u)_S$  from  $A^+$  onto  $S$ . Then  $(u)_S$  is called the value of  $u$  in  $S$ .

A morphism between two  $A$ -generated semigroups  $T$  and  $S$  is a surjective semigroup morphism  $\gamma : T \rightarrow S$  such that the triangle below is commutative:



# Covers

A **cover** associates to each semigroup  $S$  a semigroup  $\widehat{S}$  and a surjective morphism  $\pi_S : \widehat{S} \rightarrow S$ .

Properties of the **cover** depend on the type of **singularities** to be removed. Properties of  $\pi$  are also sometimes required.

For instance, if  $S$  is an  **$A$ -generated** semigroup, the map

$$A^+ \xrightarrow{(\ )_S} S$$

is the **free cover** of  $S$ . It gets rid of the relations between the generators.



# Expansions

An **expansion** is a **functorial cover**. It associates

- (1) to each semigroup  $S$  a **cover**  $\pi_S : \widehat{S} \rightarrow S$
- (2) to each morphism  $\varphi : S \rightarrow T$  a morphism  $\widehat{\varphi} : \widehat{S} \rightarrow \widehat{T}$

such that the following diagram commutes:

$$\begin{array}{ccc} \widehat{S} & \xrightarrow{\widehat{\varphi}} & \widehat{T} \\ \pi_S \downarrow & & \downarrow \pi_T \\ S & \xrightarrow{\varphi} & T \end{array}$$

## Theorem (Simon 75, Straubing-Thérien 85)

Every *finite  $\mathcal{J}$ -trivial* monoid is covered by a *finite ordered* monoid in which  $x \leq 1$  for each element  $x$ .

## Theorem (Henckell, Margolis, Pin, Rhodes)

Every *finite* monoid having at most one idempotent in each  $\mathcal{R}$ -class and in each  $\mathcal{L}$ -class is covered by a *finite ordered* monoid in which  $e \leq 1$  for each idempotent  $e$ .

# Varieties

A **variety of semigroups** is a class of semigroups closed under taking **subsemigroups**, **quotient semigroups** and **direct products**.

A semigroup is **commutative** iff it satisfies the **identity**  $xy = yx$ . A semigroup is **idempotent** iff it satisfies the **identity**  $x^2 = x$ .

Let  $E$  be a set of identities. The variety of semigroups **defined by**  $E$  is the class  $[[E]]$  of all semigroups satisfying all identities of  $E$ .

**Birkhoff's Theorem** (1935). A class of semigroups is a **variety** iff it can be defined by a set of **identities**.

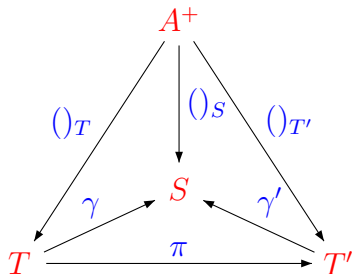




# V-extensions

Let  $\mathbf{V}$  be a variety of semigroups. A semigroup morphism  $\gamma : T \rightarrow S$  is a  $\mathbf{V}$ -extension of  $S$  if, for each idempotent  $e \in S$ ,  $\gamma^{-1}(e) \in \mathbf{V}$ .

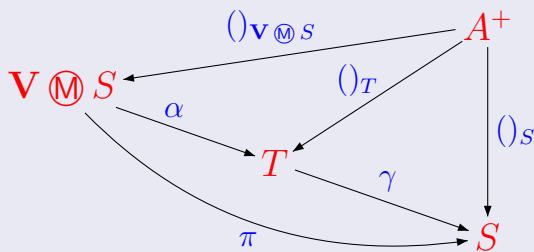
$\mathbf{V}$ -extensions of an  $A$ -generated semigroup  $S$  form a category, whose morphisms are the morphisms  $\pi : T \rightarrow T'$  such that this diagram is commutative:



# The Mal'cev expansion as an initial object

## Theorem (Universal property)

There is a  $\mathbf{V}$ -extension of  $S$ , denoted  $\mathbf{V} \circledast S$ , such that for each  $\mathbf{V}$ -extension  $\gamma : T \rightarrow S$ , there is a morphism  $\alpha : \mathbf{V} \circledast S \rightarrow T$  such that the following diagram commutes:



# Construction of the Mal'cev expansion (1)

Let  $S$  be an  $A$ -generated semigroup. A morphism  $\sigma : B^+ \rightarrow A^+$  is said to be **trivialized** by  $S$  if there is an **idempotent**  $e \in S$  such that  $(\sigma(B^+))_S = e$ .

