

Towards a modular  
version of Klyachko's  
theorem on line powers.

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(joint work with Roger Bryant)

## Free associative algebra

Let  $V$  be a f.d. vector space over a field  $K$  with basis  $\{x_1, \dots, x_n\}$ .

Let  $T(V)$  be the **free associative  $K$ -algebra** freely generated by  $x_1, \dots, x_n$

Thus

$$T(V) = T^0(V) \oplus T^1(V) \oplus \dots \oplus T^r(V) \oplus \dots$$

where  $T^0(V) = K \cdot 1$  and  $T^r(V)$

is a f.d. subspace with basis

$$\{x_{i_1} \cdots x_{i_r} : i_1, \dots, i_r \in \{1, \dots, n\}\}$$

•  $T^r(V)$  is spanned by all

$$v_1 \cdots v_r$$

with  $v_1, \dots, v_r \in V$

•  $T^r(V)$  may be identified with  $V^{\otimes r}$  via

$$v_1 \cdots v_r \longleftrightarrow v_1 \otimes \cdots \otimes v_r.$$

## Free lie algebra

$T(V)$  is a lie algebra under  $[\cdot, \cdot]$ , where

$$[a, b] := ab - ba$$

for all  $a, b \in T(V)$

Let  $L(V)$  denote the lie subalgebra of  $T(V)$  generated by  $x_1, \dots, x_n$ .

Then, by a theorem of Witt,  $L(V)$  is a **free lie algebra** freely generated by  $x_1, \dots, x_n$ .

$$L(V) = L^1(V) \oplus L^2(V) \oplus \dots \oplus L^r(V) \oplus \dots$$

$$\text{where } L^r(V) = L(V) \cap T^r(V).$$

- $L^1(V)$  is identified with  $V$
- $L^r(V)$  is spanned by all left-normed commutators

$$[v_1, v_2, \dots, v_r]$$

with  $v_1, v_2, \dots, v_r \in V$ .

## Tensor and Lie powers

Now let  $G$  be a group and suppose that  $V$  is a  $KG$ -module.

- $T^r(V) (= V^{\otimes r})$  is a  $KG$ -module called the  $r$ th tensor power of  $V$ .

Action of  $g \in G$  :-

$$(v_1 v_2 \dots v_r)g = (v_1 g)(v_2 g) \dots (v_r g)$$

$$\text{ie } (v_1 \otimes v_2 \otimes \dots \otimes v_r)g = (v_1 g) \otimes (v_2 g) \otimes \dots \otimes (v_r g)$$

- $L^r(V)$  is a  $KG$ -submodule of  $V^{\otimes r}$  called the  $r$ th Lie power of  $V$ .

Action of  $g \in G$  :-

$$[v_1, v_2, \dots, v_r]g = [v_1 g, v_2 g, \dots, v_r g]$$

# Tensor and Lie powers

We started with a finite dimensional  $KA$ -module  $V$  and created two (infinite) families of finite dimensional  $KA$ -modules :-

Tensor powers:  $V^{\otimes 0}, V^{\otimes 1}, V^{\otimes 2}, \dots, V^{\otimes r}, \dots$

Lie powers:  $L^1(V), L^2(V), \dots, L^r(V), \dots$

## Research theme

Given a field  $K$ , a group  $G$  and a particular  $KA$ -module  $V$ , find  $V^{\otimes r}, L^r(V)$  (and related modules) up to isomorphism.

Which indecomposable modules occur as direct summands?

With what multiplicity?

## Polynomial $GL(n, K)$ -modules

From now on we take  $K$  to be an alg. closed field of char  $p \geq 0$ ,  $G = GL(n, K)$

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- $W$  a f.d.  $KG$ -module with basis  $\{w_1, \dots, w_d\}$ . For each  $g = (g_{ij}) \in G$  let  $A_g$  denote the  $d \times d$  matrix giving the action of  $g$  on  $W$  wrt the basis  $\{w_1, \dots, w_d\}$ .
- We say that  $W$  is a **polynomial module (of degree  $r$ )** if there exist  $d^2$  polynomials (homogeneous of degree  $r$ )  $\mathcal{Q}_{k,c}$  in  $n^2$  variables such that the  $(k,c)^{\text{th}}$  entry of  $A_g$  is  $\mathcal{Q}_{k,c}(g_{ij})$  for all  $g \in G$ .