**Note.** It suffices to have  $(\sigma(b))_S = e$  for all  $b \in B$ .



## Construction of the Mal'cev expansion (2)

Given a set  $E$  of identities defining  $\mathbf{V}$ , the Mal'cev expansion of  $S$  is be the semigroup  $\mathbf{V} \textcircled{M} S$  with presentation

$\langle A \mid \{ \sigma(u) = \sigma(v) \mid (u, v) \in B^+ \times B^+ \text{ is an identity of } E \text{ and } \sigma \text{ is trivialized by } S \} \rangle$

### Proposition

*The definition of  $\mathbf{V} \textcircled{M} S$  does not depend on the choice of the identities defining  $\mathbf{V}$ . Further it is functorial.*



# Construction of the Mal'cev expansion (3)

Each relator  $\sigma(u) = \sigma(v)$  of the presentation of  $\mathbf{V} \circledast S$  satisfies  $(\sigma(u))_S = (\sigma(v))_S = e$ . Thus there is a unique surjective morphism  $\pi : \mathbf{V} \circledast S \rightarrow S$  such that the following triangle commutes:

$$\begin{array}{ccc} & A^+ & \\ \begin{array}{c} \text{()}_{\mathbf{V} \circledast S} \\ \swarrow \end{array} & & \searrow \begin{array}{c} \text{()}_S \end{array} \\ \mathbf{V} \circledast S & \xrightarrow{\pi} & S \end{array}$$

## Theorem

*The morphism  $\pi$  is a  $\mathbf{V}$ -extension of  $S$ .*



# Brown's Theorem

A semigroup is **locally finite** if all of its **finitely generated** subsemigroups are **finite**.

## Theorem (Brown)

Let  $\varphi : S \rightarrow T$  be a semigroup morphism. If  $T$  is **locally finite** and, for every **idempotent**  $e \in T$ ,  $\varphi^{-1}(e)$  is **locally finite**, then  $S$  is **locally finite**.

# Locally finite varieties

A variety of semigroups  $\mathbf{V}$  is **locally finite** if every **finitely generated** semigroup of  $\mathbf{V}$  is finite.

## Theorem

Let  $\mathbf{V}$  be a *locally finite* variety,  $A$  a *finite* alphabet and  $S$  an  $A$ -*generated* semigroup. If  $S$  is finite, then  $\mathbf{V} \circledast S$  is also *finite*.



# Expansion by the trivial variety

Let  $\mathbf{I}$  be the trivial variety of semigroups and let  $S$  be an  $A$ -semigroup. Then  $\mathbf{I} \circledast S$  is the semigroup presented by  $\langle A \mid \{u = v \mid (u)_S = (v)_S = (v^2)_S\} \rangle$ .

## Proposition

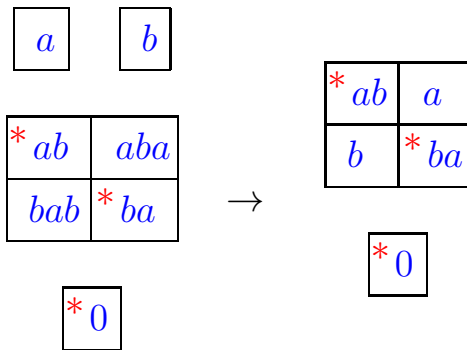
Let  $S$  be a *finite* semigroup. Then the projection  $\pi : \mathbf{I} \circledast S \rightarrow S$  is *injective* on *regular* elements: if  $x$  and  $y$  are regular elements of  $\mathbf{I} \circledast S$ , then  $\pi(x) = \pi(y)$  implies  $x = y$ .



# The **I**-expansion of $B_2$

It is the semigroup presented by

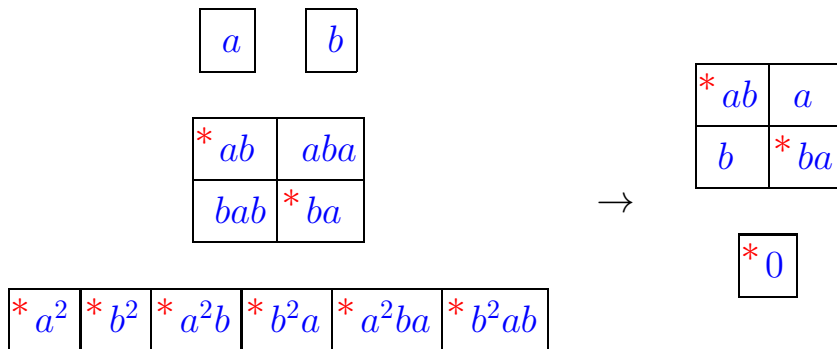
$$\langle \{a, b\} \mid (ab)^2 = ab, (ba)^2 = ba, a^2 = b^2 = 0 \rangle.$$



# Mal'cev right-zero expansions

It is the semigroup presented by

$$\langle A \mid \{vu = u \mid (v)_S = (u)_S = (u^2)_S\} \rangle.$$



# The Rhodes expansion

The **left** [**right**] **Rhodes expansion** of a semigroup  $S$  is an extension of  $S$  by **right** [**left**] zero semigroups.

Let  $S$  be an  $A$ -generated semigroup and let  $(s_n, \dots, s_0)$  be an  $\leq_{\mathcal{L}}$ -chain. The **reduction**  $\rho(s_n, \dots, s_0)$  is obtained from  $(s_n, \dots, s_0)$  by removing all the terms  $s_i$  such that  $s_{i+1} \mathcal{L} s_i$ .

For instance, if  $s_5 \mathcal{L} s_4 \leq_{\mathcal{L}} s_3 \mathcal{L} s_2 \mathcal{L} s_1 \leq_{\mathcal{L}} s_0$ , then  $\rho(s_5, s_4, s_3, s_2, s_1, s_0) = (s_5, s_3, s_0)$ .



## The Rhodes expansion (2)

Denote by  $L(S)$  the set of all  $<_{\mathcal{L}}$ -chains of  $S$ . Then the following operation makes  $L(S)$  a semigroup:

$$(s_n, \dots, s_0)(t_m, \dots, t_0) = \rho(s_n t_m, s_{n-1} t_m, \dots, s_0 t_m, t_m, \dots, t_0)$$

The projection  $\pi(s_n, \dots, s_0) = s_n$  is a morphism from  $L(S)$  onto  $S$ . Let  $\widehat{\varphi}: A^+ \rightarrow L(S)$  be the morphism defined by  $\widehat{\varphi}(a) = ((a)_S)$ . The image  $\widehat{S}^{\mathcal{L}} = \widehat{\varphi}(A^+)$  is the Rhodes expansion of  $S$ . Note that  $(\ )_S = \pi \circ \widehat{\varphi}$ .

# The Rhodes expansion of $B_2$

* $(ab)$	$(a)$
$(b)$	* $(ba)$

→

* $ab$	$a$
$b$	* $ba$

* $(0, a)$	* $(0, ab)$	* $(0, b)$	* $(0, ba)$
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* $0$
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# Properties of the Rhodes expansion $\widehat{S}^{\mathcal{L}}$

Let  $T$  be a semigroup. The **right stabilizer** of  $s$  is the semigroup  $\{t \in T \mid st = s\}$

## Proposition

- (1) An element  $(s_n, \dots, s_0)$  of  $\widehat{S}^{\mathcal{L}}$  is **idempotent** iff  $s_n$  is **idempotent** in  $S$ .
- (2) For each **idempotent**  $e$  of  $S$ ,  $\pi^{-1}(e)$  is a **right zero semigroup**.
- (3) For each element  $s$  of  $\widehat{S}^{\mathcal{L}}$ , the **right stabilizer** of  $s$  is an  **$\mathcal{R}$ -trivial semigroup**.

# The Birget expansion

Obtained by iterating the **left** and **right** Rhodes

expansion, alternatively:  $S, \widehat{S}^{\mathcal{L}}, \widehat{\widehat{S}^{\mathcal{L}}}^{\mathcal{R}}, \widehat{\widehat{\widehat{S}^{\mathcal{L}}}^{\mathcal{R}}}^{\mathcal{L}}, \widehat{\widehat{\widehat{\widehat{S}^{\mathcal{L}}}^{\mathcal{R}}}^{\mathcal{L}}}^{\mathcal{R}}$

## Theorem

If  $S$  is finite, this sequence ultimately stabilizes to a finite semigroup, the **Birget expansion** of  $S$ .