# Polynomial $GL(n, K)$ -modules

Let  $\text{mod}_K(n, r)$  denote the category of finite dimensional polynomial  $KA$ -modules of degree  $r$ .

## FACTS

- ① If  $W$  is polynomial of degree  $r$  and  $U$  is polynomial of degree  $s$  then  $W \otimes_K U$  is polynomial of degree  $r+s$ .
- ②  $\text{mod}_K(n, r)$  is closed under direct sums, submodules and factor modules.

## Examples

- ① Let  $E$  denote the  $n$ -dimensional natural  $KA$ -module. Then  $E$  is a polynomial module of degree 1.
- ②  $E^{\otimes r}$  is a polynomial module of degree  $r$ , by FACT 1
- ③  $L^r(E)$  is a polynomial module of degree  $r$ , by FACT 2

# The Schur functor

Let  $\text{Mod}(KS_r)$  denote the category of all f.d.  $KS_r$ -modules.

If  $\underline{n \geq r}$  then there is an exact functor

$$f_r : \text{Mod}_K(n, r) \rightarrow \text{Mod}(KS_r)$$

called the Schur functor.

- $f_r(W) = \underset{\uparrow}{W^{(1^r)}}$   
a certain subspace (weight-space) of  $W$ , regarded as a  $KS_r$ -module via the embedding  $S_r \subseteq GL(n, K)$   
 $\sigma \mapsto \left( \begin{array}{c|c} \text{perm matrix} & 0 \\ \hline 0 & \text{id} \end{array} \right)$

$$f_r(E^{\otimes r}) = KS_r$$

- $f_r(L^r(E)) = \underset{\uparrow}{\text{Lie}(r)}$   
Lie module of the symmetric group.



# Indecomposable summands of $E^{\otimes r}$

• For each partition  $\lambda$  of  $r$  into at most  $n$  parts there is a certain indecomposable "tilting" module  $T(\lambda)$  in  $\text{mod}_k(n, r)$ .

• We say that a partition  $\lambda$  is **row  $p$ -regular** if no  $p$  parts of  $\lambda$  are equal.

• Let  $n \geq r$ . Then the set

$\{ f_r(T(\lambda)) : \lambda \text{ is row } p\text{-regular} \}$   
is a full set of projective indecomposable  $K_S^r$ -modules.

• Write  $P^\lambda = f_r(T(\lambda))$ .

The head of  $P^\lambda$  is a simple  $K_S^r$ -module denoted by  $D^\lambda$ .

# Indecomposable summands of $E^{\otimes r}$

$$E^{\otimes r} \cong \bigoplus t_{\lambda} T(\lambda)$$

where the sum ranges over all row  $p$ -regular partitions of  $r$  into at most  $n$  parts

and  $t_{\lambda} = \dim D^{\lambda}$ .

## Remarks

① When  $\text{char } k = p = 0$ , this result is classical.

The modules  $E^{\otimes r}$  are known to be semisimple (Schur, 1927) and we have

- $T(\lambda)$  is simple
- $D^{\lambda} = S^{\lambda}$ , the Specht module

so that  $t_{\lambda} = \frac{n!}{\prod(\text{hook lengths})}$   $\left( = \# \text{ standard tableaux of shape } \lambda \right)$

② If  $\text{char } k = p > 0$  then there is not, in general, an explicit formula for the multiplicities  $t_{\lambda}$ .

## Indecomposable summands of $L^r(E)$

- Recall that  $L^r(E)$  is a  $KG$ -submodule of  $E^{\otimes r}$ . We would like to describe  $L^r(E)$  up to isomorphism.

Useful fact If  $\text{char } K = p < r$ , then  $L^r(E)$  is a direct summand of  $E^{\otimes r}$

- Thus, in this case,

$$L^r(E) \cong \bigoplus L_\lambda T(\lambda)$$

where the sum ranges over all row  $p$ -regular partitions of  $r$  into at most  $n$  parts and

$$0 \leq L_\lambda \leq t_\lambda = \dim D^\lambda.$$

Question: What is  $L_\lambda$ ?

## Indecomposable summands of $L^r(E)$

If  $\text{char } K = p \nmid r$  then  $L^r(E)$  is a direct summand of  $E^{\otimes r}$  and we let  $L_\lambda$  denote the multiplicity of  $T(\lambda)$  in  $L^r(E)$ .

### Multiplicity formulae

- If  $\text{char } K = 0$

$$L_\lambda = \frac{1}{r} \sum_{d|r} \mu(d) \chi^\lambda(\tau^{r/d}) \quad - \text{Wever, 1949}$$

- If  $\text{char } K > 0$

$$L_\lambda = \frac{1}{r} \sum_{d|r} \mu(d) \beta^\lambda(\tau^{r/d}) \quad - \text{Donkin + Erdmann, 1998}$$

[ here  $\mu$  denotes the Möbius function  
 $\chi^\lambda$  denotes the character of  $D^\lambda = S^\lambda$   
 $\beta^\lambda$  denotes the Brauer character of  $D^\lambda$   
and  $\tau$  denotes a cycle of length  $r$  in  $S_r$  ]

Question : When is  $L_\lambda > 0$  ?

# Klyachko's theorem

let char  $k = 0$ .

Recall that

$$E^{\otimes r} \simeq \bigoplus_{\lambda} t_{\lambda} T(\lambda)$$

where the sum ranges over all partitions of  $r$  into at most  $n$  parts, the modules  $T(\lambda)$  are simple and  $t_{\lambda} = \dim S^{\lambda}$ , the Specht module.

Theorem, (Klyachko, 1974)

let  $r \geq 3$  and let  $\lambda$  be a partition of  $r$  into at most  $n$  parts.

$$T(\lambda) \mid L^r(E) \iff \begin{array}{l} \lambda \neq (1^r) \\ \lambda \neq (r) \\ \lambda \neq (2^2) \\ \lambda \neq (2^3) \end{array}$$

That is, almost all isomorphism types of indecomposable summands of  $E^{\otimes r}$  occur as summands of  $L^r(E)$ .

# Klyachko's theorem - Sketch of proof

- $S_r$  acts on the left of  $E^{\otimes r}$  by place permutations.
- let  $\xi$  be a primitive  $r$ -th root of unity in  $K$  and let

$$U_\xi = \{ u \in E^{\otimes r} : \tau u = \xi u \}$$

where  $\tau$  is a cycle of length  $r$  in  $S_r$ .

Note:  $U_\xi$  is the module from Roger's talk in the case where we take  $G = GL(n, K)$  and  $V = E$  the natural  $KG$ -module

First show that  $L^r(E) \cong U_\xi$  as  $KG$ -modules for any primitive root  $\xi$

- Thus we want to know when  $T(\alpha) \mid U_\xi$ .
- Since  $T(\alpha)$  is simple we find that
$$f_r(T(\alpha)) = D^\alpha$$
- Also, 
$$f_r(U_\xi) = I \uparrow_{\langle \tau \rangle}^{S_r}$$
where  $I$  is any 1-dimensional faithful  $K\langle \tau \rangle$ -module.

# Klyachko's theorem - Sketch of proof

• Thus

$$T(\lambda) \mid L^r(E) \iff D^\lambda \mid I \uparrow_{\langle \sigma \rangle}^{sr}$$

$$\iff \text{Hom}(I \uparrow_{\langle \sigma \rangle}^{sr}, D^\lambda) \neq 0$$

$$\iff \text{Hom}(I, D^\lambda \downarrow_{\langle \sigma \rangle}^{sr}) \neq 0.$$

Now show that if  $r > 6$  and  $D^\lambda$  is faithful then  $D^\lambda \downarrow_{\langle \sigma \rangle}^{sr}$  contains a faithful 1-dimensional submodule.