In the Birget expansion of a **finite monoid**, the  $\leq_{\mathcal{R}}$ -order on the  $\mathcal{R}$ -classes and the  $\leq_{\mathcal{L}}$ -order on the  $\mathcal{L}$ -classes form a **tree**.



### Theorem (Le Saec, Pin, Weil 1991)

Every *finite* semigroup  $S$  is a quotient of a *finite* semigroup  $\hat{S}$  in which the *right stabilizer* of any element is an  $\mathcal{R}$ -trivial band, that is, satisfies the identities  $x^2 = x$  and  $xyx = xy$ .



# $T$ -covers

Let  $T$  be a submonoid of  $M$ .

$T$  is **dense** in  $M$  if for each  $u \in M$  there are elements  $x, y \in M$  such that  $xu, uy \in T$ .

$T$  is **reflexive** in  $M$  if  $uv \in T$  implies  $vu \in T$ .

$T$  is **unitary** in  $M$  if  $u, uv \in T$  implies  $v \in T$  and  $u, vu \in T$  implies  $v \in T$ .

## Proposition

$T$  is a **dense, reflexive and unitary** subsemigroup of  $M$  iff there is a surjective morphism  $\pi$  from  $M$  onto a group  $G$  such that  $T = \pi^{-1}(1)$ .



# $T$ -covers

A  $T$ -cover of  $M$  is a monoid  $\widehat{M}$  with a dense, reflexive, unitary submonoid  $\widehat{T}$  of  $\widehat{M}$  and a surjective morphism  $\pi : \widehat{M} \rightarrow M$  onto  $M$  whose restriction to  $\widehat{T}$  is an isomorphism from  $\widehat{T}$  onto  $T$ .

$$\begin{array}{ccc} \widehat{T} & \longrightarrow & \widehat{M} \\ \pi \downarrow & & \downarrow \pi \\ T & \longrightarrow & M \end{array} \quad \begin{array}{c} \nearrow \gamma \\ \searrow \end{array}$$

## $E$ -unitary covers

An  $E$ -semigroup is a semigroup such that  $E(S)$  is a subsemigroup.

An  $E$ -commutative semigroup is a semigroup in which the idempotents commute.

A monoid is  $E$ -dense [ $E$ -unitary] if  $E(M)$  is a dense [unitary] submonoid of  $M$ .

A semigroup  $S$  is  $E$ -unitary [ $E$ -dense], if  $E(S)$  is a unitary [dense] subsemigroup of  $S$ .

An orthodox semigroup is a regular  $E$ -semigroup.



## Theorem (Fountain 1990)

- (1) Every  $E$ -commutative semigroup has an  $E$ -commutative unitary cover.
- (2) Every  $E$ -commutative dense semigroup has an  $E$ -commutative unitary dense cover.
- (3) Every inverse semigroup has an  $E$ -unitary inverse cover.

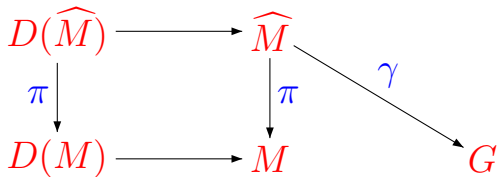
See also McAlister, O'Carroll, Szendrei, Margolis and Pin for the inverse case.

## Theorem (Almeida, Pin, Weil 1992)

- (1) Every  $E$ -semigroup has an  $E$ -unitary cover.
- (2) Every  $E$ -dense semigroup has an  $E$ -unitary dense cover.
- (3) Every orthodox semigroup has an  $E$ -unitary orthodox cover.

## $D$ -covers

Let  $D(M)$  be the smallest submonoid of  $M$  closed under **weak conjugation**: if  $x\bar{x}x = x$  and if  $s \in D(M)$ , then  $xs\bar{x}, \bar{x}sx \in D(M)$ .



## Theorem (Trotter 95)

Any *regular* monoid has a  $D$ -unitary *regular* cover.

## Theorem (Fountain, Pin, Weil 2004)

Every  $E$ -dense monoid has a  $D$ -unitary  $E$ -dense cover.

# The finite case

The following result is a consequence of the former Rhodes [kernel conjecture](#), solved by [Ash](#).

## Theorem

*Every [finite](#) monoid has a [finite](#)  $D$ -unitary cover.  
Every [finite](#)  $E$ -semigroup has a [finite](#)  $E$ -unitary cover. If the semigroup is [regular](#), the cover can be chosen [regular](#) as well.*