• Remains to show that  $T(1^r), T(r) \nmid L^r(E)$   
and  $T(2^2) \nmid L^4(E), T(2^3) \nmid L^6(E)$ .

Use multiplicity formulae, for example.



Remark If  $\text{char } k = p > 0$  and  $p \nmid r$

then this argument works with little modification so that

almost all isomorphism types of indecomposable summands of  $E^{\otimes r}$  occur as summands of  $L^r(E)$ .

Klyachko's theorem in arbitrary degree?

Question Which indecomposable summands  $T(\lambda)$  of  $E^{\otimes r}$  also occur as direct summands of  $L^r(E)$ ?

- If  $\text{char } k \nmid r$  : almost all.
- What about when  $\text{char } k \mid r$ ?

Suppose that  $r = p^m k$  where  $p \nmid k$ .  
Then the Decomposition Theorem gives

$$L^{p^m k}(E) \cong L^{p^m}(B_k) \oplus L^{p^{m-1}}(B_{pk}) \oplus \dots \oplus L^1(B_{p^m k})$$

where  $B_{p^i k} \subseteq L^{p^i k}(E)$  and  $B_{p^i k} \mid E^{\otimes p^i k}$ .

- If  $k=1$ , this decomposition is trivial.
- For  $k > 1$ , we find that  $B_{p^m k} \mid L^{p^m k}(E)$

Idea: Try to show that almost all  $T(\lambda)$  occur as summands of  $B_{p^m k}$ .



## A modular version of Klyachko's theorem?

We will use information about the  $B$ 's from Roger's talk :-

Let  $\Delta$  be the set of  $k^{\text{th}}$  roots of unity in  $k$ .

①  $B_{p^m k}$  is isomorphic to a direct sum of modules of the form

$$U_{\delta_1} \otimes \dots \otimes U_{\delta_{p^m}}$$

where  $\delta_1, \dots, \delta_{p^m} \in \Delta$  and  $\delta_1 \dots \delta_{p^m}$  is a primitive  $k^{\text{th}}$  root of unity.

[ Recall:  $U_{\delta} = \{ u \in E^{\otimes k} : \tau u = \delta u \}$   
where  $\tau$  is a cycle of length  $r$  in  $S_r$  ]

② Suppose that  $k > 2$  and  $p^m \neq 2$  if  $k=3$ .  
Then each such product

$$U_{\delta_1} \otimes \dots \otimes U_{\delta_{p^m}}$$

occurs as a summand of  $B_{p^m k}$ .

## Main result

let  $r = p^m k$  where  $m \geq 0$ ,  $k > 2$   
and  $p \nmid k$ .

let  $\lambda$  be a  $p$ -regular partition of  $r$   
with at most  $n$  parts.

$T(\lambda) \mid B_{p^m k} \iff$  (i)  $\lambda \neq \mu$ , where  $\mu' = (p-1, \dots, p-1, b)$   
with  $1 \leq b \leq p-1$ .

(ii)  $\lambda \neq (r)$

(iii)  $\lambda \neq (2^2)$

(iv)  $\lambda \neq (2^3)$

(v)  $\lambda \neq (4, 2)$  if  $p^m = 2$  and  $k = 3$ .

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Thus almost all isomorphism types  
of indecomposable summands of  $E^{\otimes r}$   
occur as summands of  $B_{p^m k}$  and hence  
of  $L^r(E)$

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Remarks \* Proof is an extended version of  
Klyachko's argument.

[We show that  $T(\lambda) \mid U_{\delta_1} \otimes \dots \otimes U_{\delta_{p^m}}$   
for some choice of  $\delta_1, \dots, \delta_{p^m}$  with  $\delta_1, \dots, \delta_{p^m}$  primitive]

\* Need  $k > 2$  so that we have "enough"  
choice.